

# **IN SITU SOIL PROPERTIES FOR SEISMIC SITE RESPONSE**

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**Chuo University, Tokyo**

## Major References for presentation(1/2):

- Aoyagi, T. (2000): Inversion analysis for soil properties based on vertical array record using the extended Bayesian method, Master's thesis, Graduate school of Chuo University.
- Fukushima, Y. and Midorikawa, S.(1994): Evaluation of site amplification factors based on average characteristics of frequency dependent  $Q^{-1}$  of sedimentary strata, *J. Struct. Constr. Eng.* Architectural Institute of Japan, No.460, 37-46, 1994.
- Iwasaki, and Tatsuoka, F. (1978): Shear moduli of sands under cyclic torsional shear loading, *Soils and Foundations*, Vol.18, No.1, 39-56.
- Kokusho, T. (1980): Cyclic triaxial test of dynamic soil properties for wide strain range. *Soils and Foundations*: Vol.20, No.2, 45-60.
- Kokusho, T. (1982): Dynamic soil properties and nonlinear seismic response of ground, PhD Dissertation presented to Tokyo University (in Japanese)
- Kokusho, T., Yoshida, Y. and Esashi, Y. (1982): Dynamic soil properties of soft clay for wide strain range. *Soils and Foundations*: Vol.22, No.4, 1-18.
- Kokusho, T. and Tanaka, Y. (1994): Dynamic properties of gravel layers investigated by in-situ freezing sampling. *Geotechnical Special Publication No44 -Ground Failures under Seismic Conditions*, ASCE Convention (Atlanta), 121-140.

## Major References for presentation(2/2):

- Kokusho, T. Sato, K. and Matsumoto, M. (1996): Nonlinear dynamic soil properties back-calculated from strong motions during Hyogoken-Nambu Earthquake. Proc. 11th World Conference on Earthquake Engineering, Acapulco, CD publication.
- Kokusho, T. & Matsumoto, M. (1998): Nonlinearity in site amplification and soil properties during the 1995 Hyogoken-Nambu earthquake. Special Issue on Geotechnical Aspects of the January 17, 1995 Hyogoken-Nambu Earthquake, No.2; *Soils and Foundations*: 1-10.
- Kokusho, T. and Aoyagi, T. (2001): Insitu nonlinear soil properties back-calculated from vertical array records of 1995 Kobe earthquake, Proc. International Conference on In Situ Measurement of Soil Properties and Case Histories, Indonesian Geotechnical Society, Bali, 473-480.
- Kokusho, T. and Mantani, (2002):. Seismic amplification evaluation in a very deep down hole array site, *12<sup>th</sup> European Conference on Earthquake Engineering*, Paper Reference 797.
- Nuclear Power Engineering Corporation(2001): Study of Evaluation Methods for Seismic Wave Propagation Characteristics, Report of Siting Reliability Studies relating to seismic design for nuclear power plants (in Japanese).
- Seed, H. B., Wong, R. T., Idriss, I. M. and Tokimatsu, K. (1986): Moduli and damping factors for dynamic analyses of cohesionless soils, *Journal of Geotechnical Engineering*, Vol.112, No.11, 1016-1032.
- Suetomi, I. (1997): A Program manual for optimization of soil structure by Bayes Method. Central Research Institute of Sato Kogyo Com. Ltd, (in Japanese).

# UNSOLVED PROBLEMS FOR SEISMIC SITE RESPONSE

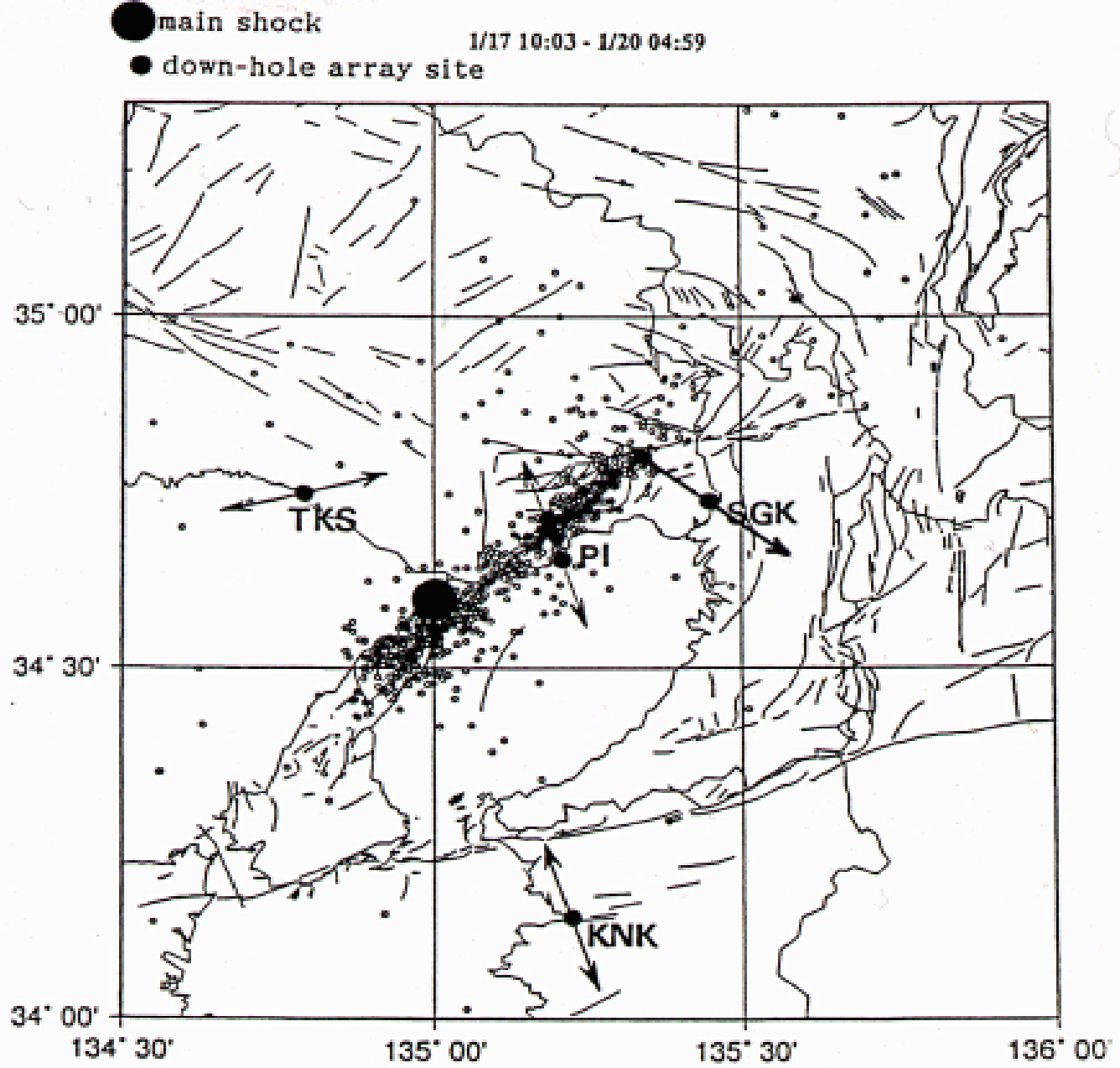
1. In situ modulus **degradation compared with laboratory tests.**
2. **In situ damping** compared with laboratory tests.
3. Combination of **strain-dependency effect** and pore **pressure-buildup effect** on degradation for larger strains.
4. Amplification in **vertical motions** and related soil properties.
5. **Amplification in deep ground and related soil properties;**  
Effect of confining stress-dependency and **frequency dependency of damping.**

# **Topics of the presentation:**

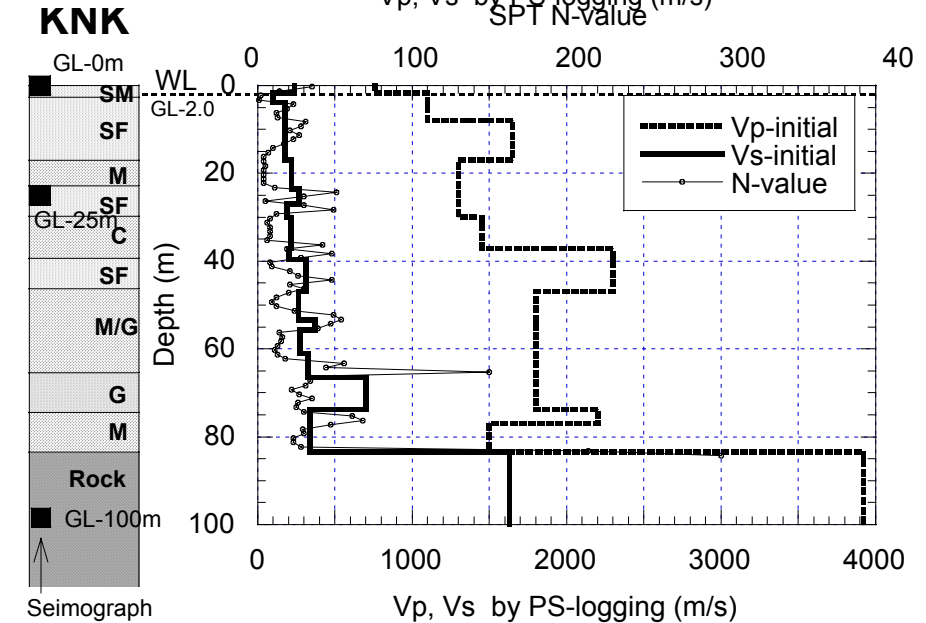
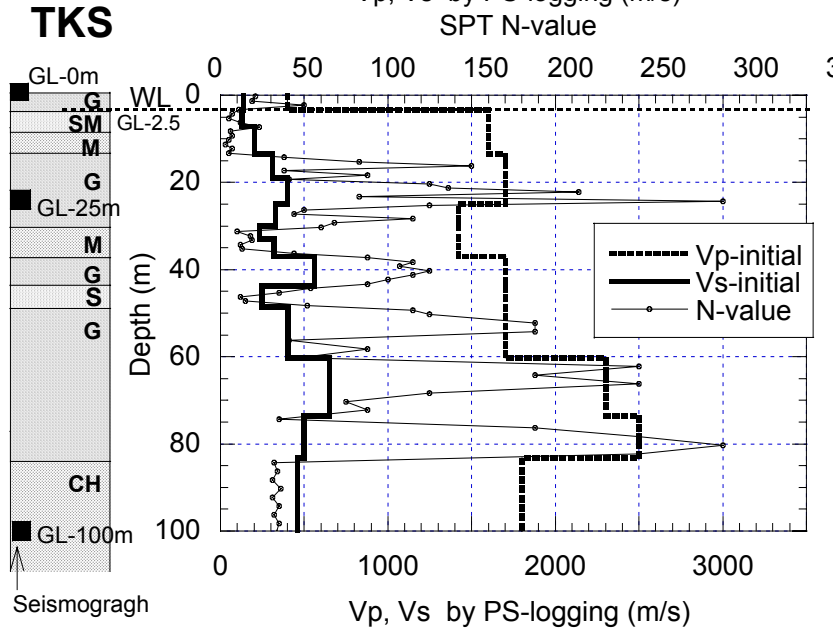
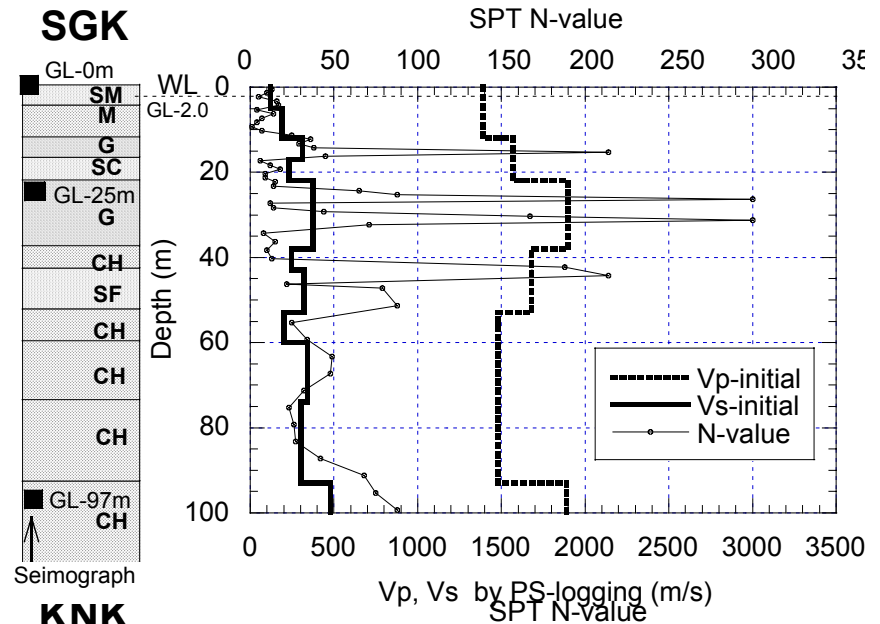
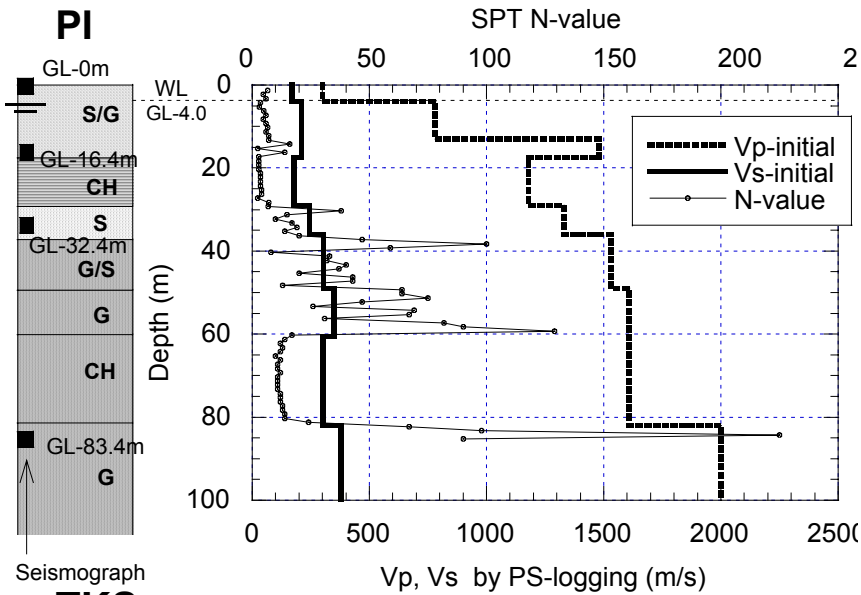
**Seismic site amplification during strong earthquakes based on vertical array records**

**Back-calculated in situ soil properties versus lab data**

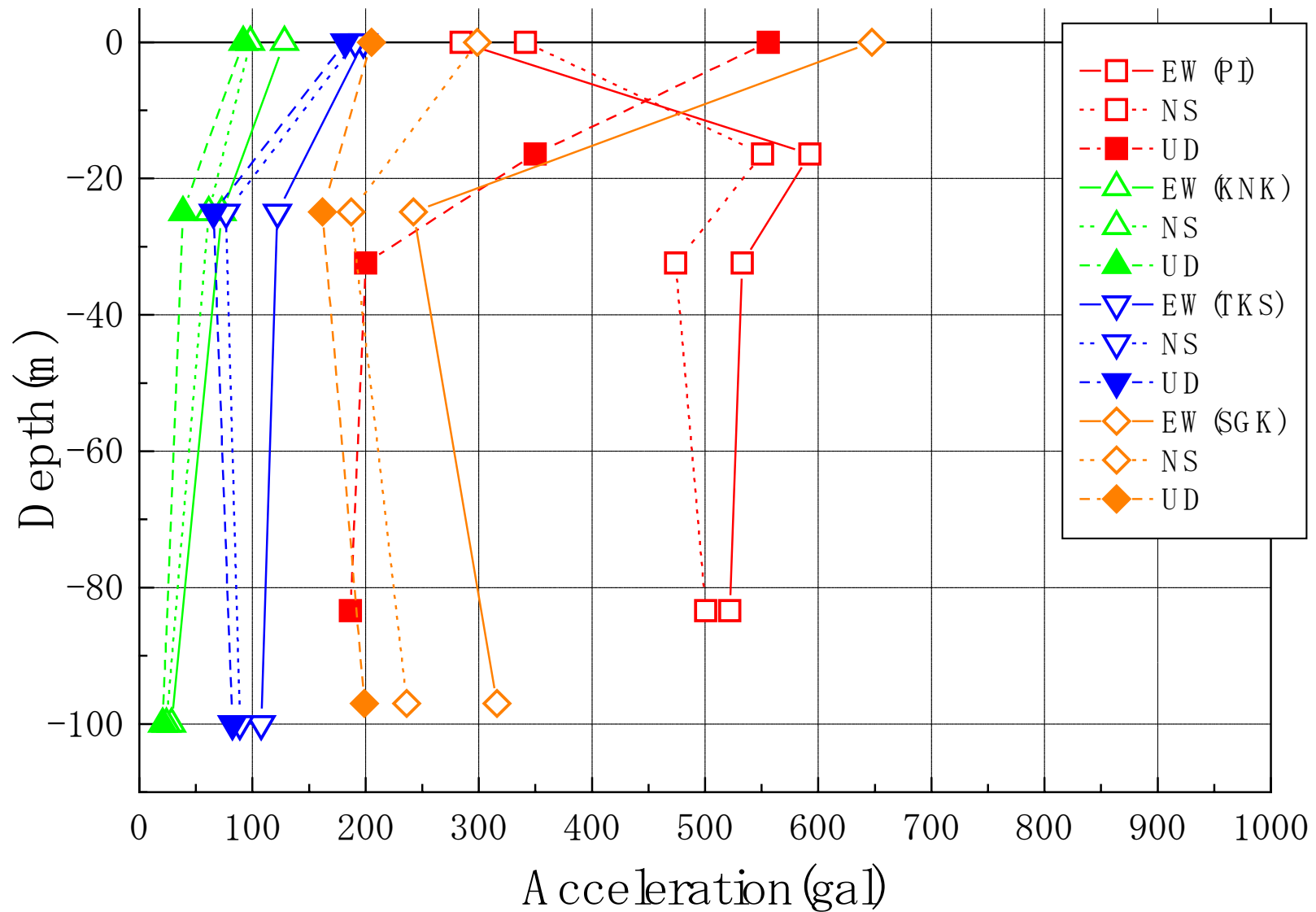
**Soil properties for deep soil response**



**Locations of 4 vertical array sites around Kobe City**

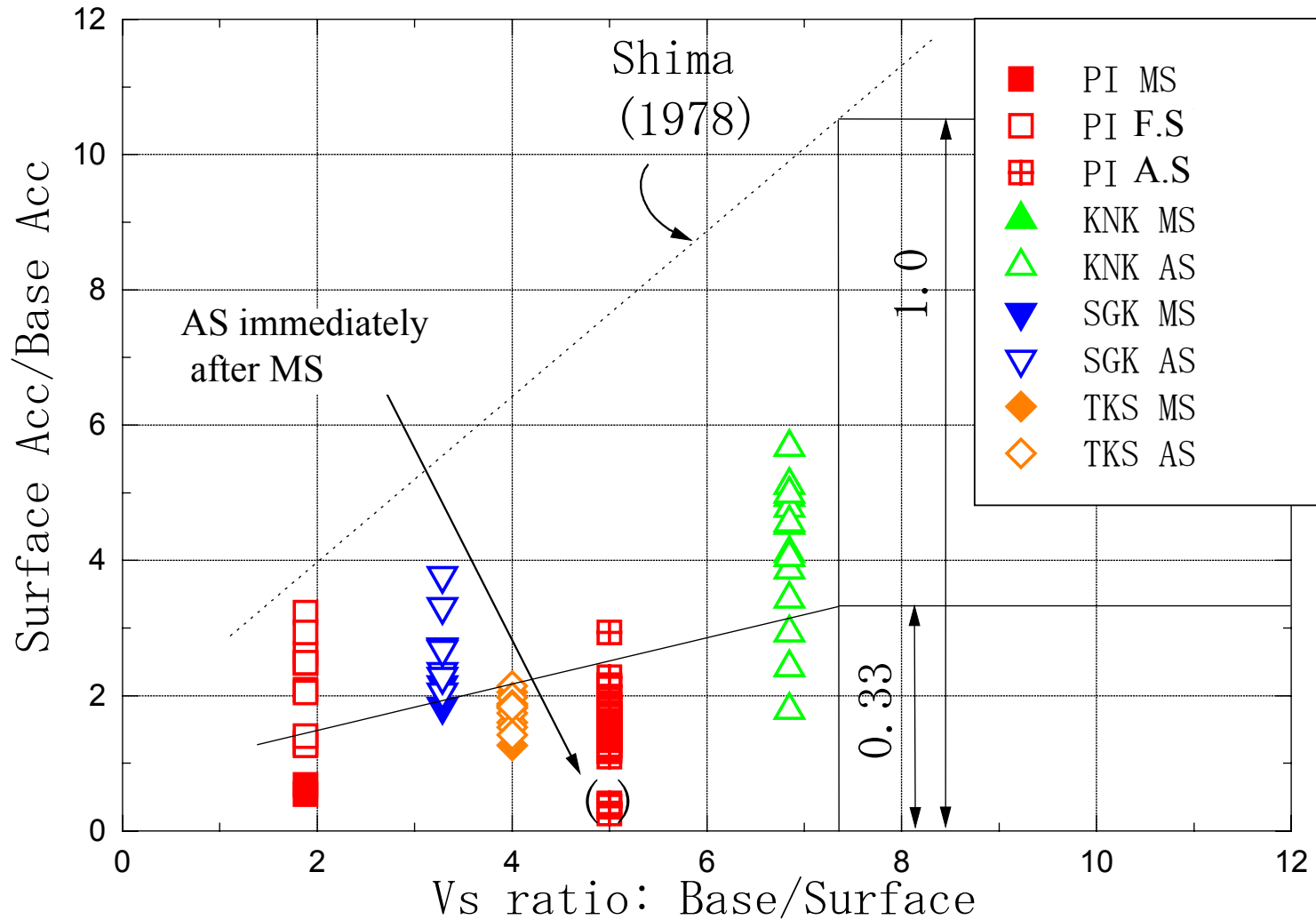


**Soil profiles, Vp, Vs, SPT-N & Seismometer installation levels in 4 vertical array sites**

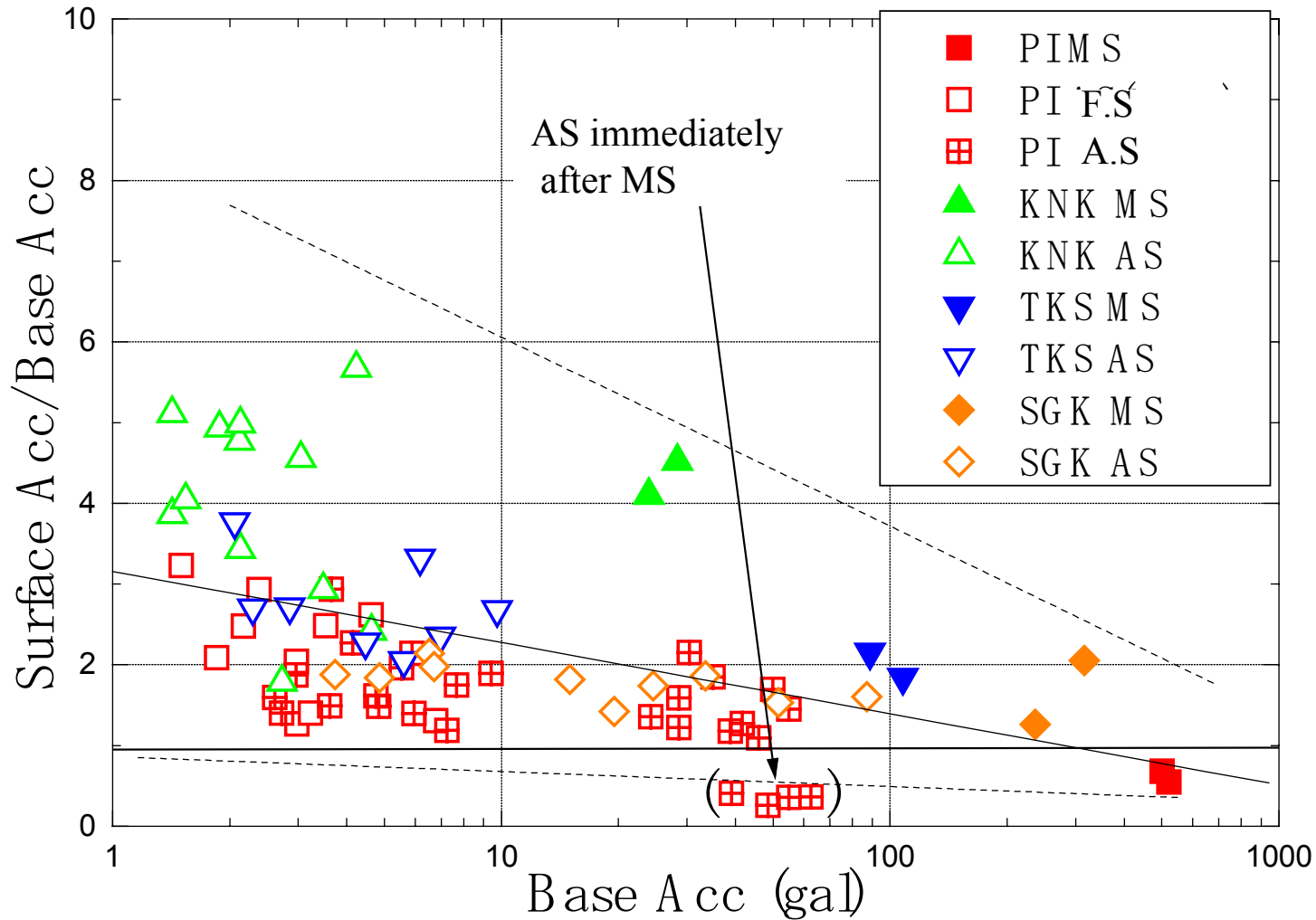


**Max. Acc. in 4 vertical array sites during Kobe EQ**

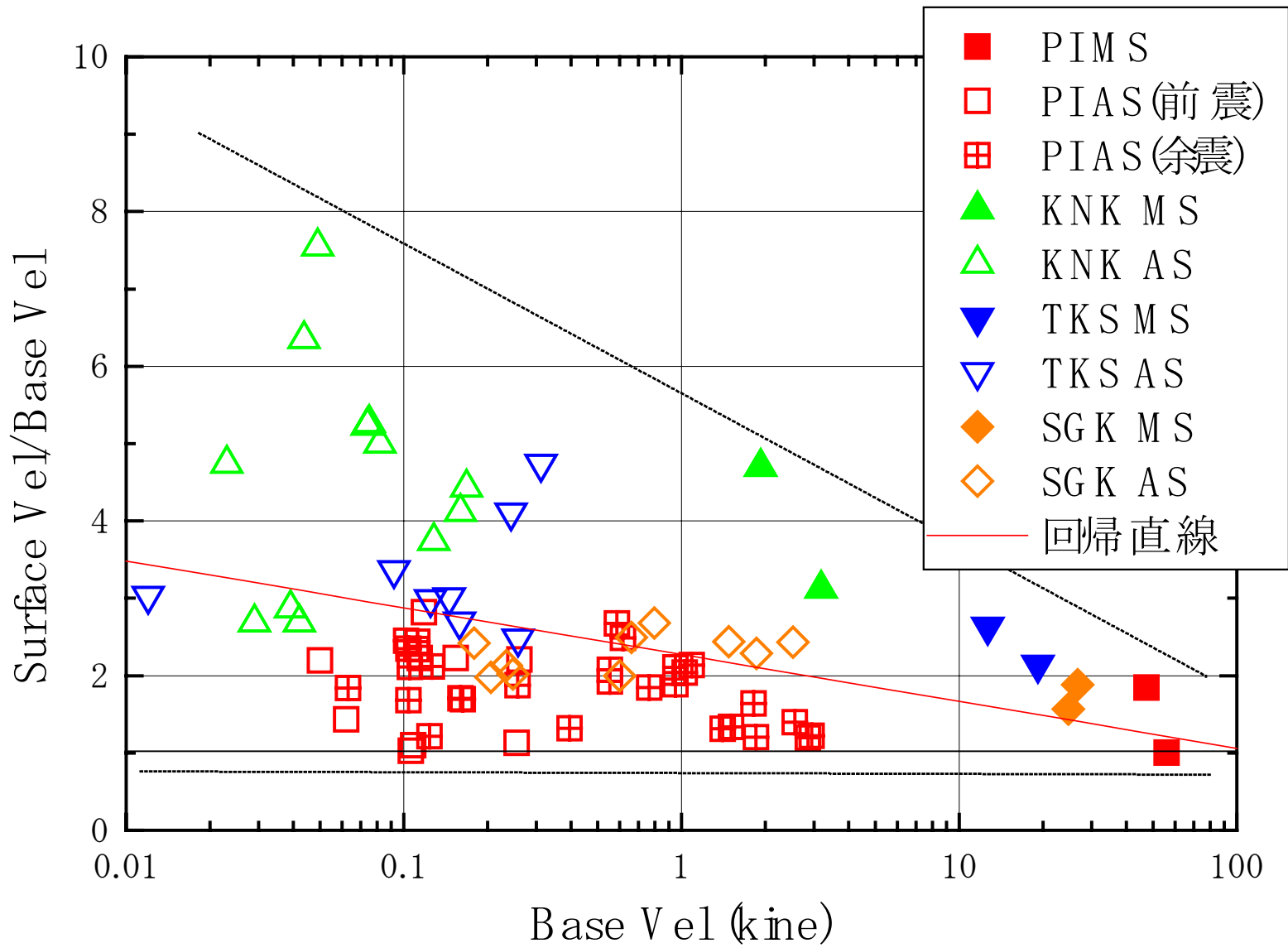




**Vs-ratio (base to surface) versus amplification ratio of maximum acceleration**

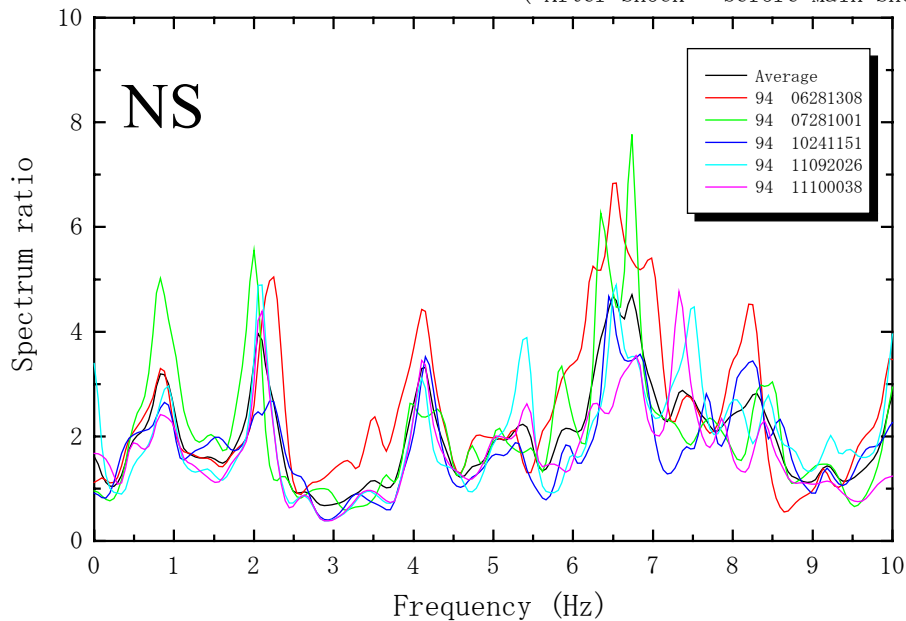


**Max. Base Acc. versus Acc. amplification (Surf./Base)**

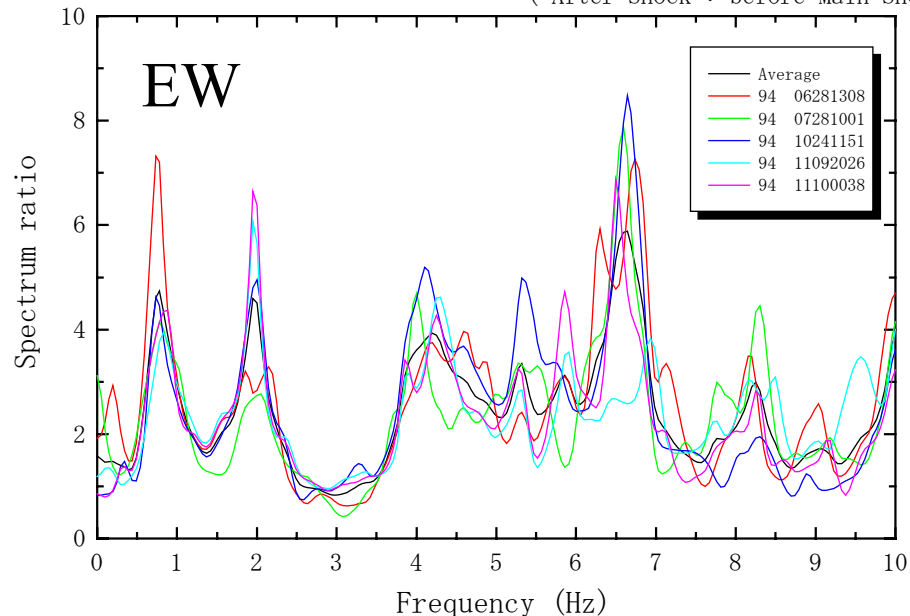


**Max. Base Vel. versus Max. Vel. Amplification (Surf./Base)**

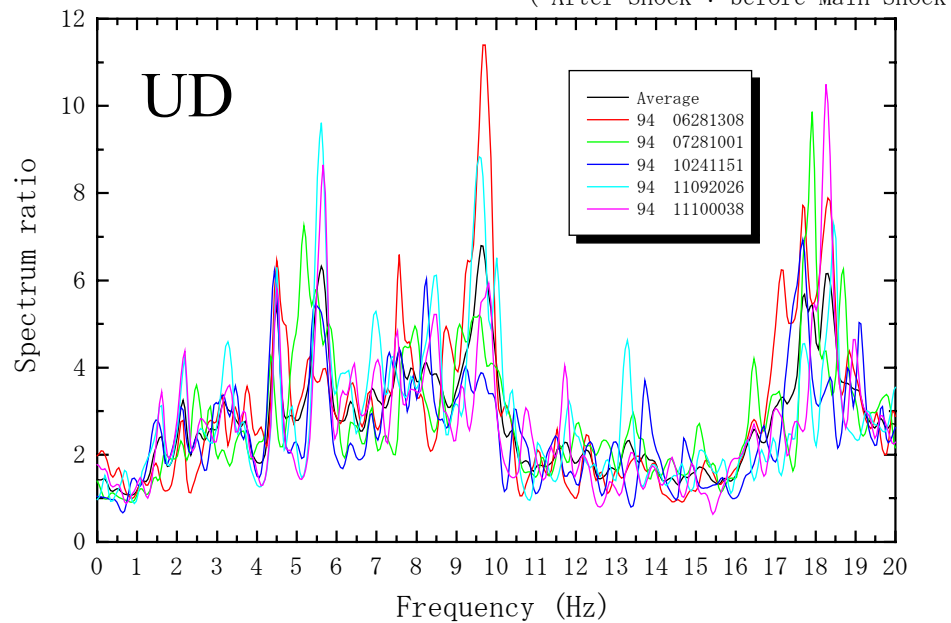
PI NS-direction GL-0m/GL-83.4m  
(After Shock : before Main Shock)



PI EW-direction GL-0m/GL-83.4m  
(After Shock : before Main Shock)

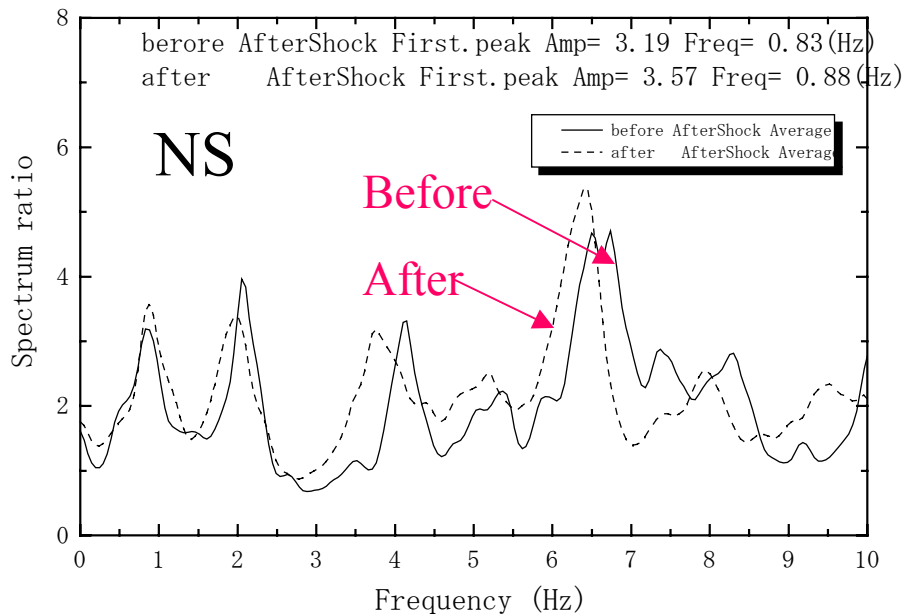


PI UD-direction GL-0m/GL-83.4m  
(After Shock : before Main Shock)

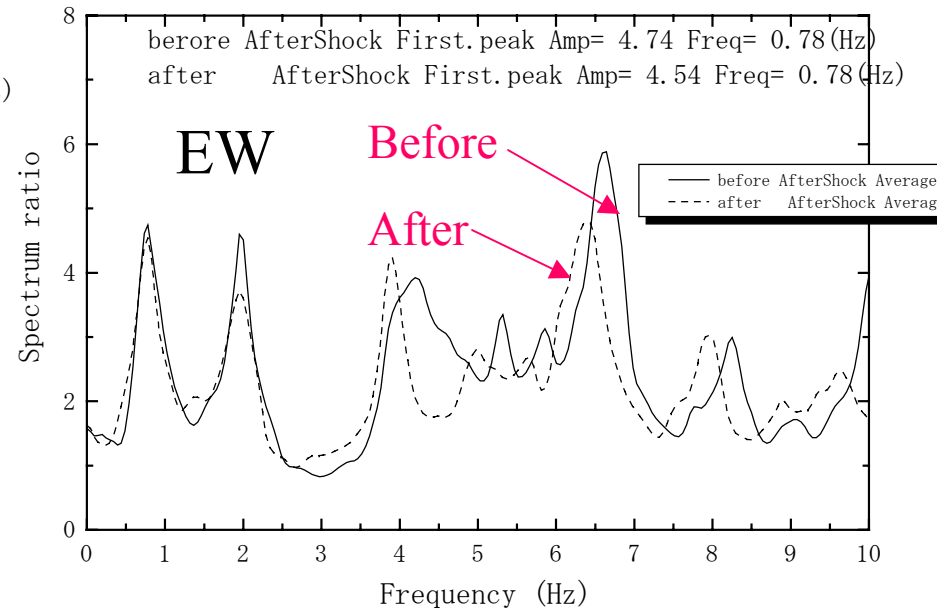


**Spectrum ratio**  
**(PI: small shocks**  
**before main shock)**

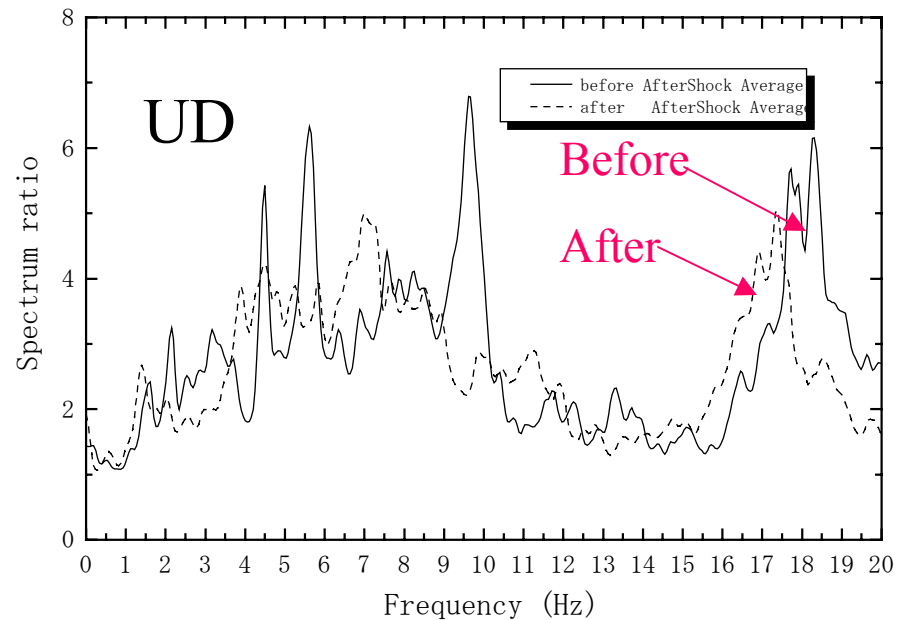
PI NS-direction GL-0m/GL-83.4m



PI EW-direction GL-0m/GL-83.4m

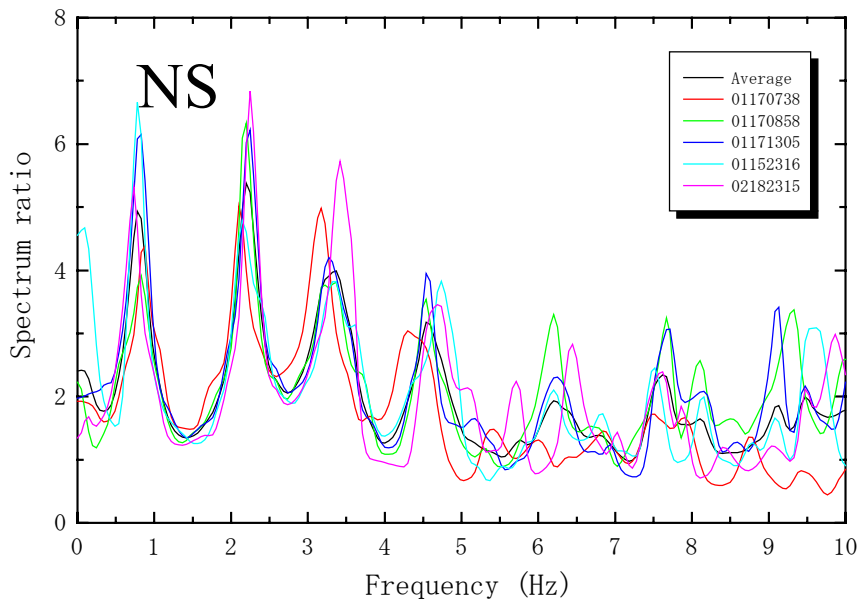


PI UD-direction GL-0m/GL-83.4m

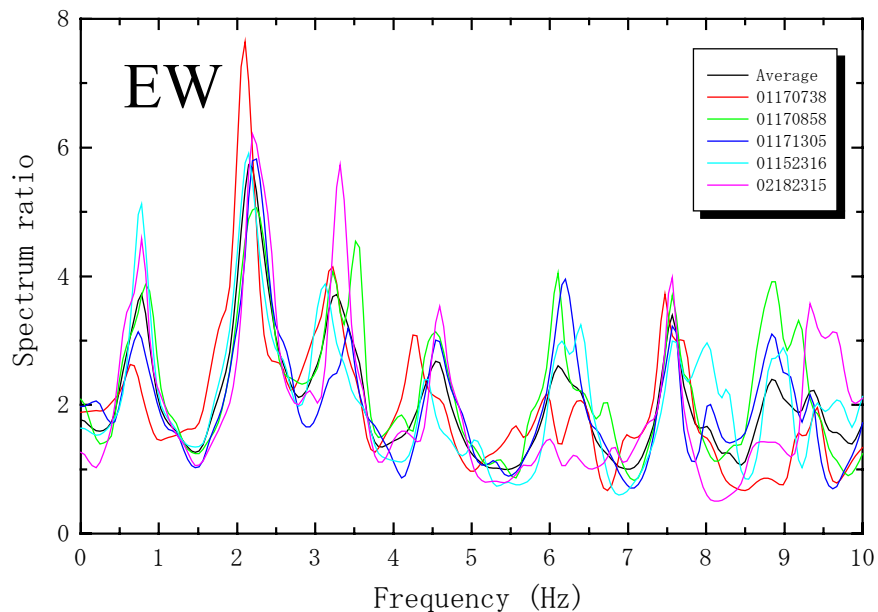


**Comparison of averaged spectrum ratios of small shocks before & after main shock (PI)**

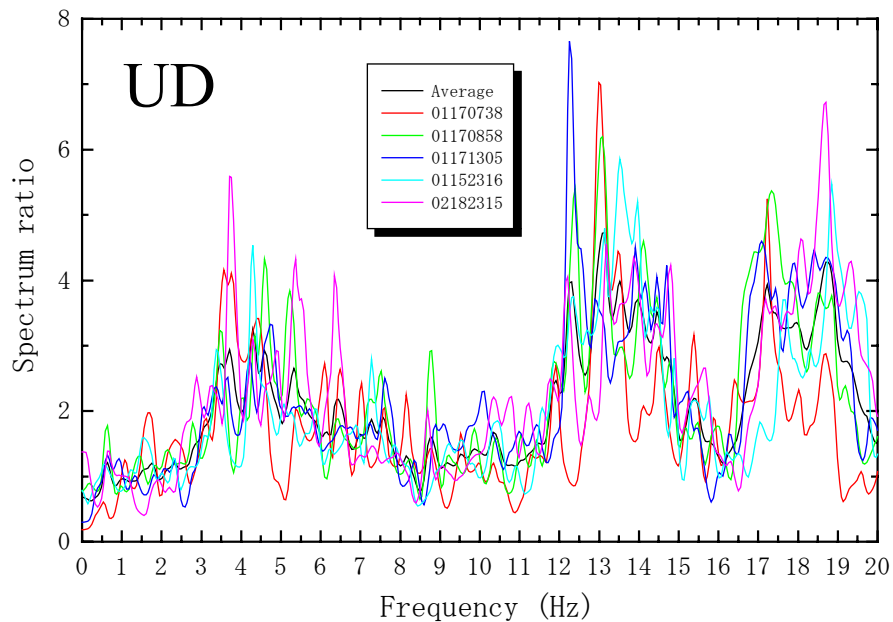
SGK NS-direction GL-0m/GL-97m



SGK EW-direction GL-0m/GL-97m

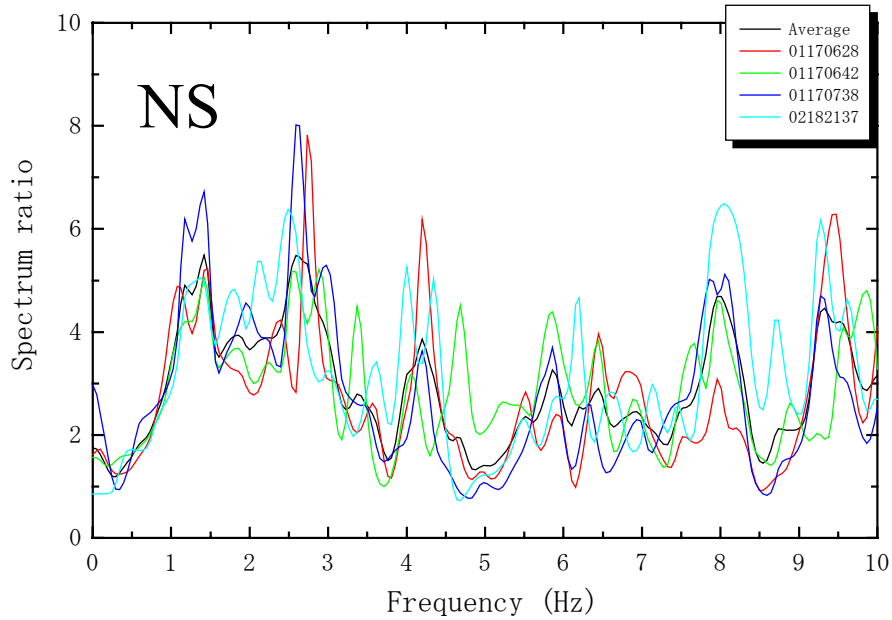


SGK UD-direction GL-0m/GL-97m

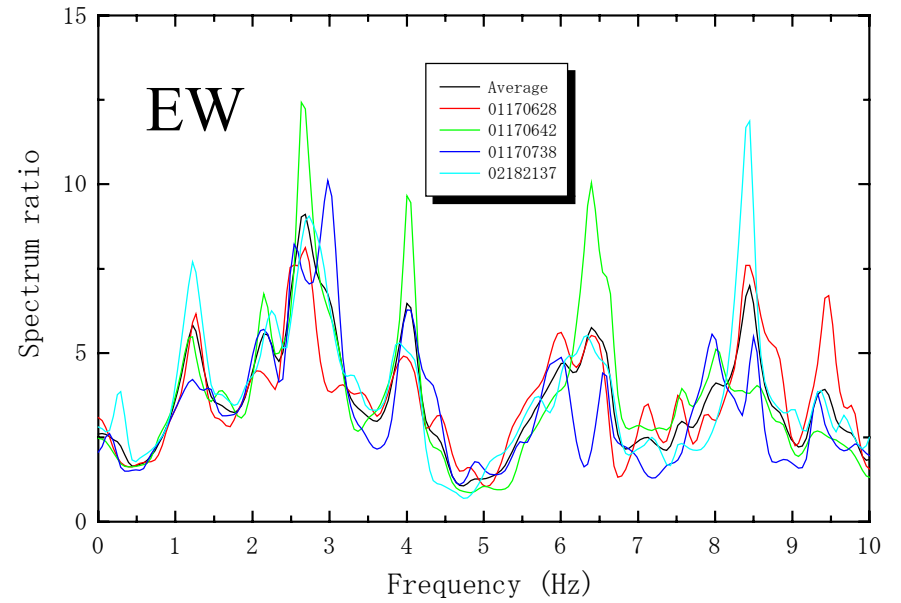


**Spectrum ratio**  
**(SGK: aftershocks)**

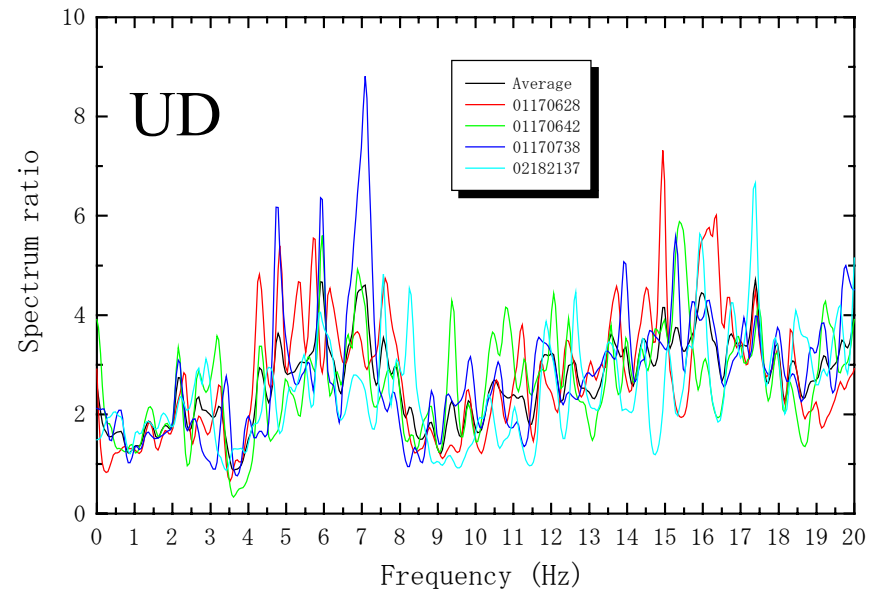
TKS NS-direction GL-0m/GL-100m



TKS EW-direction GL-0m/GL-100m

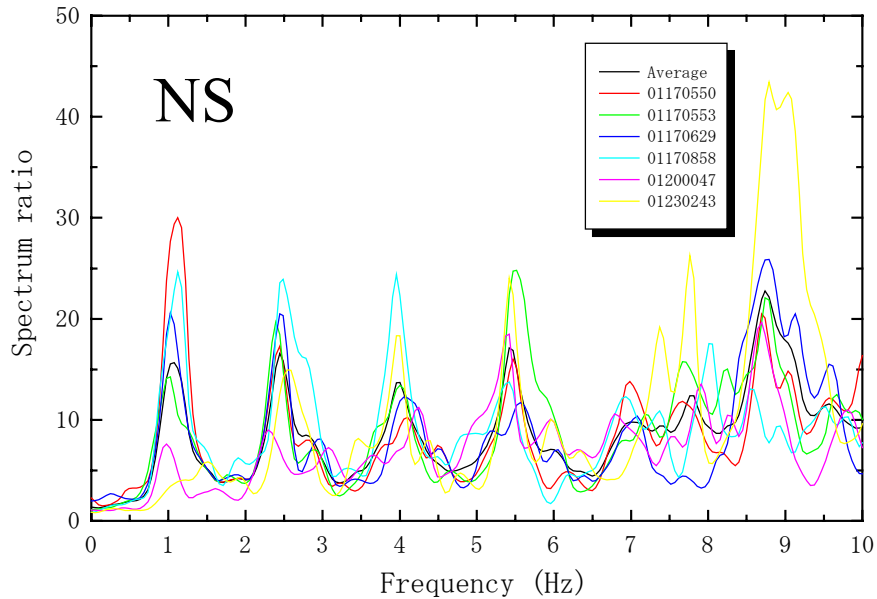


TKS UD-direction GL-0m/GL-100m

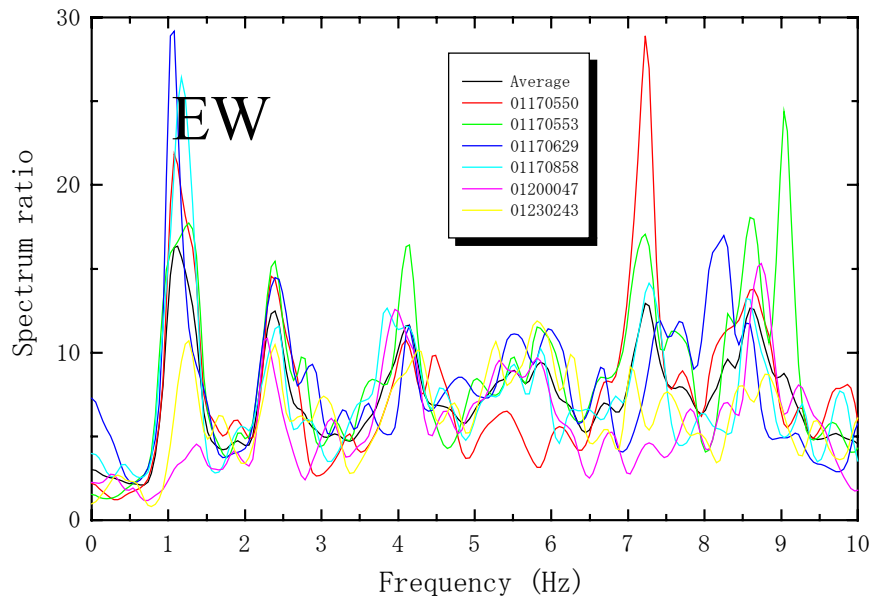


**Spectrum ratio**  
**(TKS: aftershocks)**

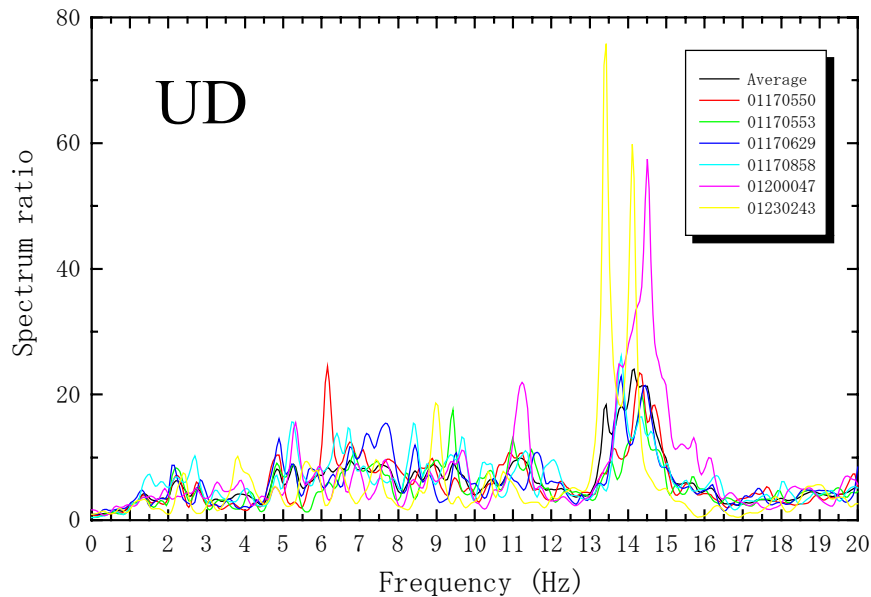
KNK NS-direction GL-0m/GL-100m



KNK EW-direction GL-0m/GL-100m



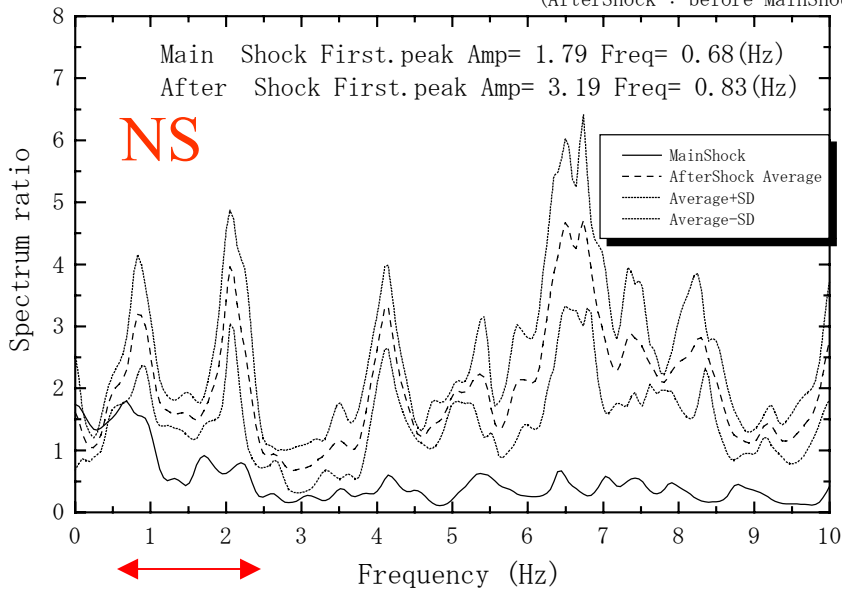
KNK UD-direction GL-0m/GL-100m



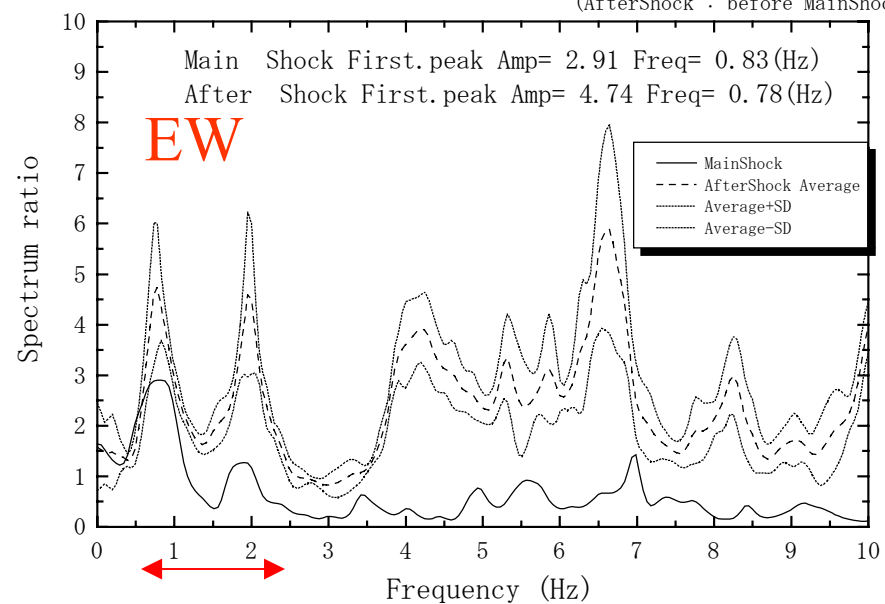
**Spectrum ratio**  
**(KNK: aftershocks)**



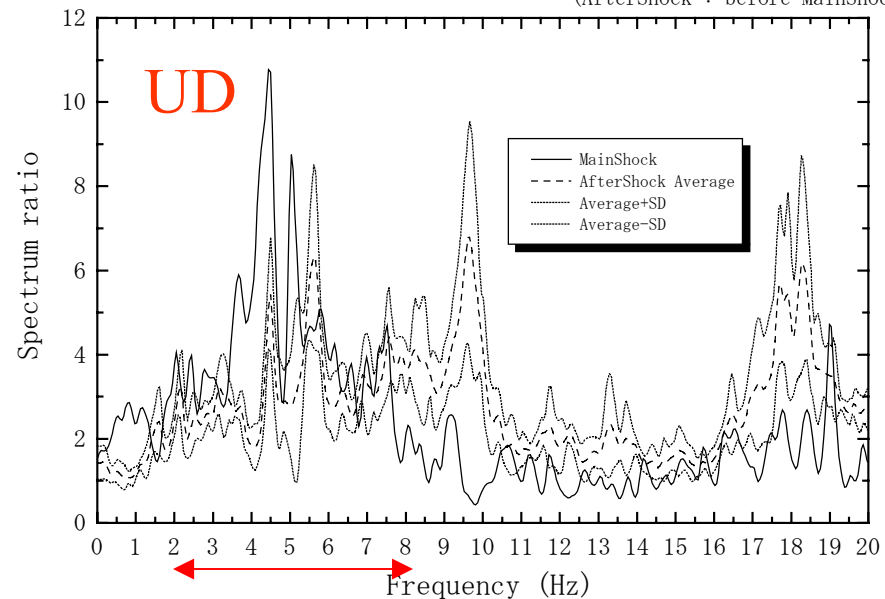
PI NS-direction GL-0m/GL-83.4m  
(AfterShock : before MainShock)



PI EW-direction GL-0m/GL-83.4m  
(AfterShock : before MainShock)

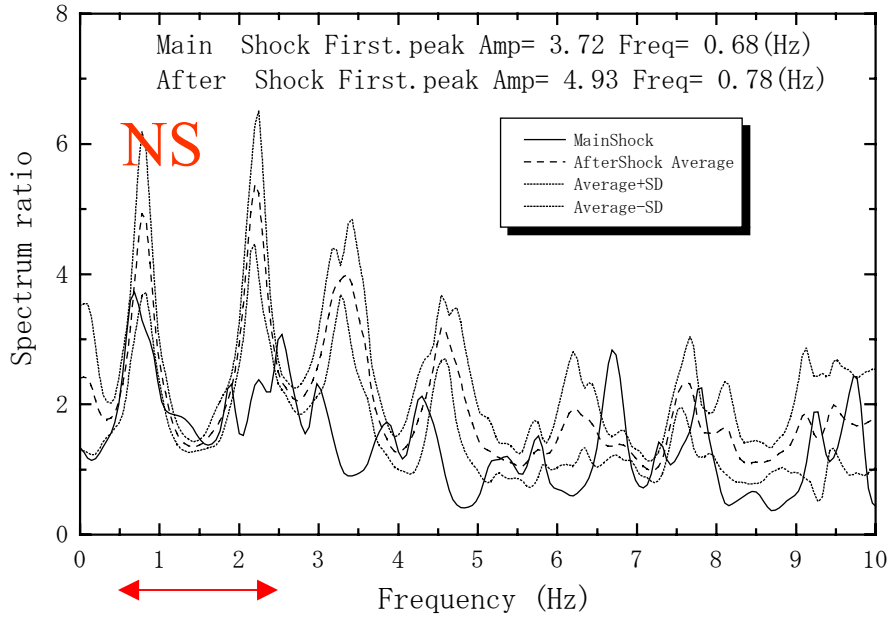


PI UD-direction GL-0m/GL-83.4m  
(AfterShock : before MainShock)

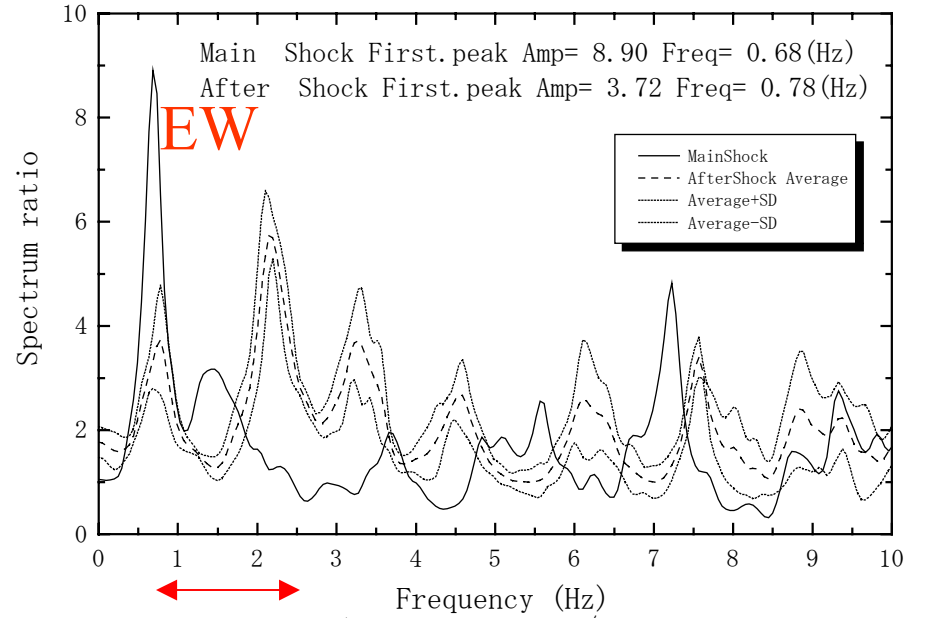


**Comparison of spectrum ratio of small shocks and main shock (PI)**

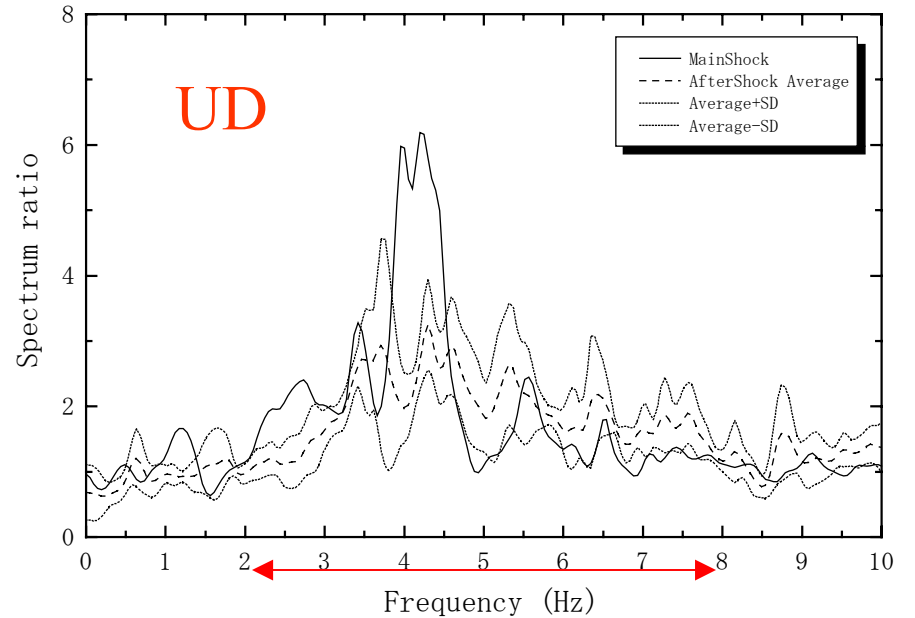
SGK NS-direction GL-0m/GL-97m



SGK EW-direction GL-0m/GL-97m

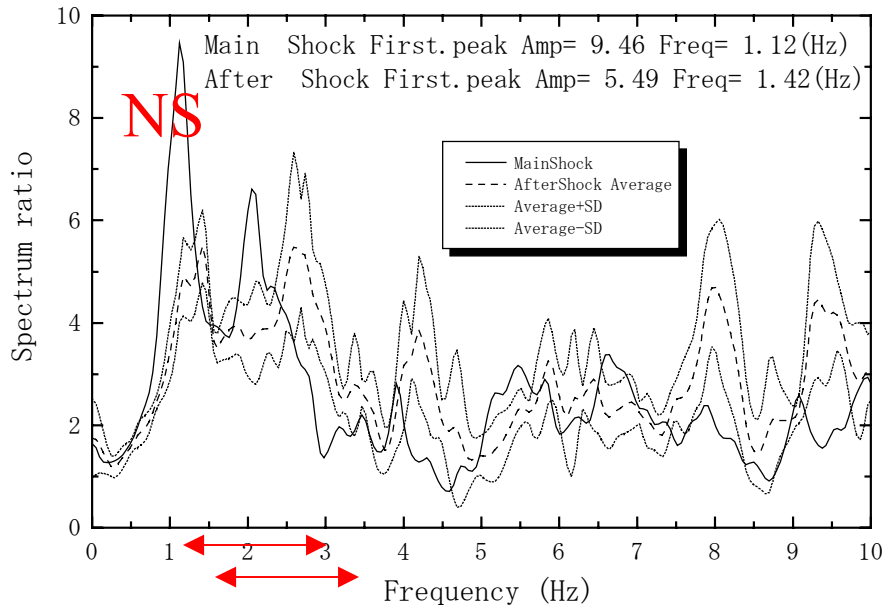


SGK UD-direction GL-0m/GL-97m

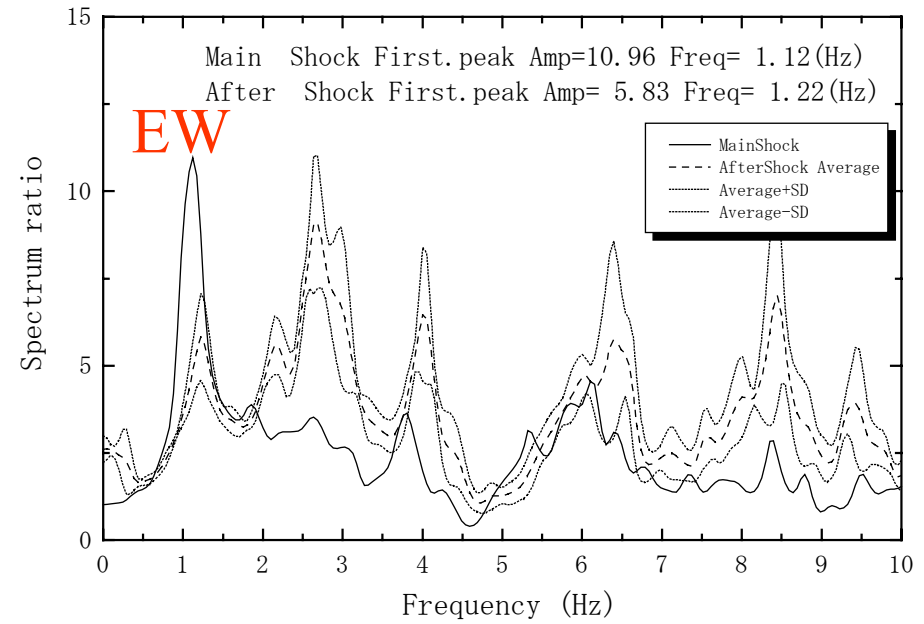


**Comparison of averaged  
 spectrum ratio of  
 aftershocks and main  
 shock (SGK)**

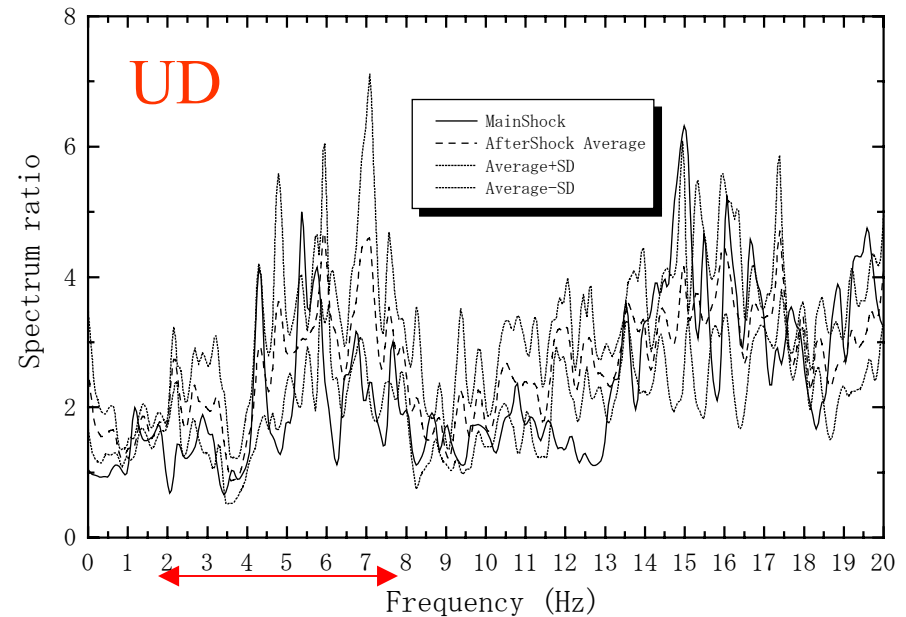
TKS NS-direction GL-0m/GL-100m



TKS EW-direction GL-0m/GL-100m

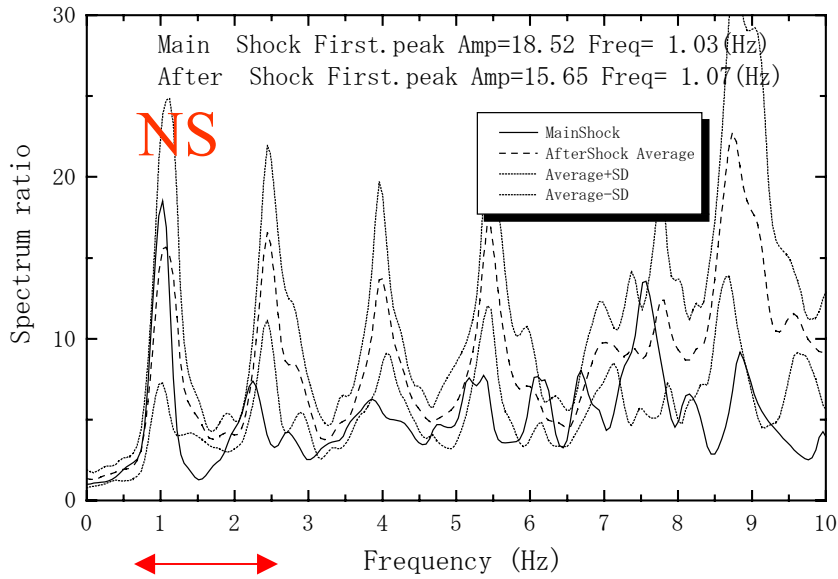


TKS UD-direction GL-0m/GL-100m

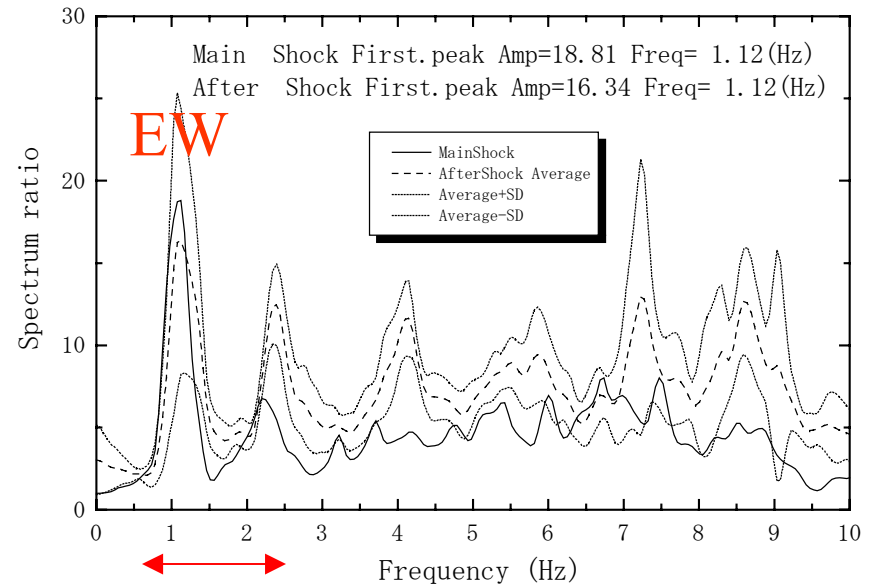


**Comparison of averaged  
spectrum ratio of  
aftershocks and main  
shock (TKS)**

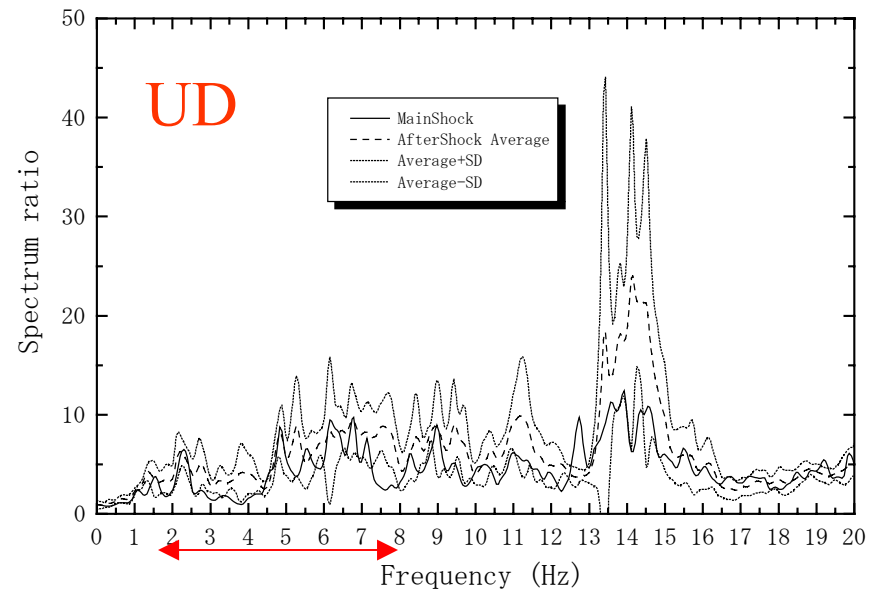
KNK NS-direction GL-0m/GL-100m



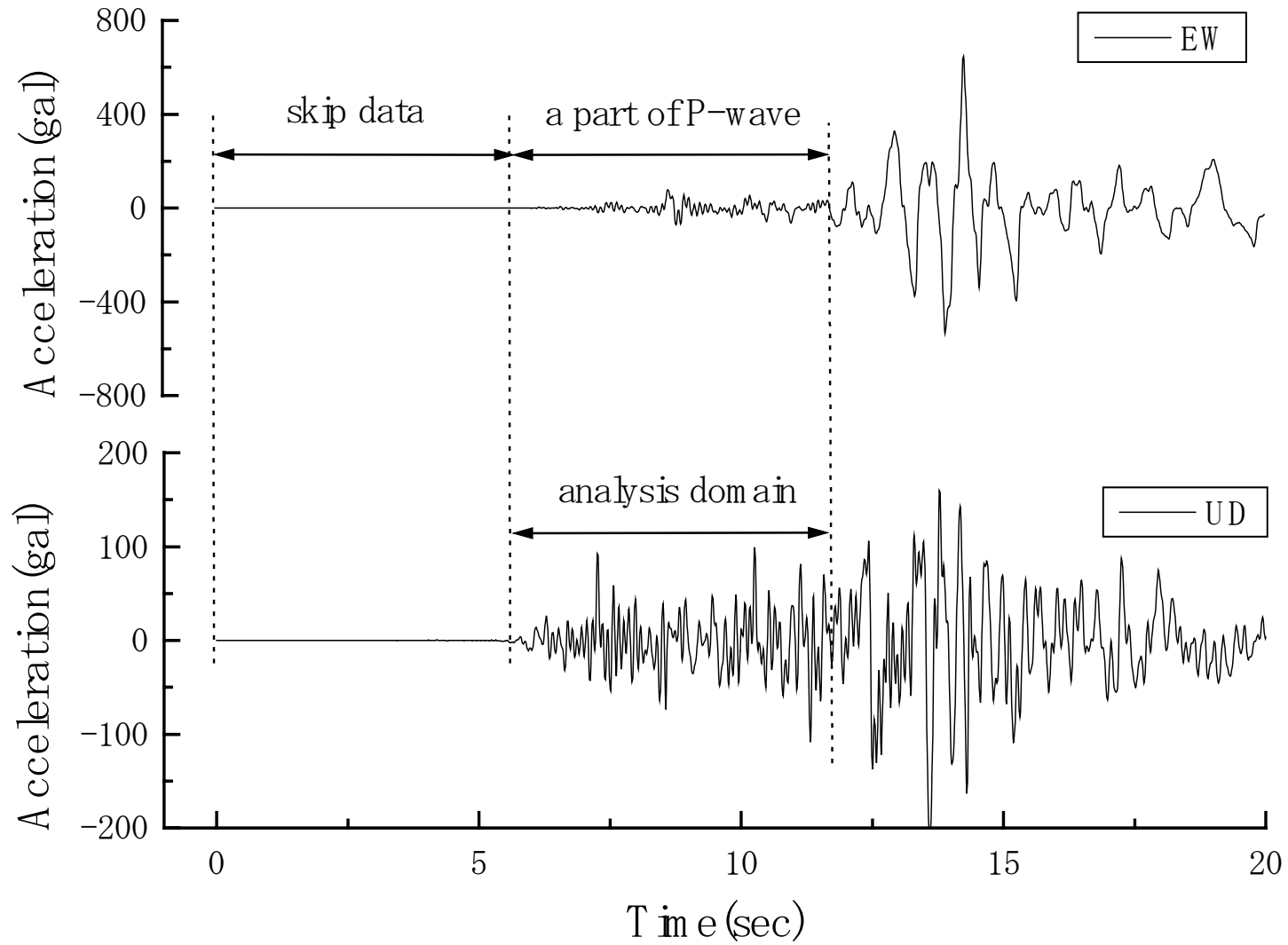
KNK EW-direction GL-0m/GL-100m



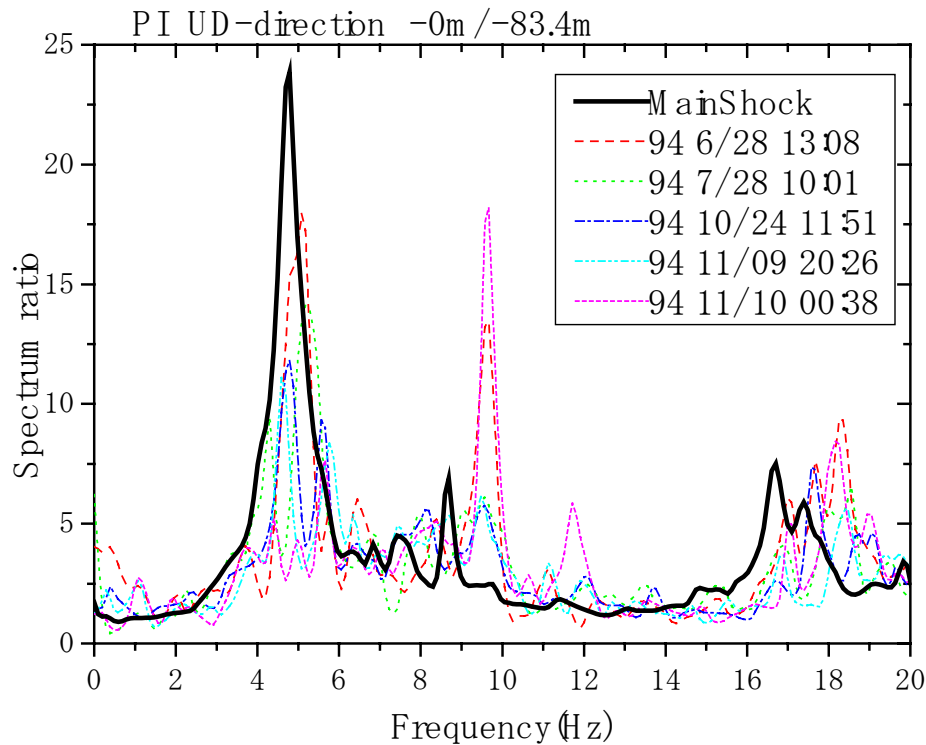
KNK UD-direction GL-0m/GL-100m



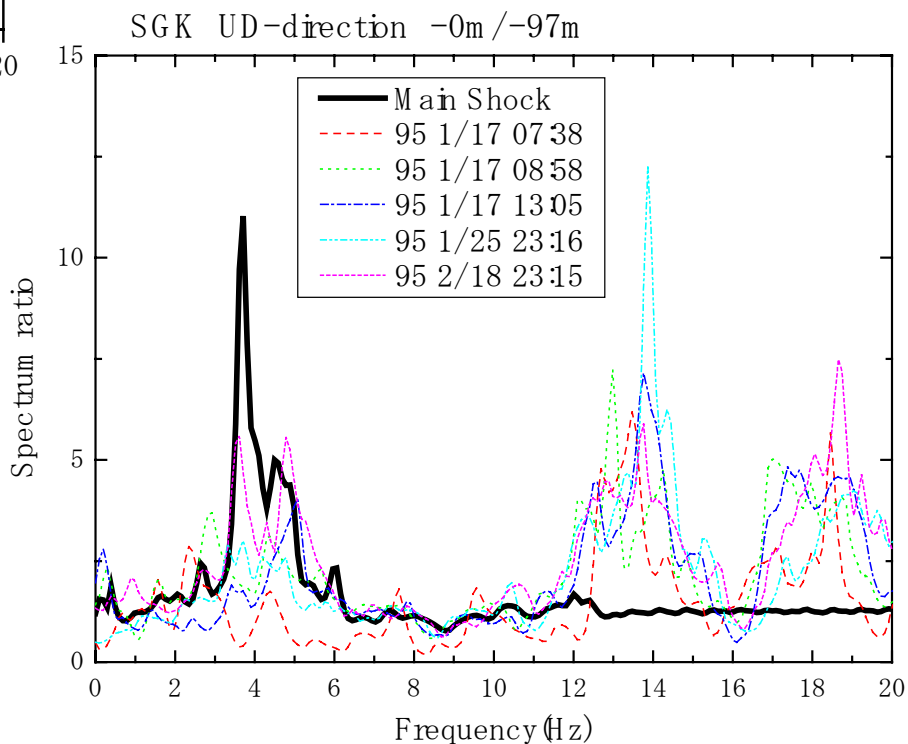
**Comparison of averaged  
spectrum ratio of  
aftershocks and main  
shock (KNK)**

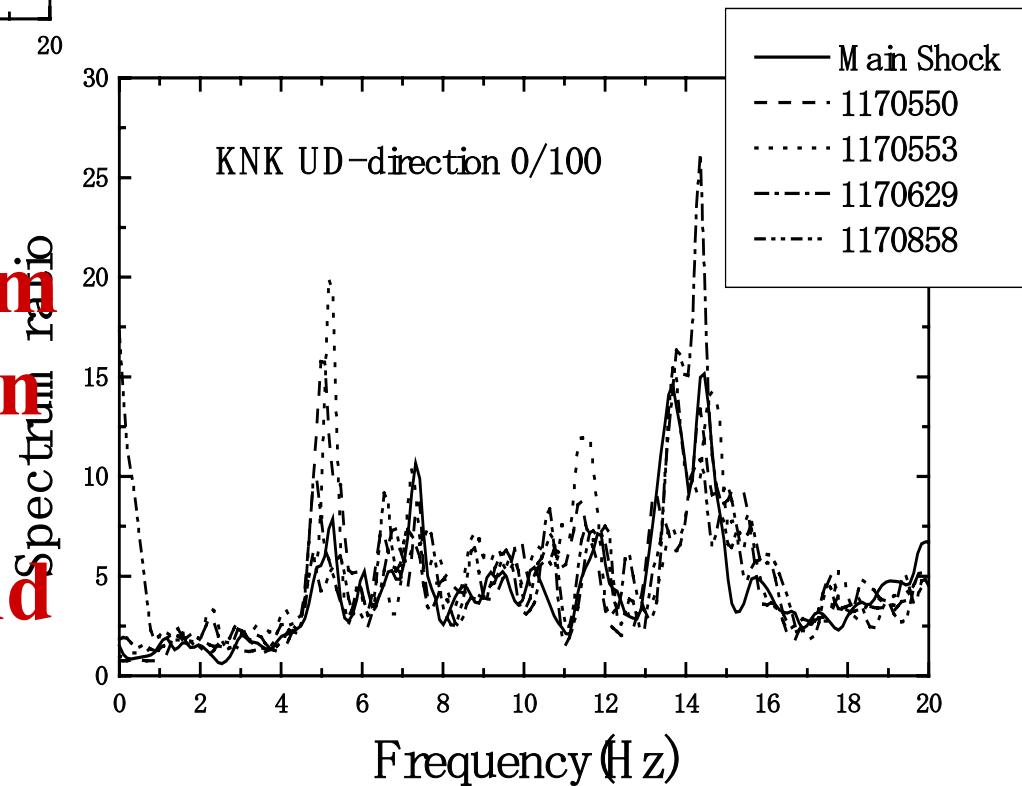
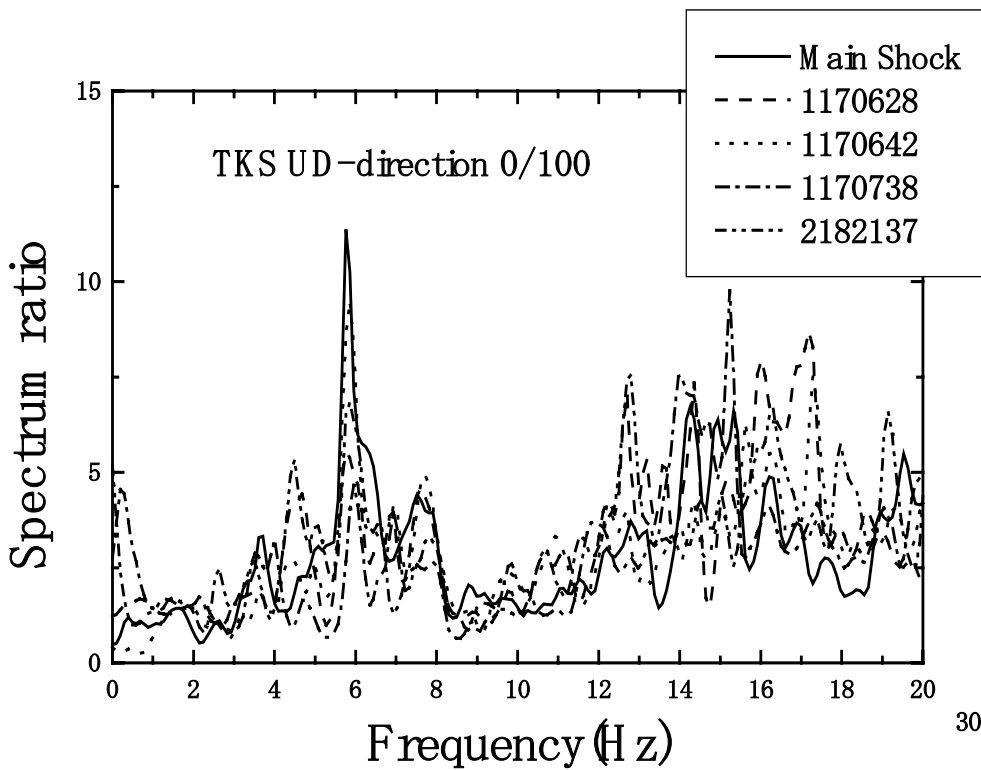


## Analysis of vertical motion for initial P-wave

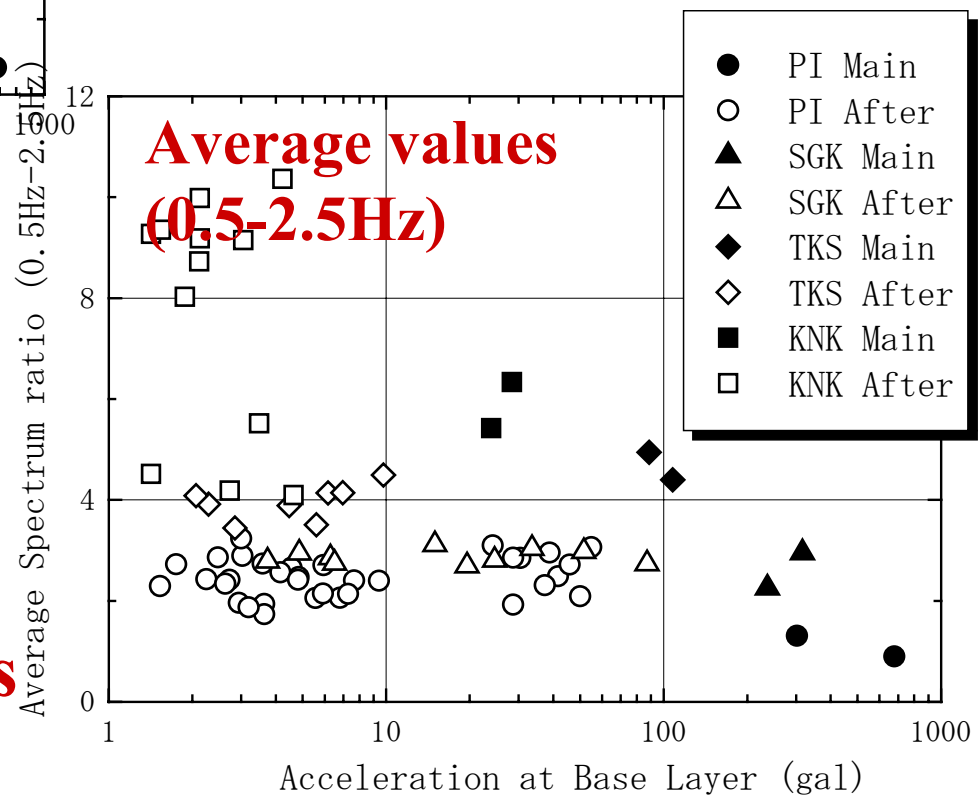
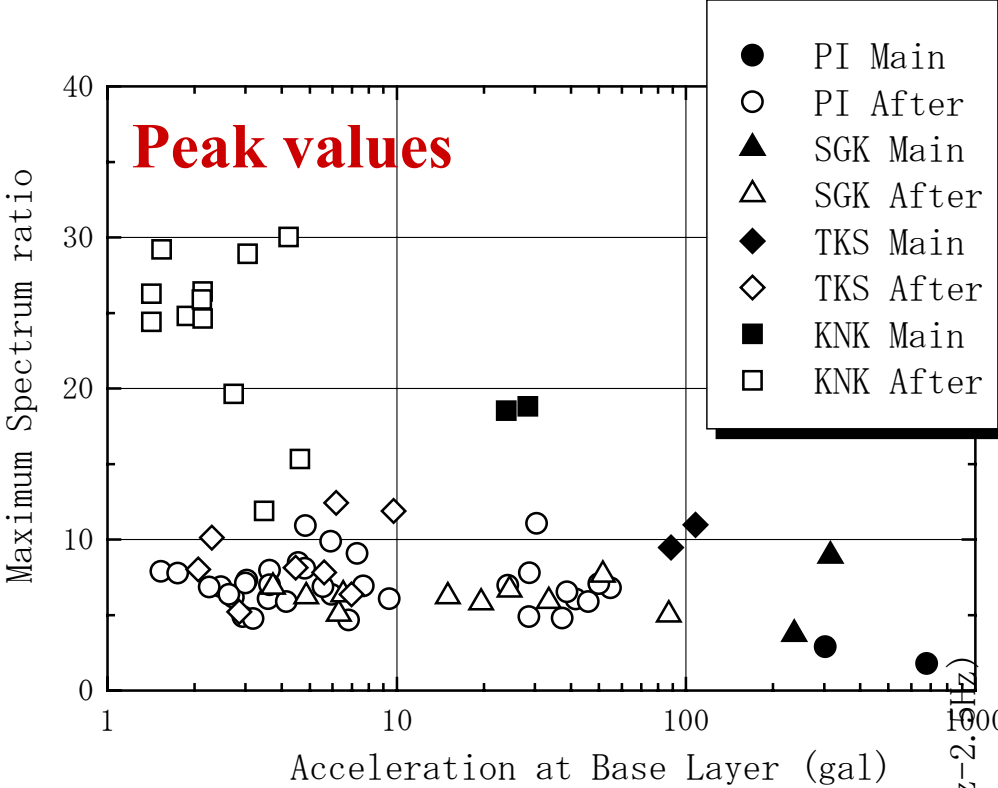


**Comparison of spectrum ratios of vertical motion for initial P-wave between main shock and aftershocks**



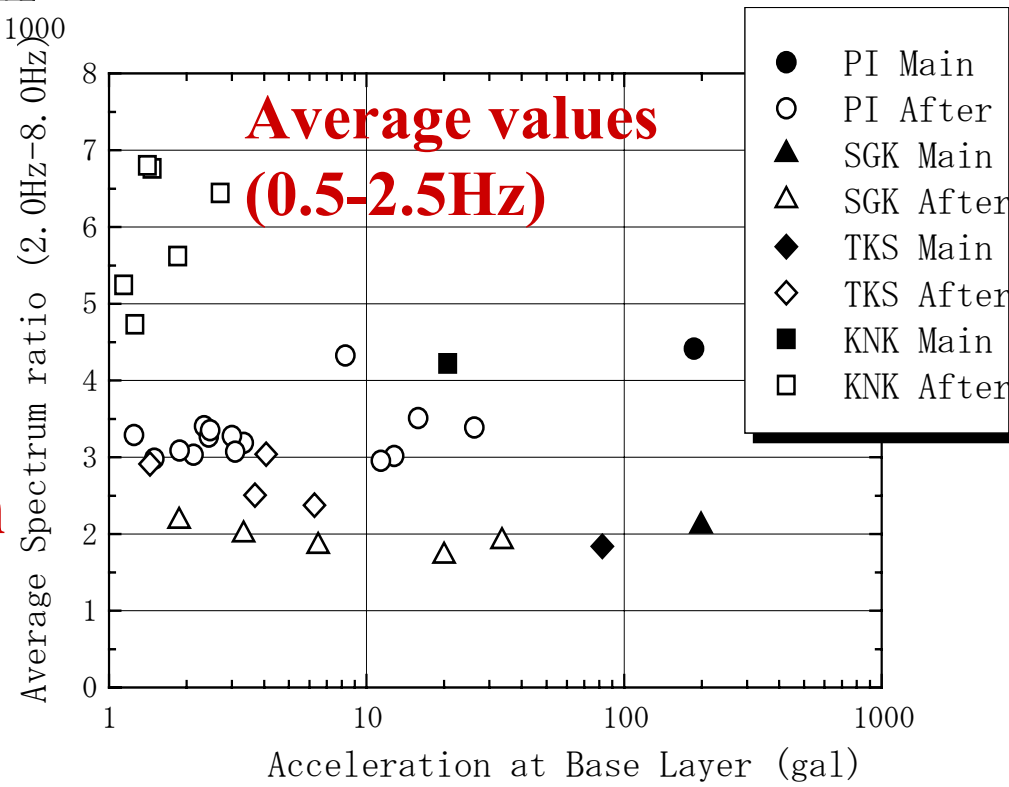
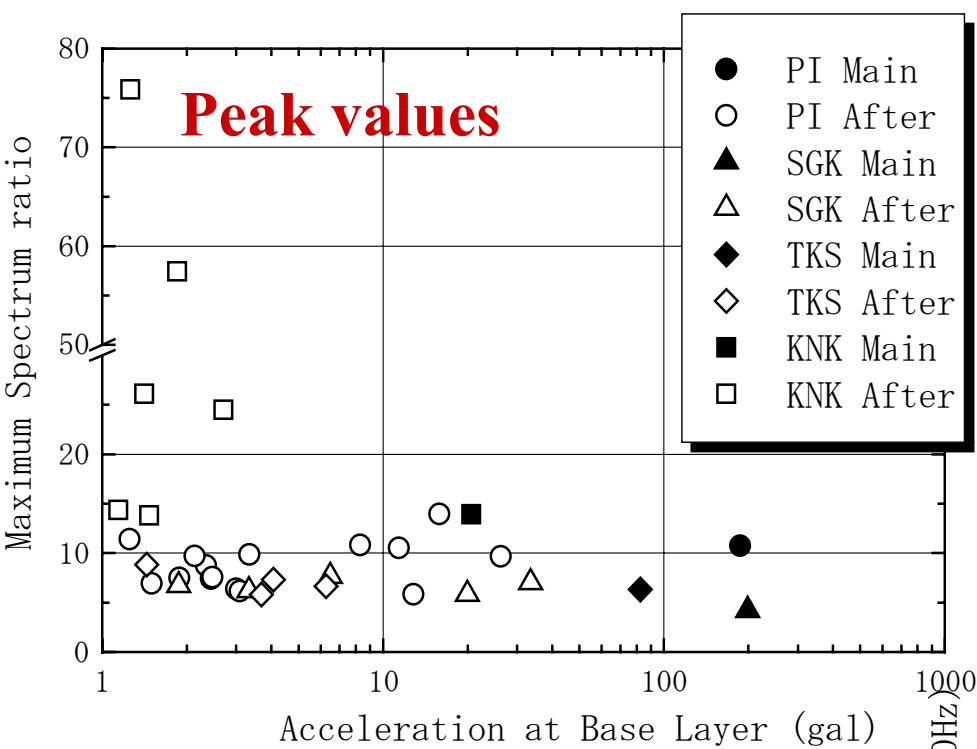


**Comparison of spectrum ratios of vertical motion for initial P-wave between main shock and aftershocks**



**Base Acc. versus Spectrum Ratios of horizontal motions (Peak & Average values)**





**Base Acc. versus Spectrum Ratios of vertical motions (Peak & Average values)**

# SUMMARY ON SITE AMPLIFICATION BASED ON VERTICAL ARRAY RECORDS

- Clear Acc. amplification reduction for increasing base Acc. The same trend for Vel. though milder.
- Steady spectral response for small shocks.
- Reduction in peak frequencies and magnitudes of spectrum ratios between main shocks and small shocks particularly in liquefaction sites.
- Increase in amplification with increasing  $V_s$  ratio between base and surface.
- In vertical motions, no difference in peak frequencies between main shocks and small shocks

# **Topics of the presentation:**

**Seismic site amplification during strong earthquakes based on vertical array records**

**Back-calculated in situ soil properties versus lab data**

**Soil properties for deep soil response**

# How to back-calculate in situ properties from vertical array records

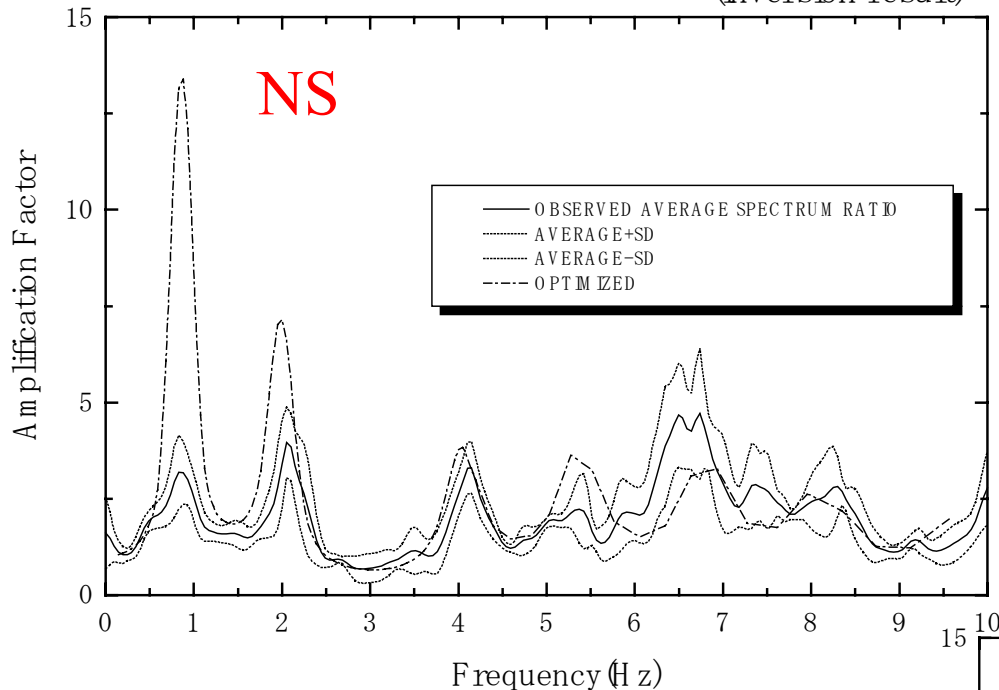
Optimize  $V_s$  (S-wave velocity) and  $D$  (damping ratio) based on 1D Multiple Reflection Theory of SH-wave.

Minimize residuals by EBM between observed and computed spectrum ratios.

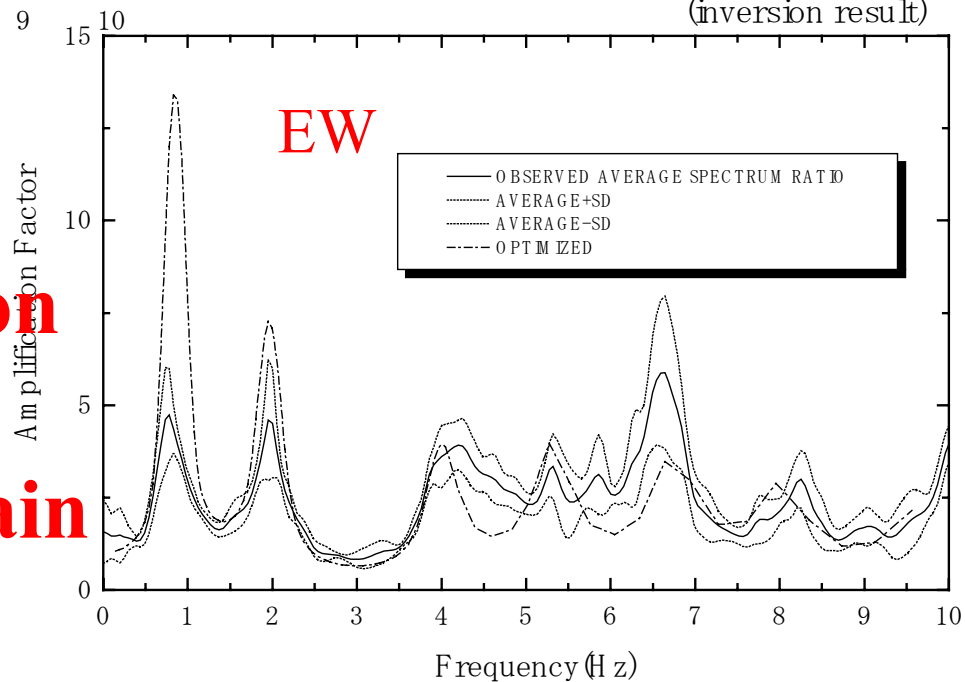
Main shock properties compared with small shock linear properties for in situ degradation curves.

Identify in situ soil-specific properties.

PINS-direction GL-0m/GL-83.4m (After Shock)  
(inversion result)

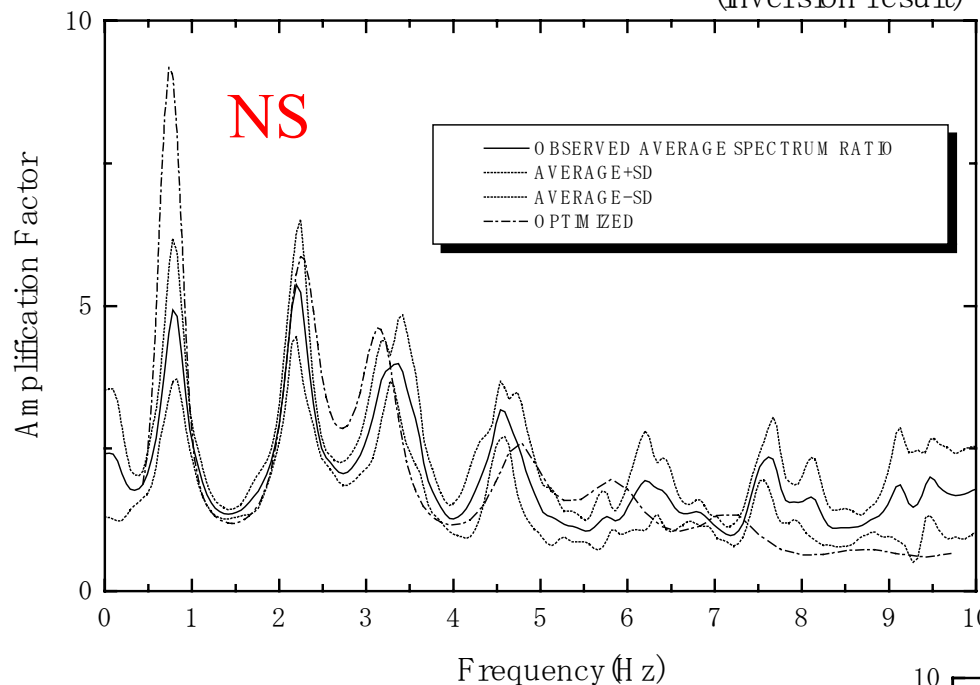


PIEW -direction GL-0m/GL-83.4m (After Shock)  
(inversion result)

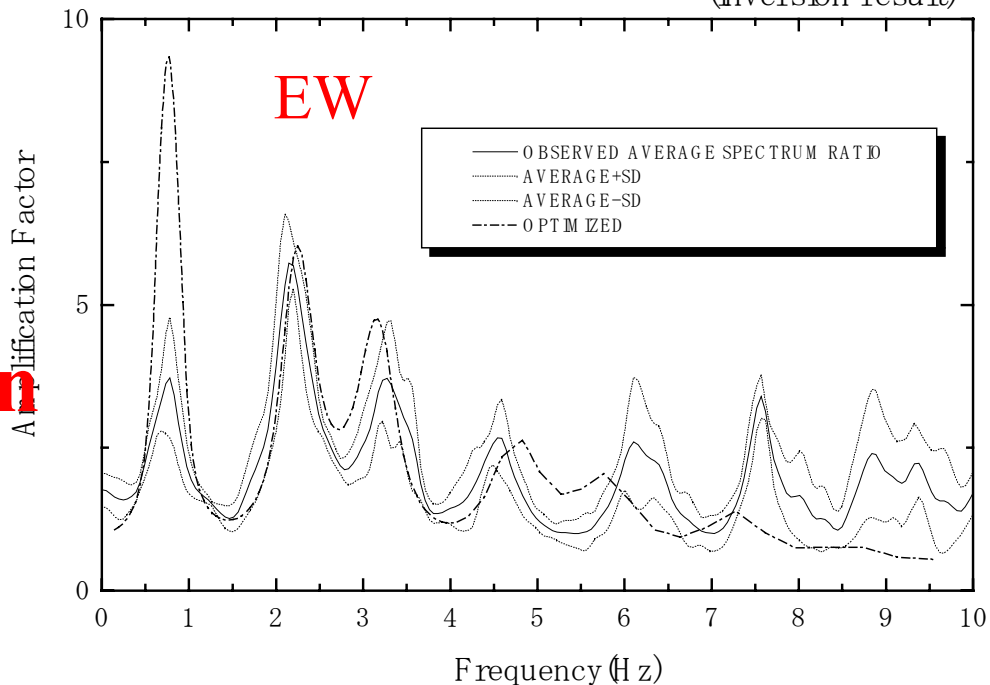


**Comparison of inversion  
and observation  
(Small shocks before main  
shock: PI)**

SGK NS-direction GL-0m/GL-97m (After Shock)  
(inversion result)

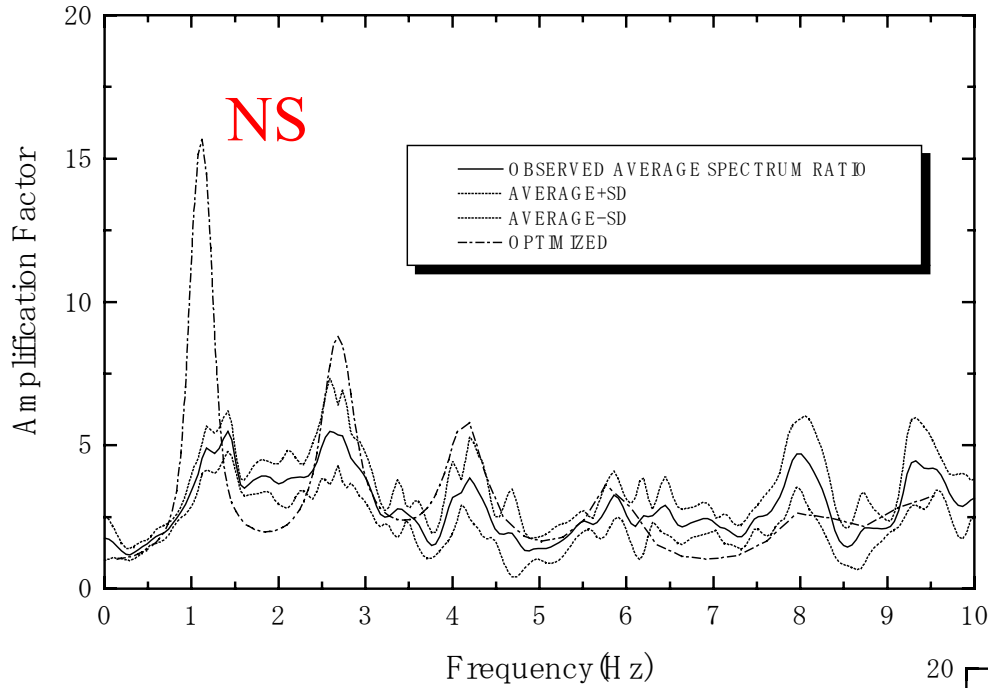


SGK EW-direction GL-0m/GL-97m (After Shock)  
(inversion result)

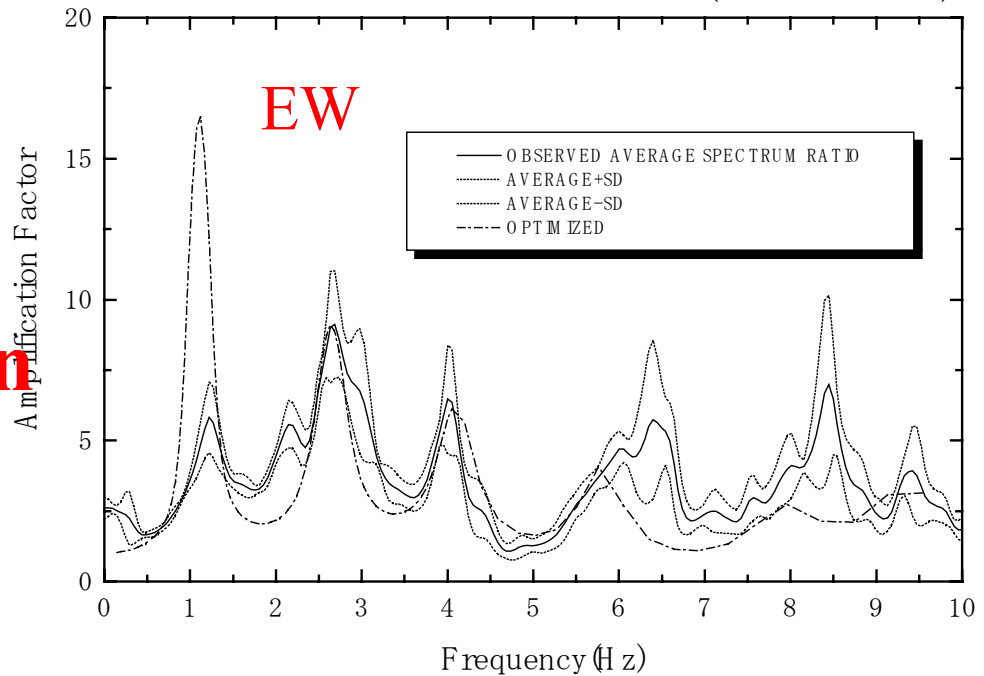


**Comparison of inversion  
and observation  
(Aftershocks:SGK)**

TKS NS-direction GL-0m/GL-100m (After Shock)  
(inversion result)

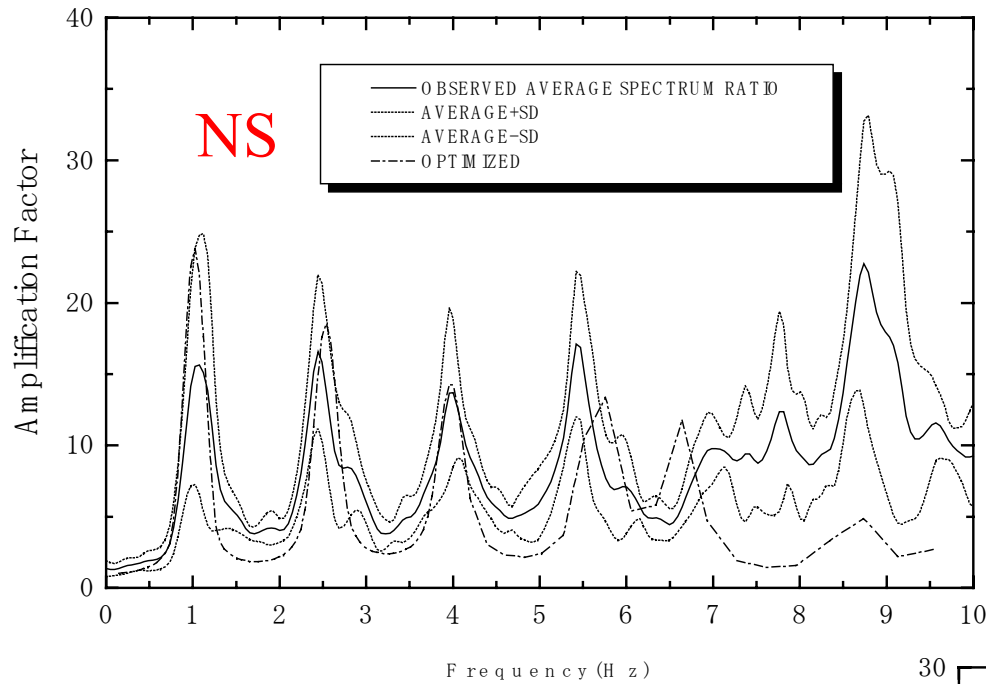


TKS EW -direction GL-0m/GL-100m (After Shock)  
(inversion result)

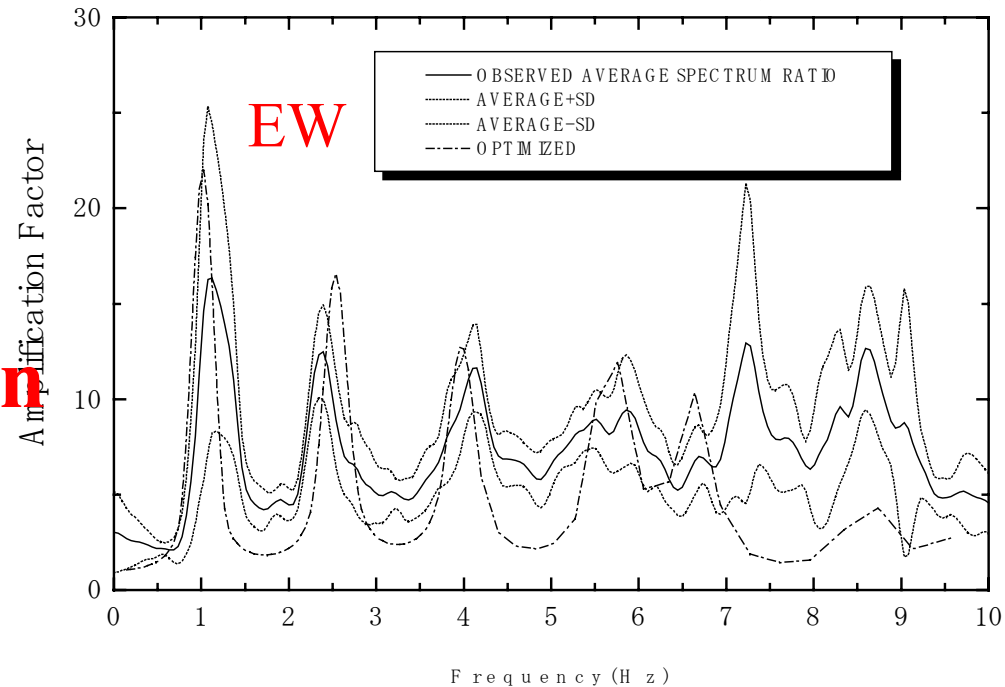


**Comparison of inversion  
and observation  
(Aftershocks:TKS)**

KNK NS-direction GL-0m/GL-100m (After Shock)  
(inversion result)



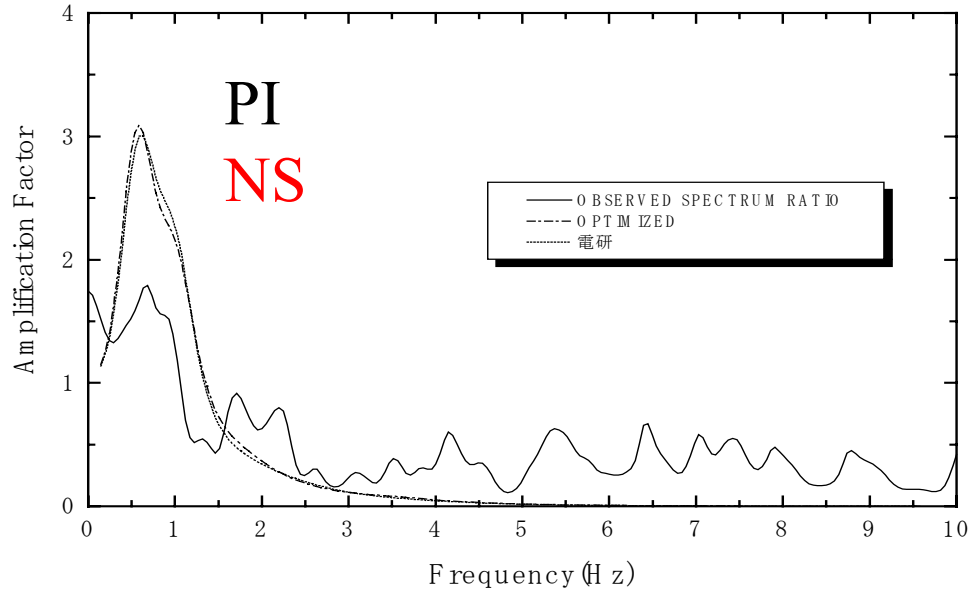
KNK EW -direction GL-0m/GL-100m (After Shock)  
(inversion result)



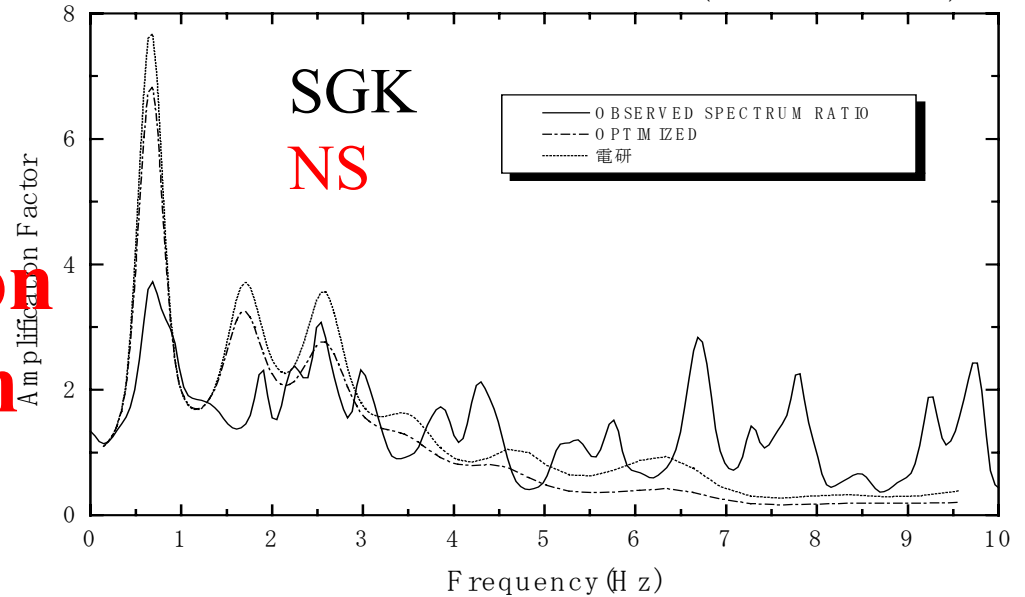
**Comparison of inversion  
and observation  
(Aftershocks:KNK)**



PI NS-direction GL-0m / GL-83.4m (Main Shock)  
(inversion result)

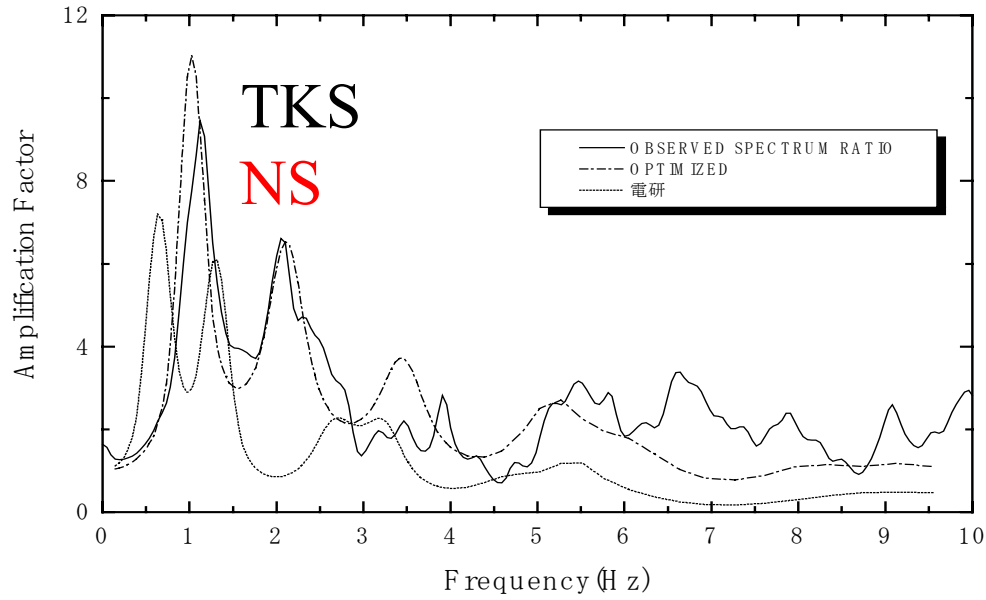


SGK NS-direction GL-0m / GL-97m (Main Shock)  
(inversion result)

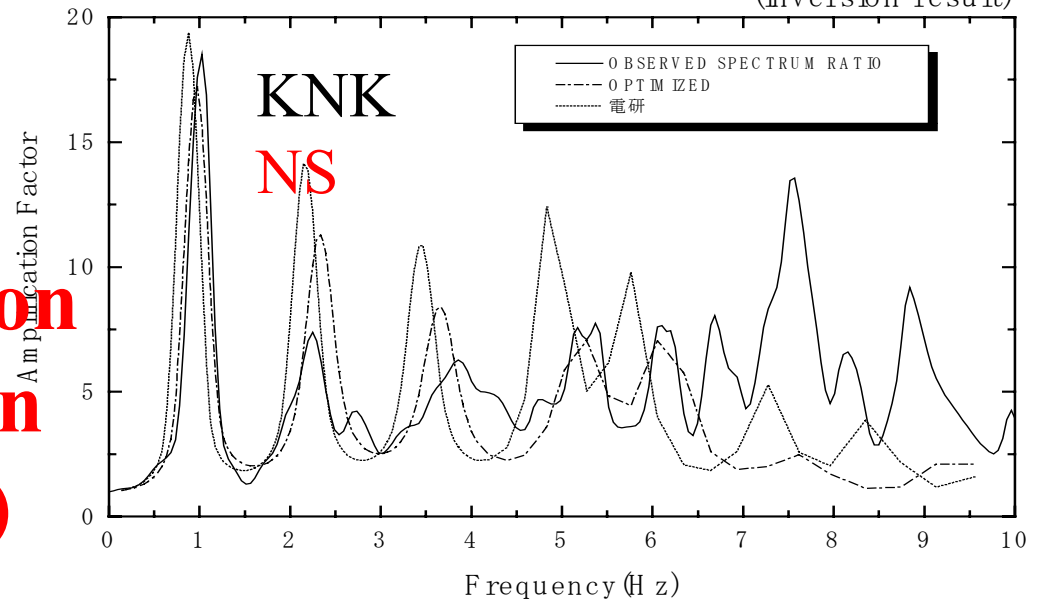


**Comparison of inversion  
and observation (Main  
shock: PI & SGK)  
Horizontal**

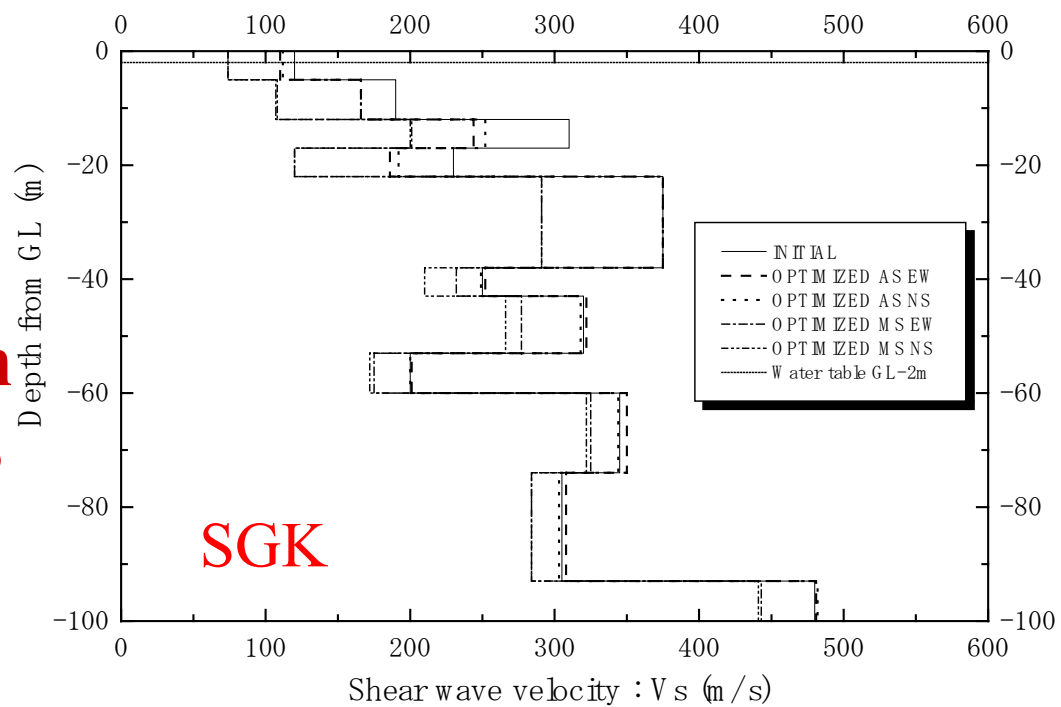
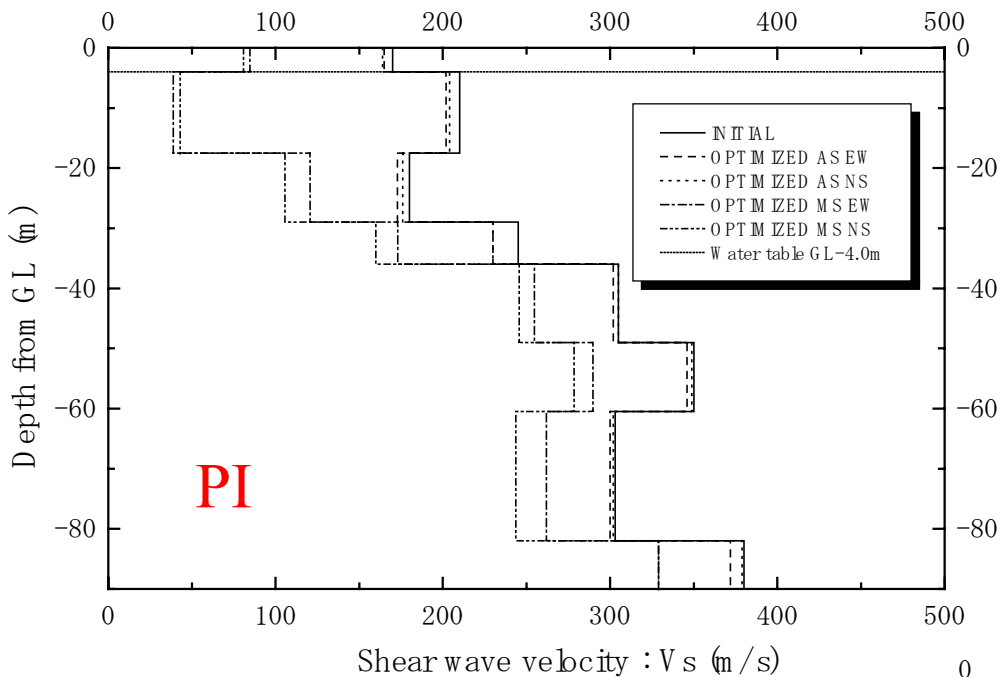
TKS NS-direction GL-0m/GL-100m (Main Shock)  
(inversion result)



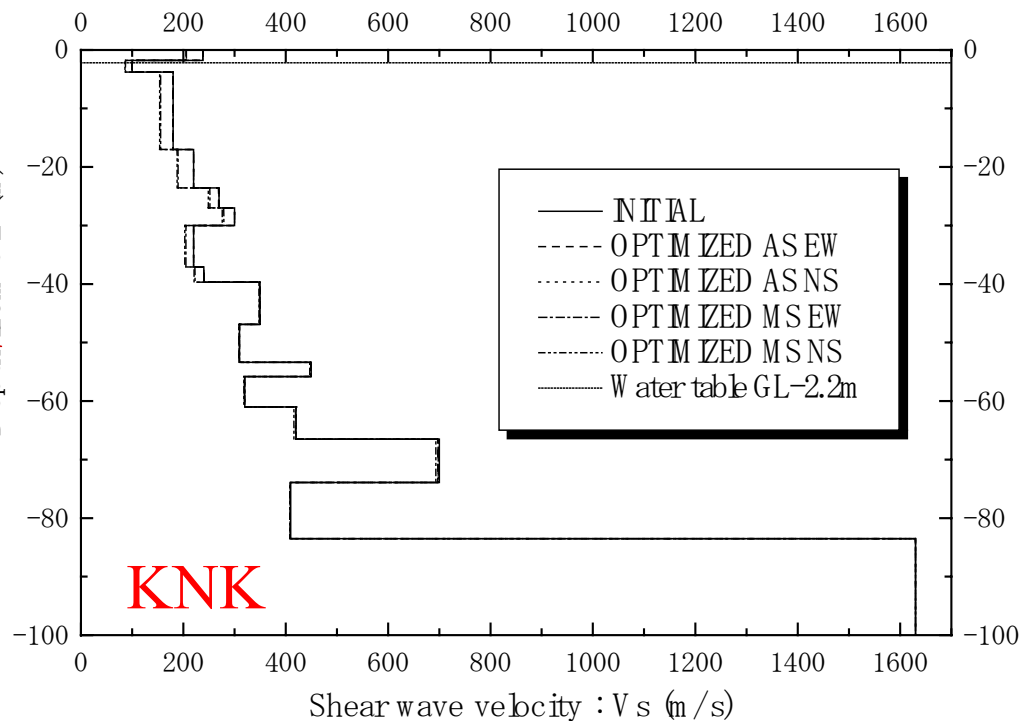
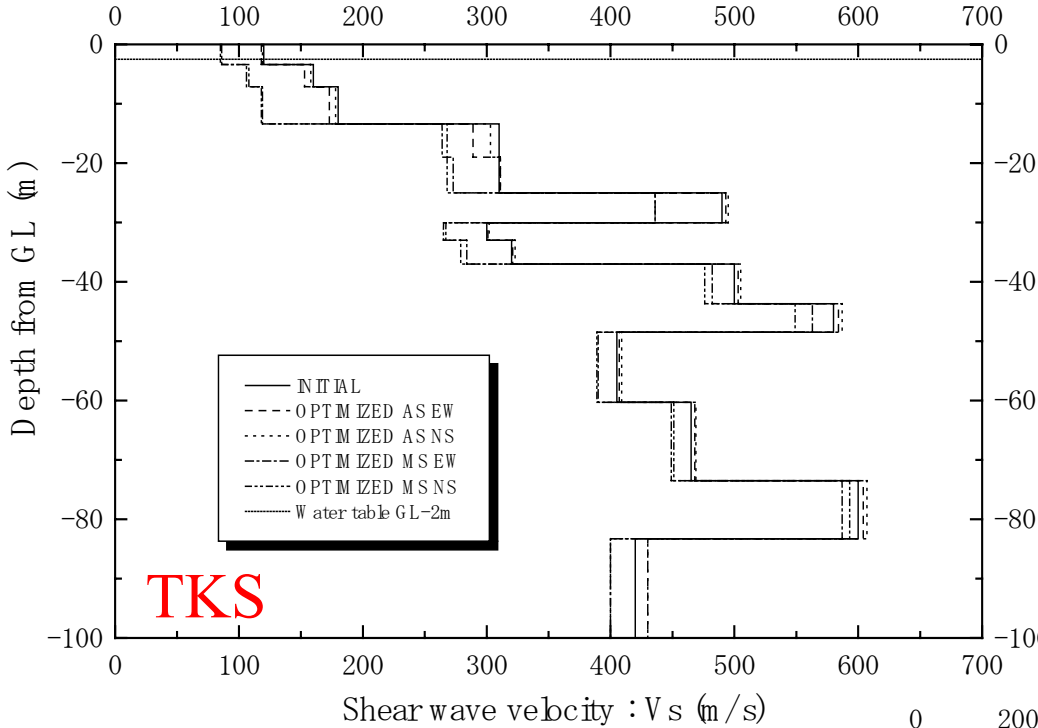
KNK NS-direction GL-0m/GL-100m (Main Shock)  
(inversion result)



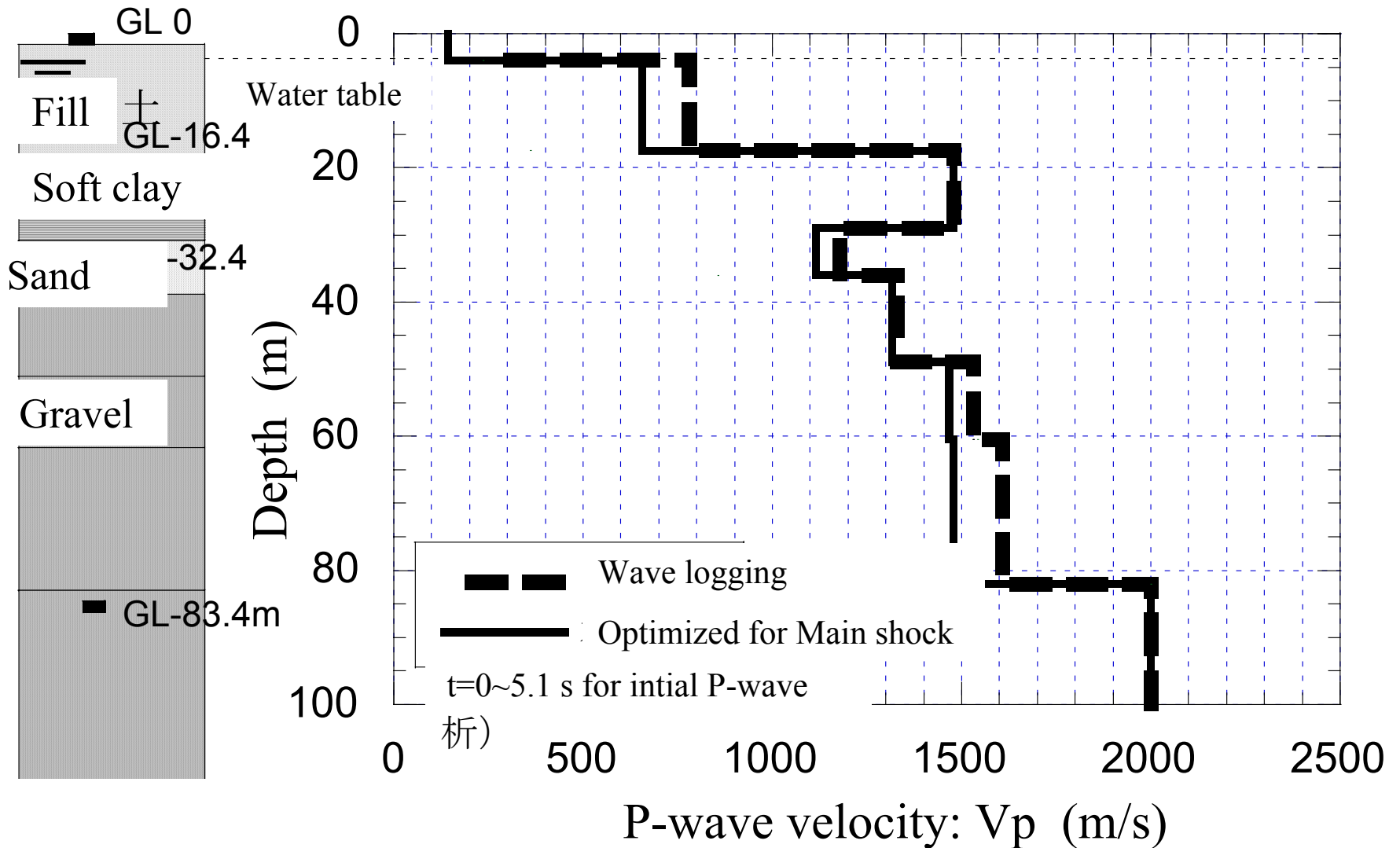
**Comparison of inversion  
and observation (Main  
shock: TKS & KNK)**



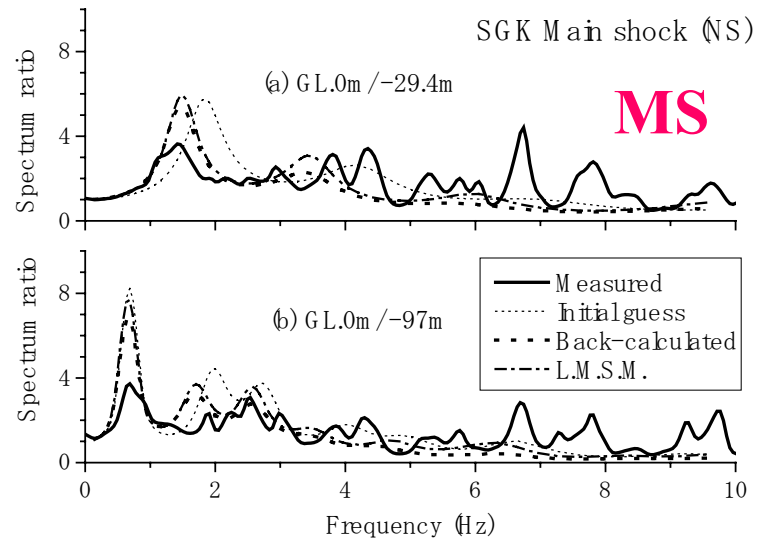
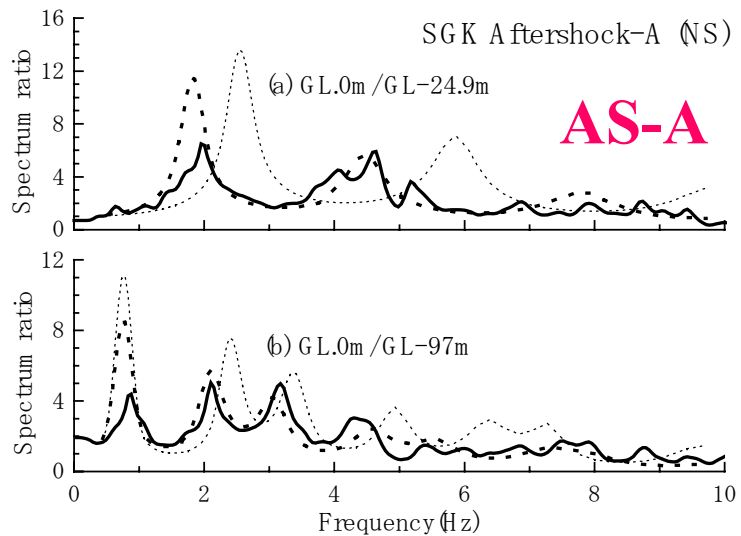
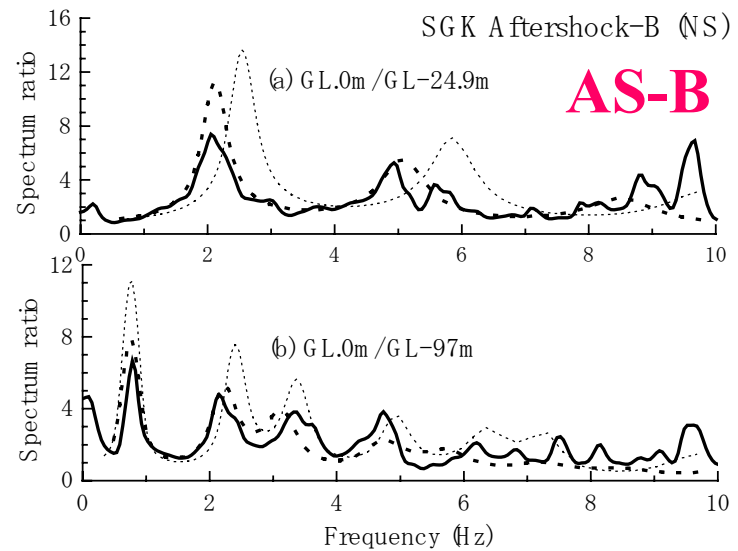
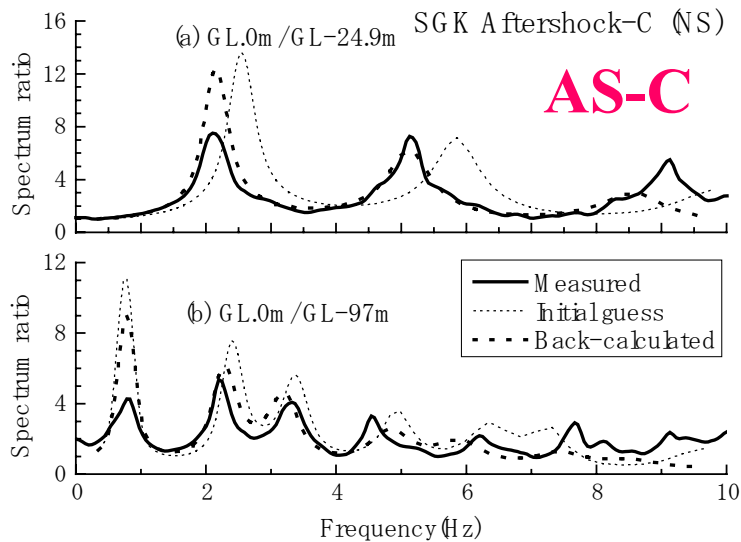
**Optimized  $V_s$  distribution  
along depth for MS & AS  
compared with wave-  
logging (PI & SGK)**



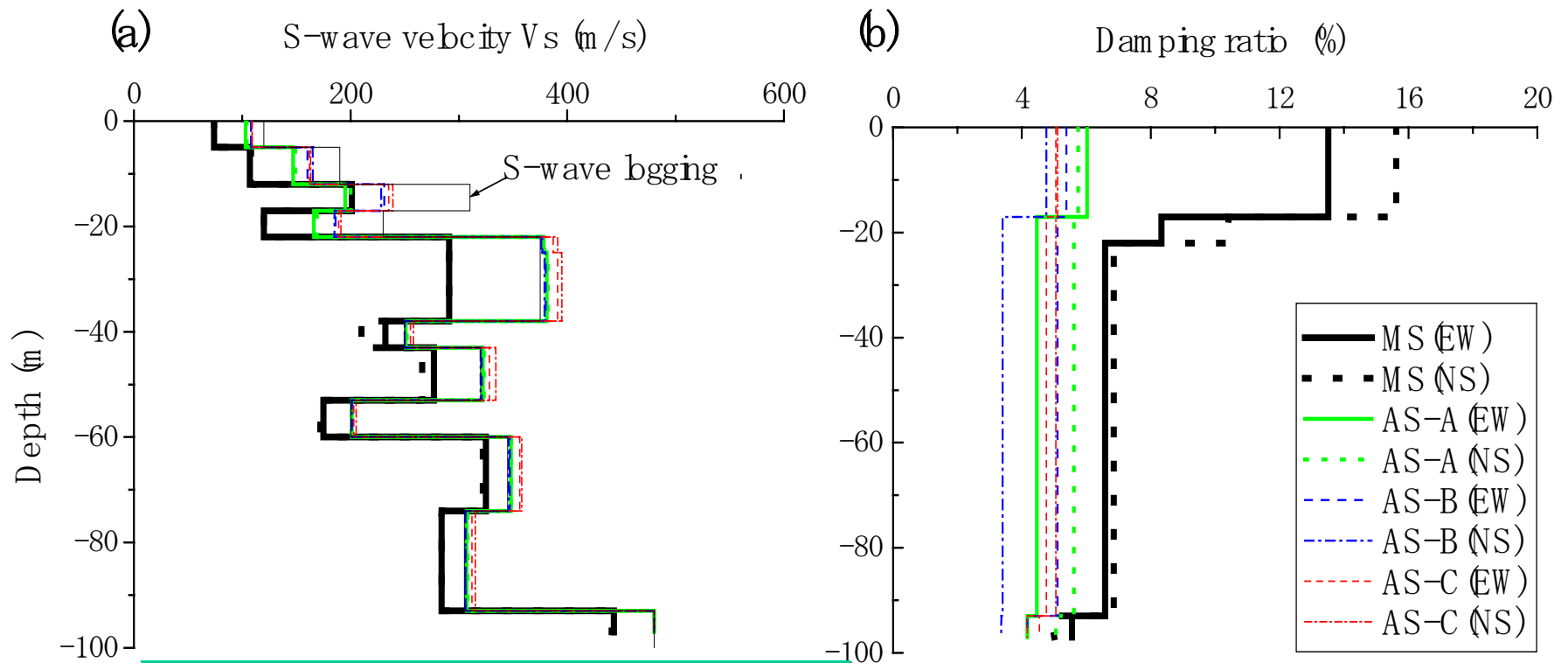
**Optimized Vs distribution  
along depth for MS & AS  
compared with wave-  
logging (TKS & KNK)**



**Comparison of inversion and observation  
(Main shock: PI) Vertical**

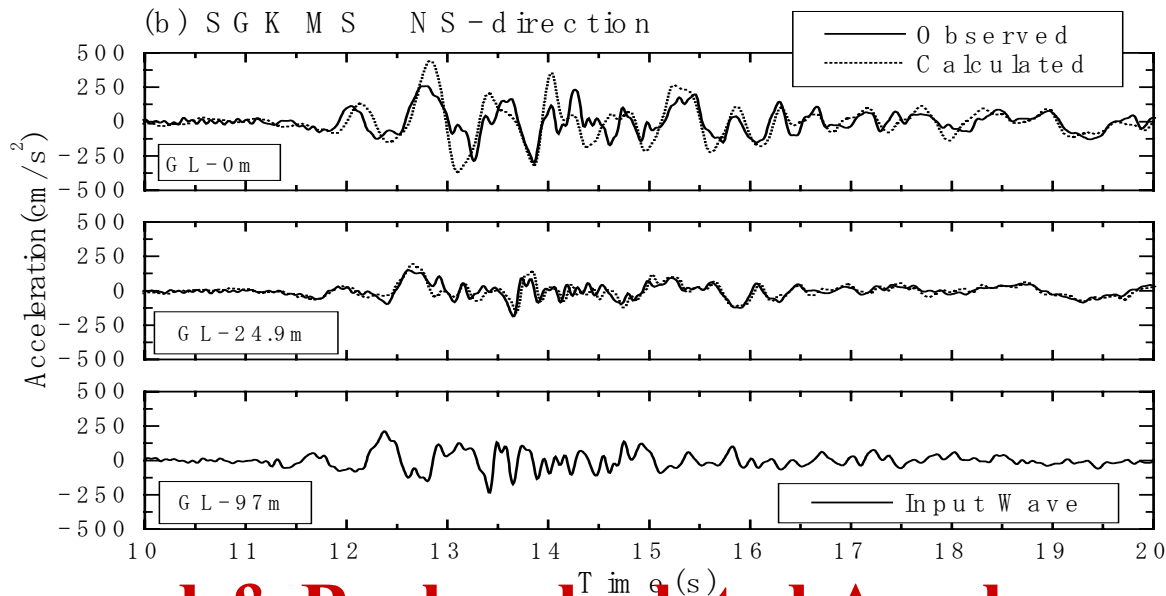
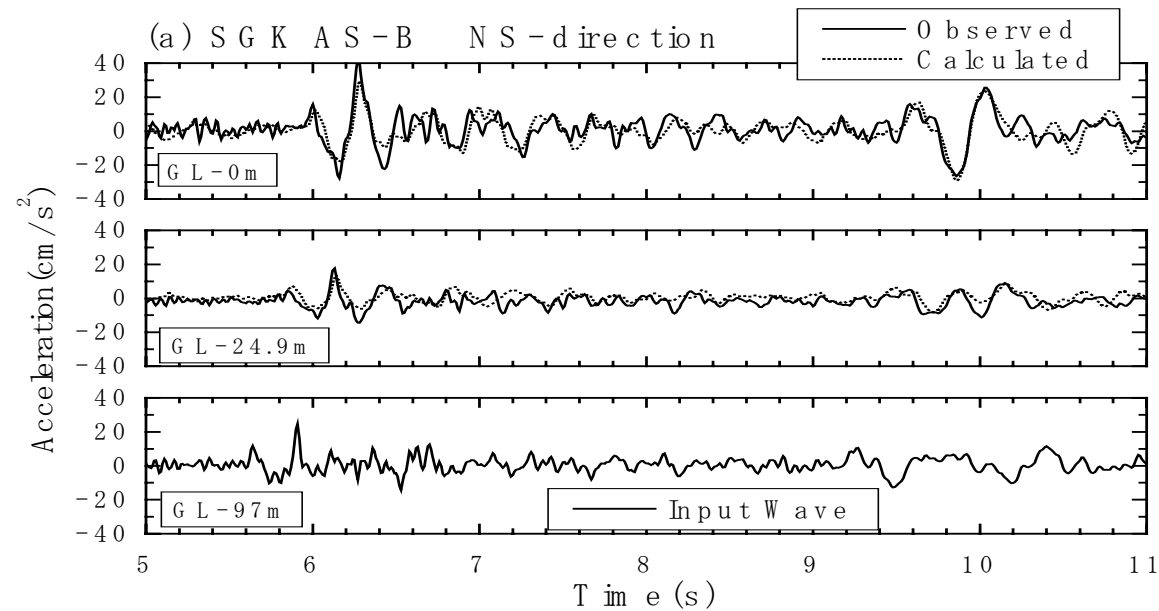


**Measured & Back-calculated spectrum ratios compared in SGK for Aftershocks C, B, A and Main Shock**



$$G/G_0 = \left( V_{S_{MS}} / V_{S_{AS}} \right)^2$$

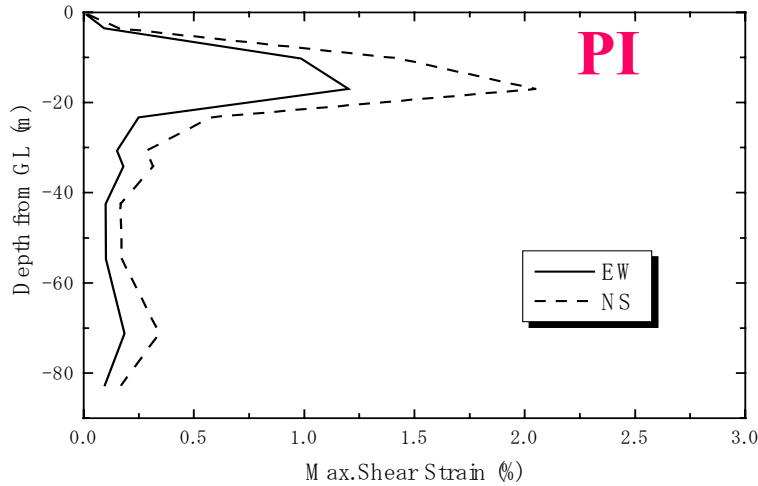
**Back-calculated S-wave velocity and Damping in SGK for Aftershocks and Main Shock**



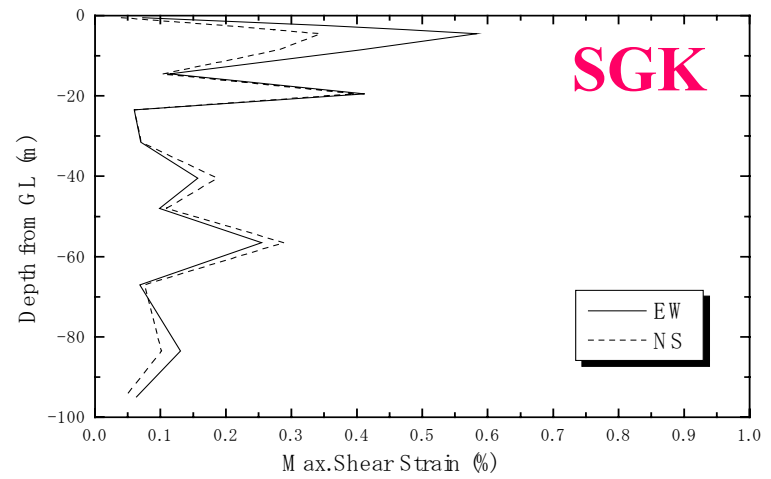
**Measured & Back-calculated Accelerograms  
compared in SGK for Aftershocks and Main Shock**



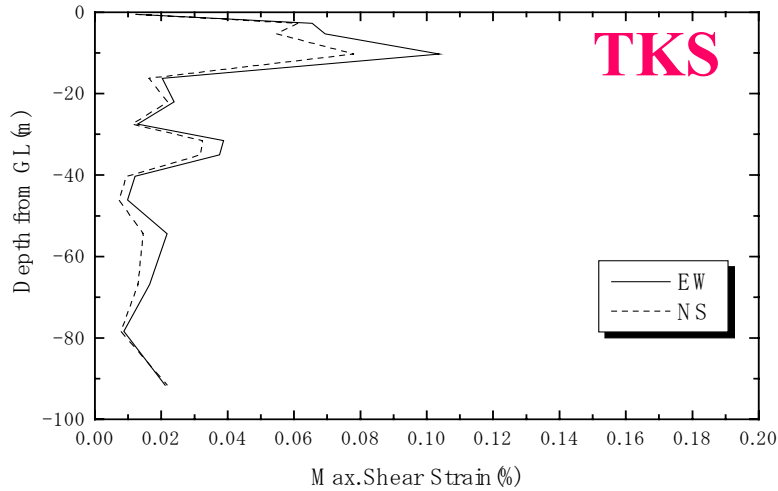
PIM ain Shock (01170546)



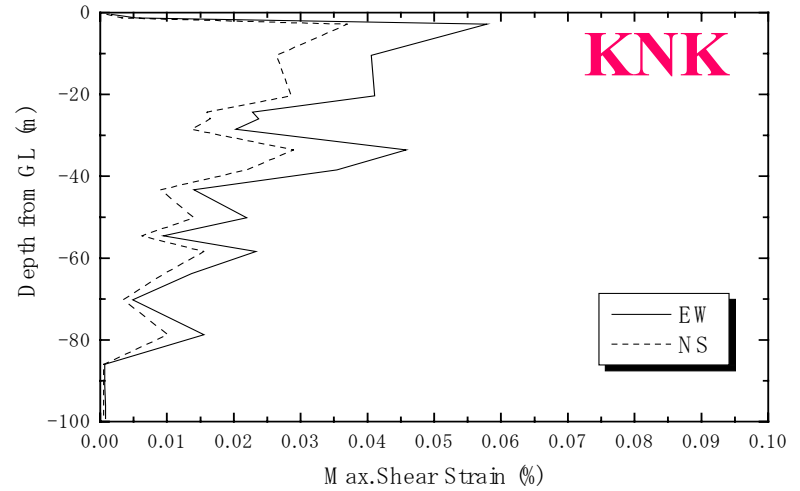
SG K M ain Shock (01170546)



TKS M ain Shock (01170546)



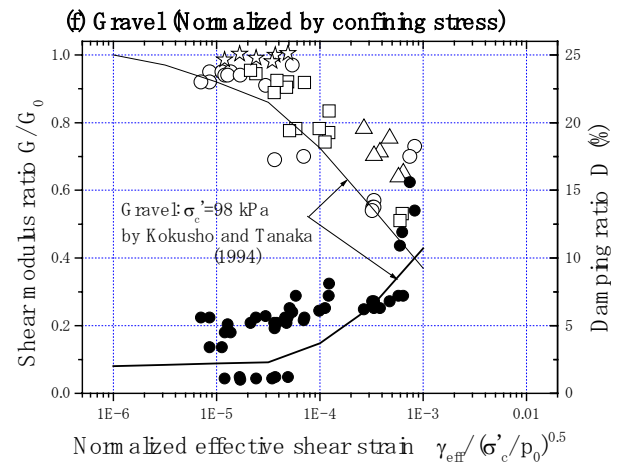
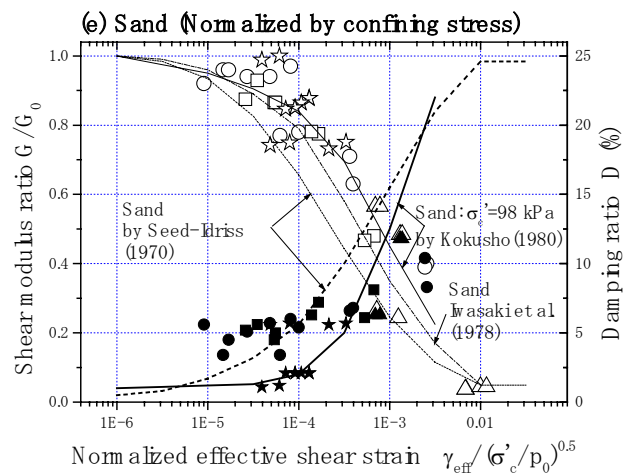
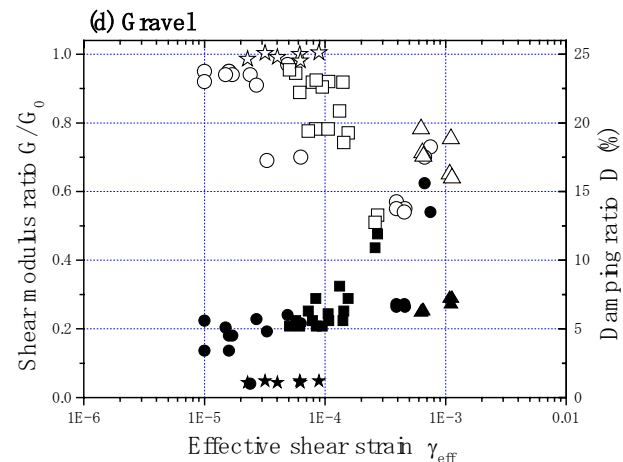
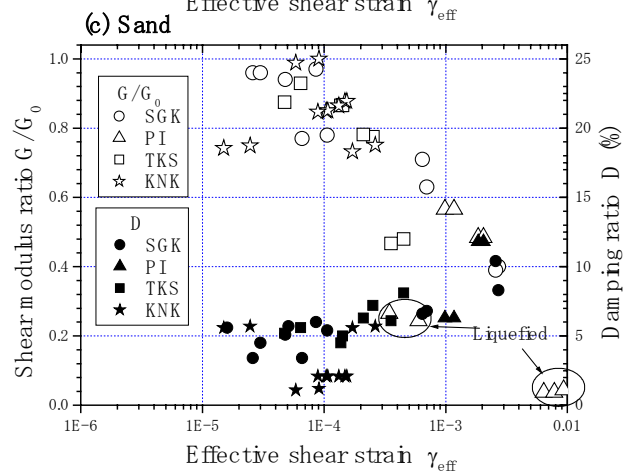
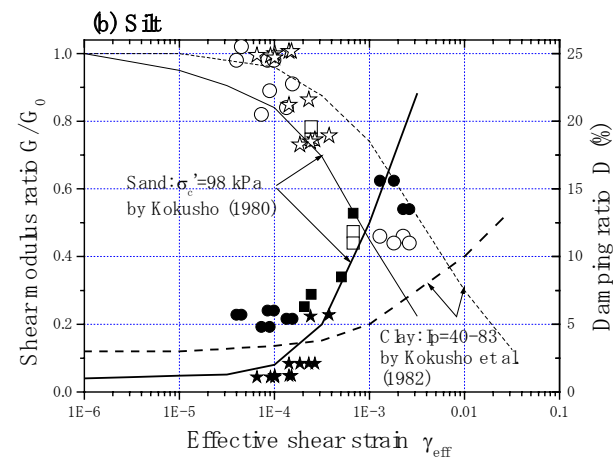
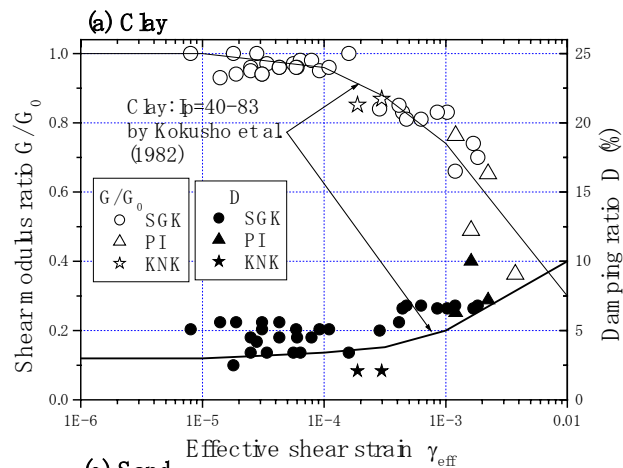
KNK M ain Shock (01170546)



$$\gamma_{eff} = 0.65 \cdot \gamma_{max}$$

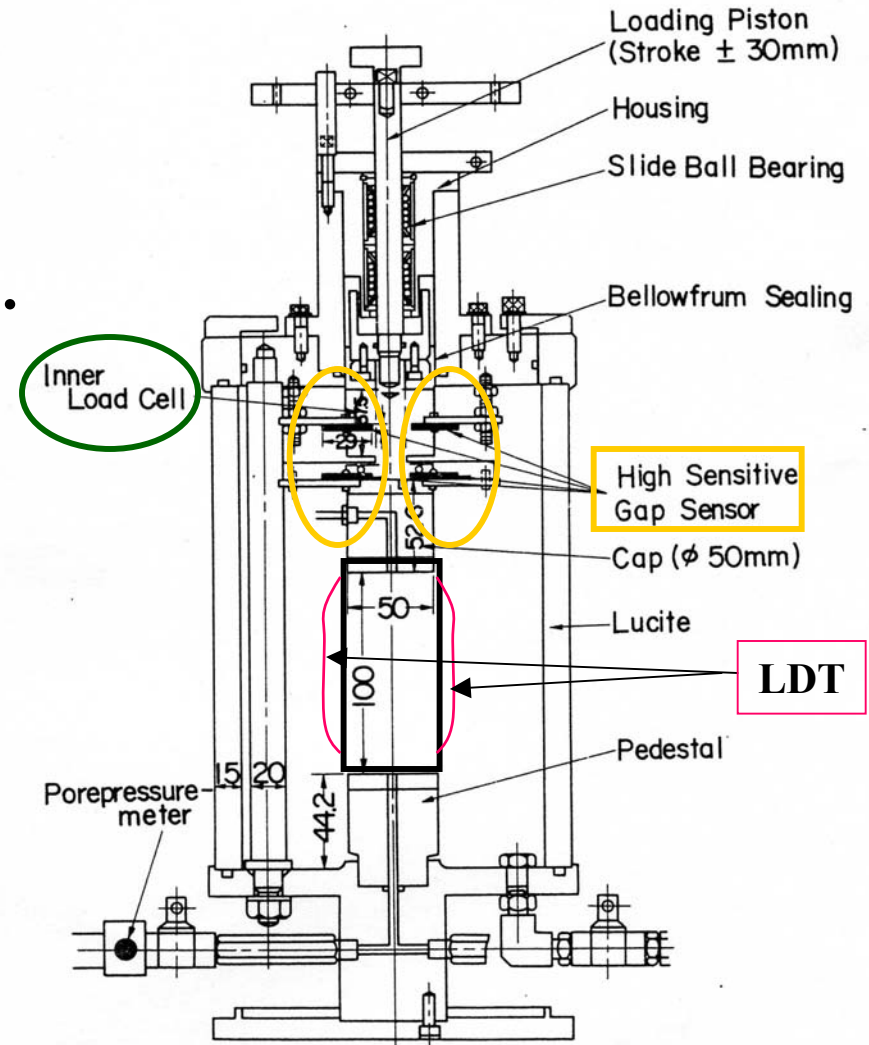
**Computed Max. shear strain versus Depth  
in 4 vertical array sites**

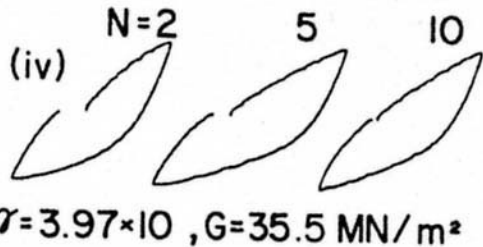
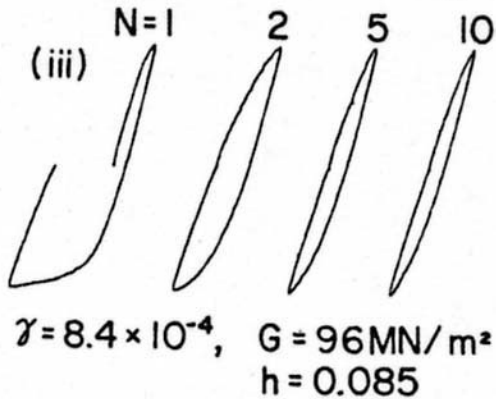
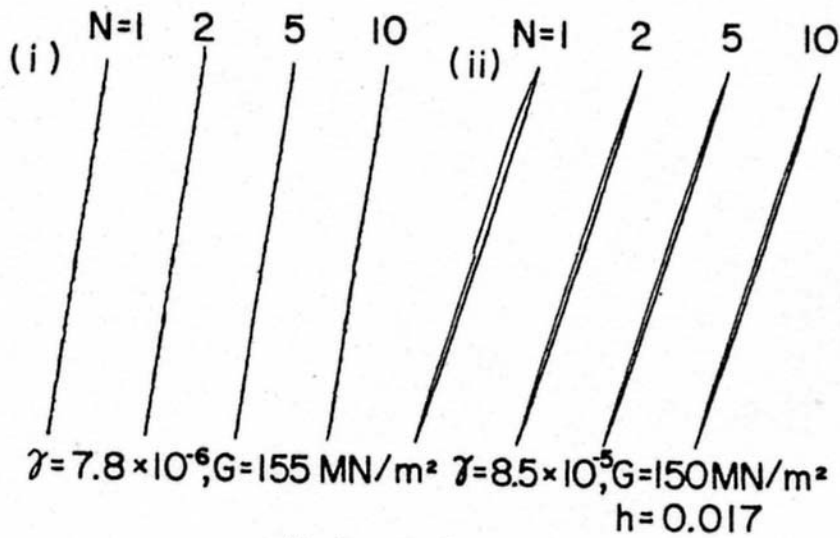
# $G/G_0 \sim \gamma_{\text{eff}}$ relationships for different soils



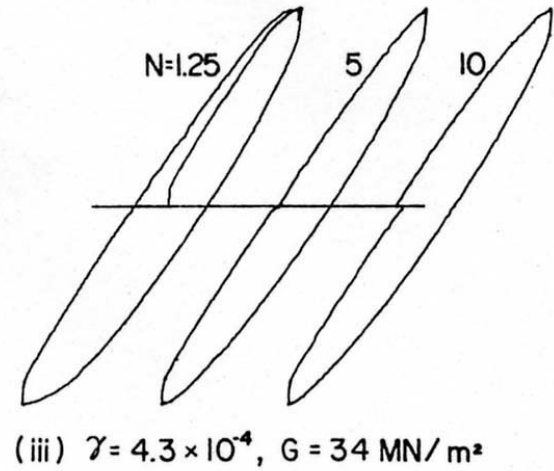
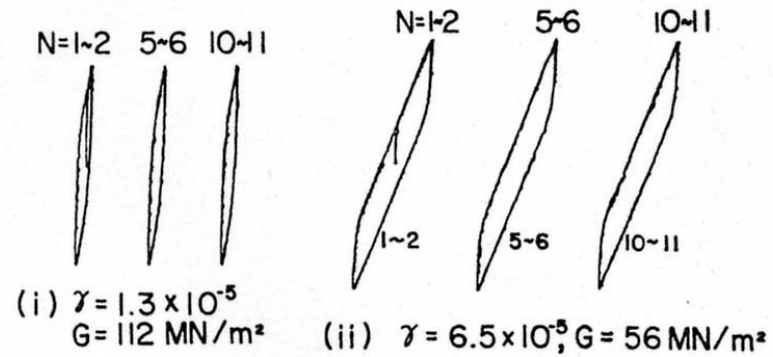
# In Japan, How to evaluate strain-dependent shear modulus and damping ratio in laboratory.

- 1) Use triaxial device **with inner load cell**.
- 2) Employ **high-sensitivity gap sensors or LDT** for vertical displacement measurement.
- 3) Undrained cyclic loading test for  $f=1\sim 0.1$  Hz **shear modulus** and **damping** for wide strain range of  $10^{-6}$ - $10^{-2}$ .
- 4) For small strain modulus, pulse tests or bender element test are also employed. Resonant column test is not so popular.





TEST No.53,  $\sigma_c = 200 \text{ KN/m}^2$

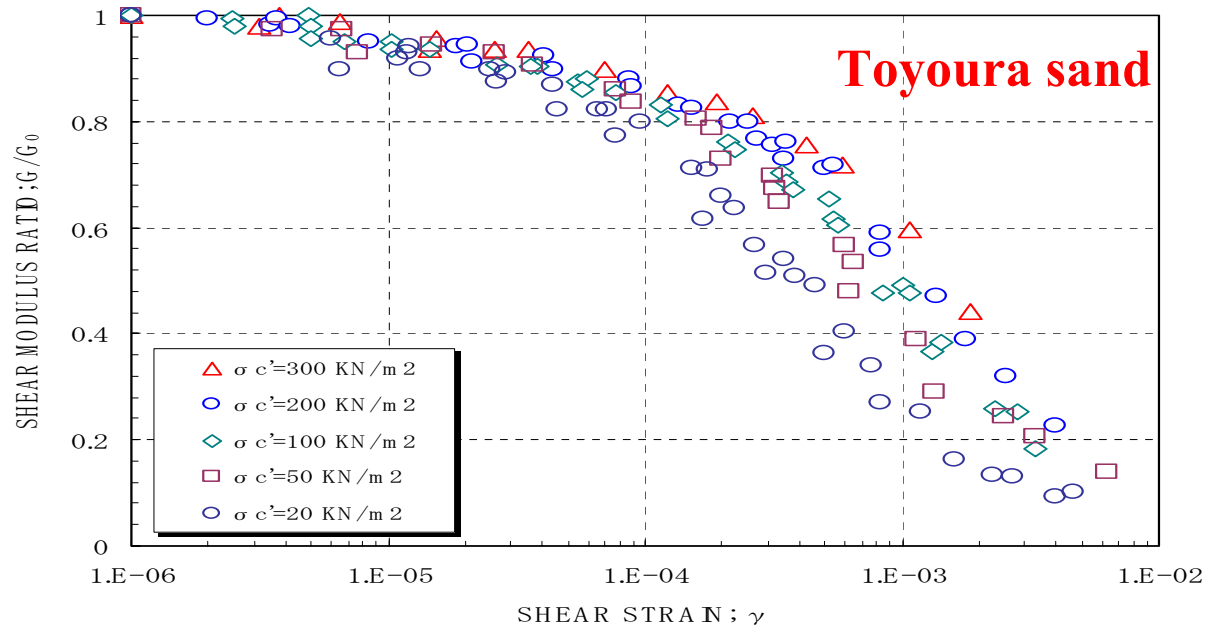
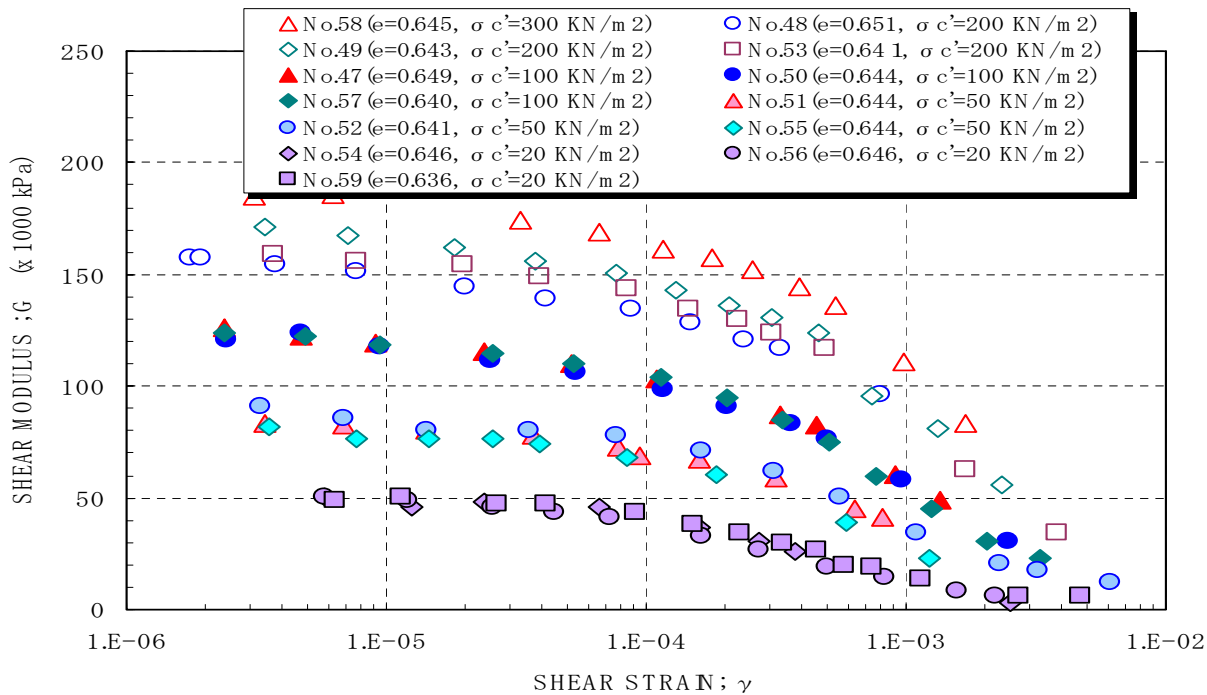


GIFU SAND  $\sigma'_c = 100 \text{ KN/m}^2$   $e = 0.86$   
 $(1 \text{ kgf/cm}^2 = 98 \text{ KN/m}^2)$

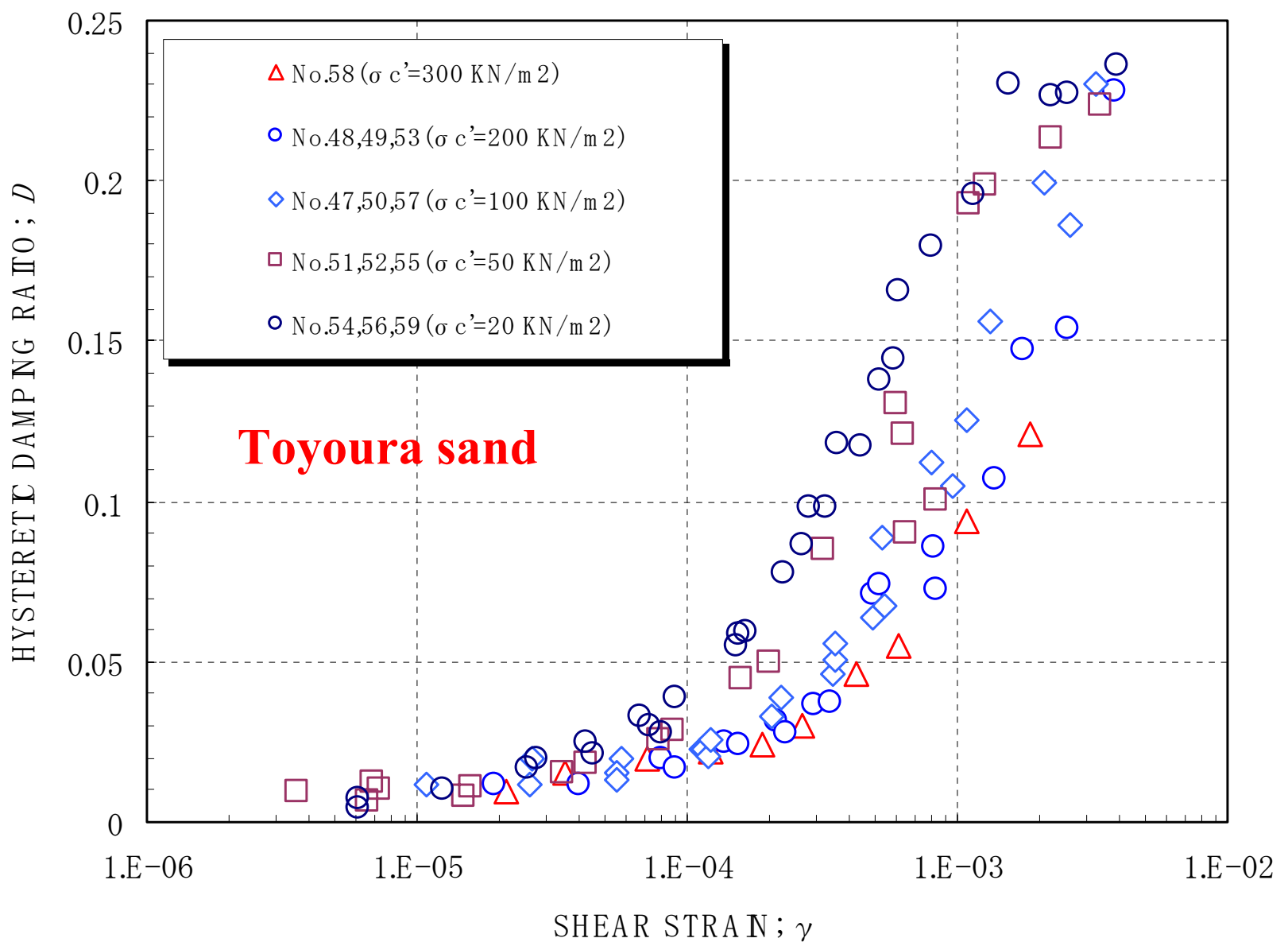
**Measured by  
conventional sensor**

**Measured by gap sensor**

kokusho (1980)

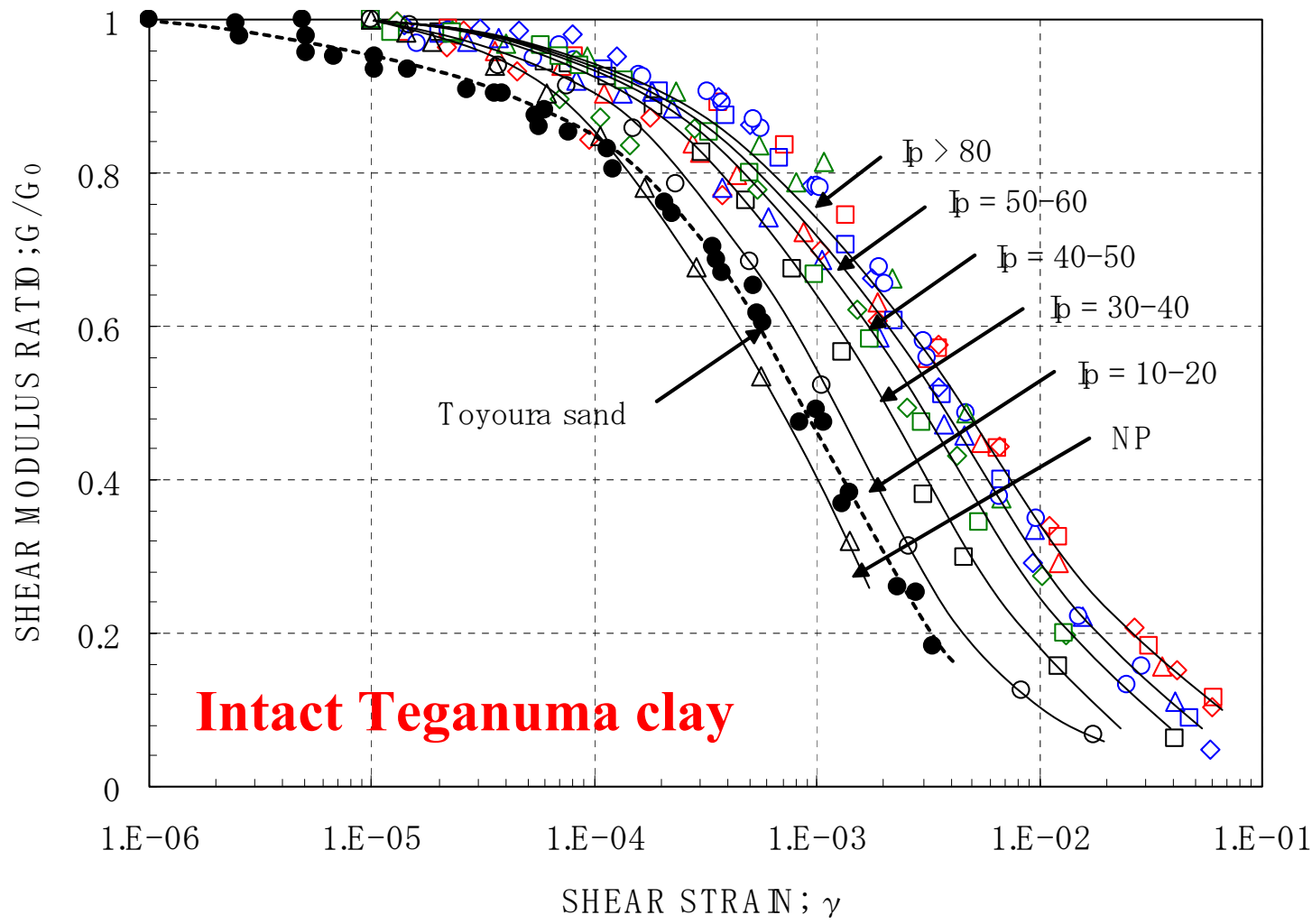


**Shear modulus versus strain of sand for different confining stress**

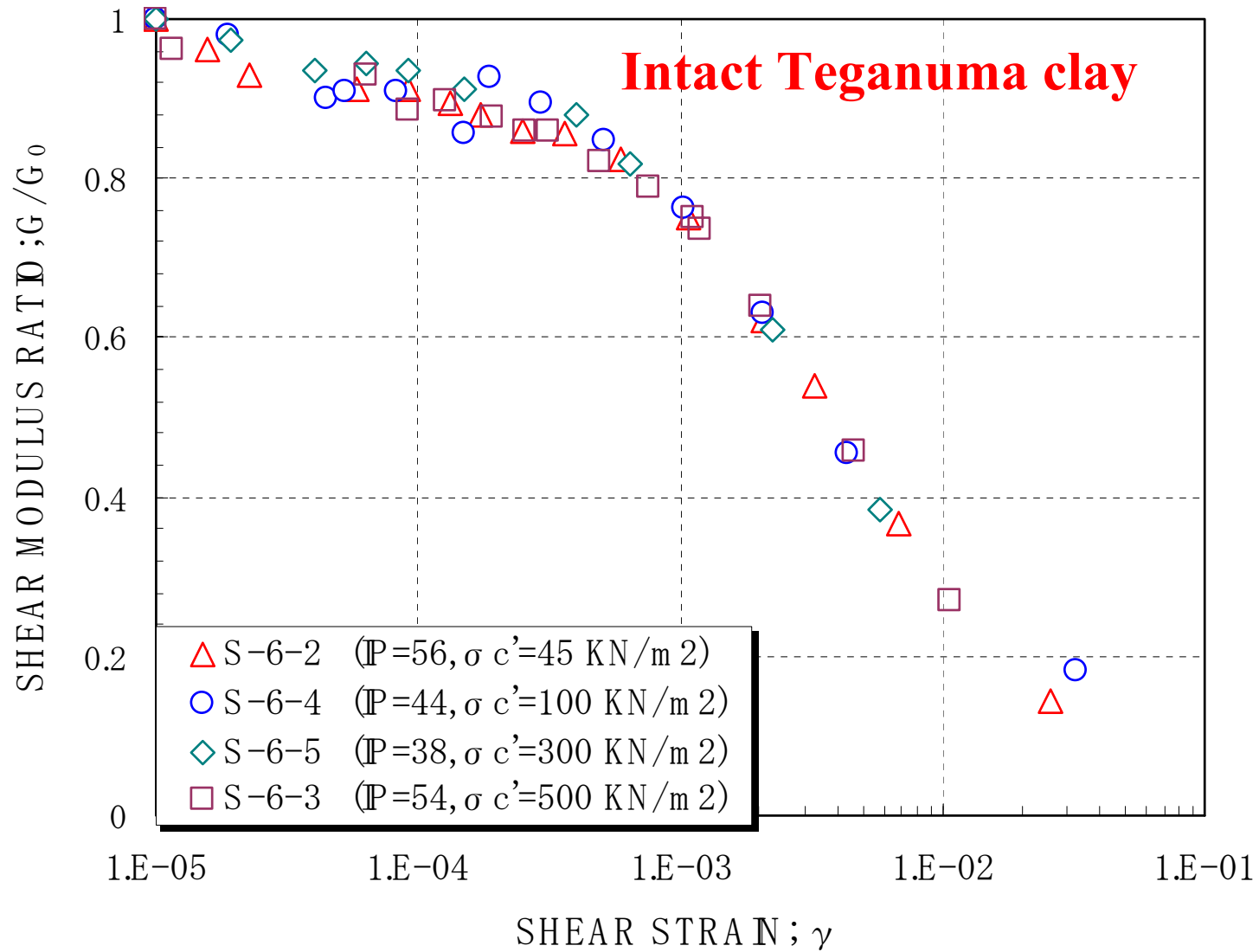


**Hysteretic damping ratio of sand for different confining stress**

△ S-1-1 (P=90, $\sigma' c'=16$ KN/m <sup>2</sup> )	□ S-1-3 (P=38, $\sigma' c'=16$ KN/m <sup>2</sup> )	◇ S-2-1 (P=96, $\sigma' c'=16$ KN/m <sup>2</sup> )
□ S-2-3 (P=85, $\sigma' c'=16$ KN/m <sup>2</sup> )	△ S-4-1 (P=52, $\sigma' c'=31$ KN/m <sup>2</sup> )	○ S-4-3 (P=54, $\sigma' c'=29$ KN/m <sup>2</sup> )
◇ S-5-1 (P=57, $\sigma' c'=29$ KN/m <sup>2</sup> )	□ S-5-3 (P=52, $\sigma' c'=36$ KN/m <sup>2</sup> )	△ S-6-1 (P=46, $\sigma' c'=46$ KN/m <sup>2</sup> )
○ S-6-2 (P=56, $\sigma' c'=45$ KN/m <sup>2</sup> )	◇ S-6-1 (P=49, $\sigma' c'=46$ KN/m <sup>2</sup> )	□ S-8-1 (P=41, $\sigma' c'=62$ KN/m <sup>2</sup> )
△ S-9-1 (P=NP, $\sigma' c'=69$ KN/m <sup>2</sup> )	○ S-9-2 (P=14, $\sigma' c'=68$ KN/m <sup>2</sup> )	● Toyoura sand ( $\sigma' c'=100$ KN/m <sup>2</sup> )

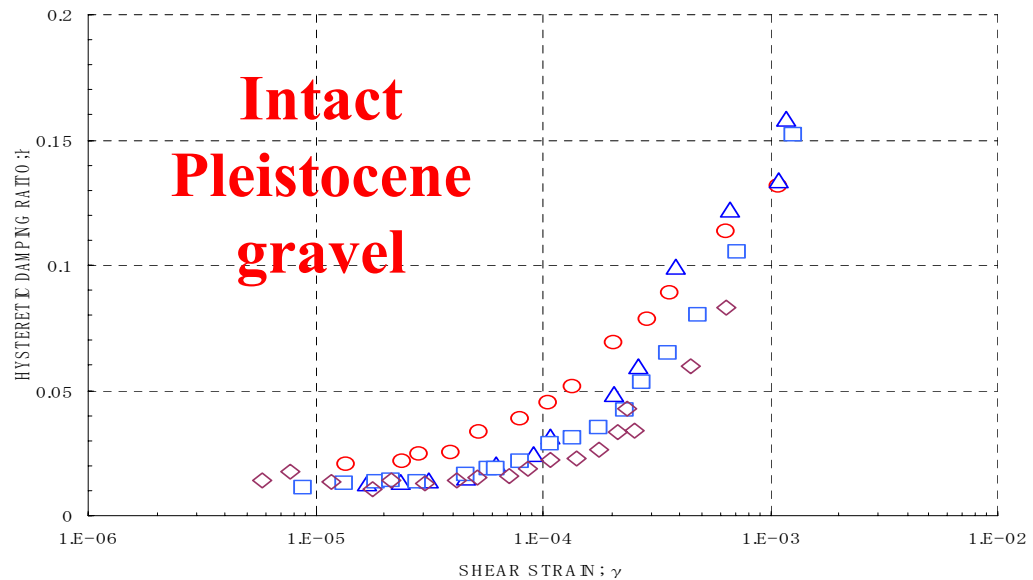
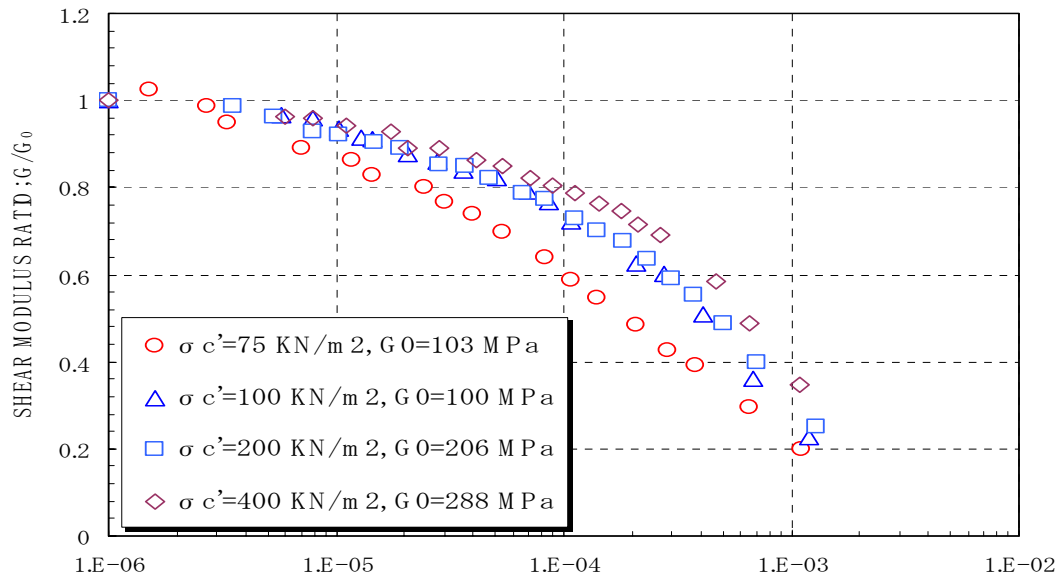


**Shear modulus ratio versus strain of clay for different  $I_p$**

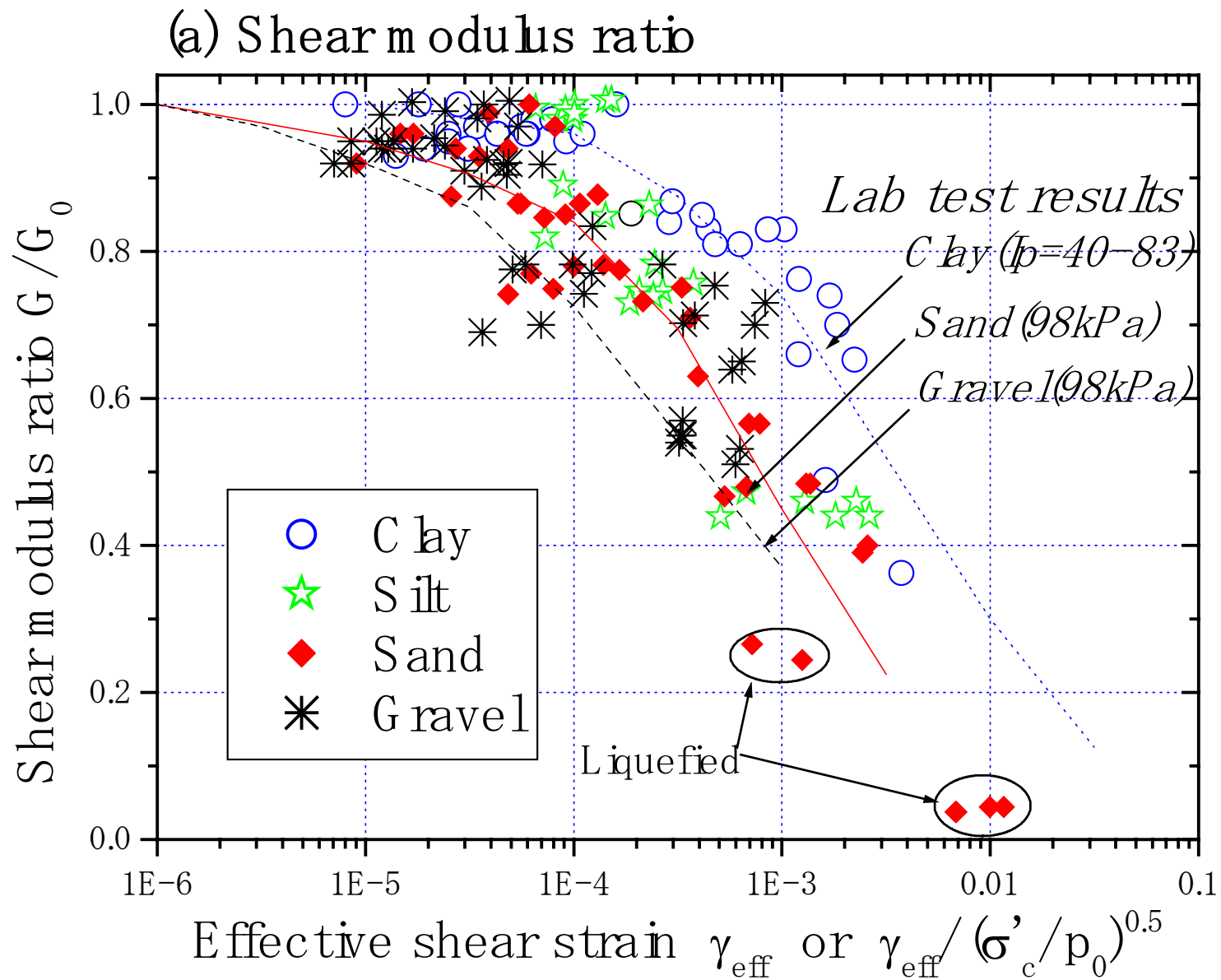


**Effect of confining stress on modulus degradation**

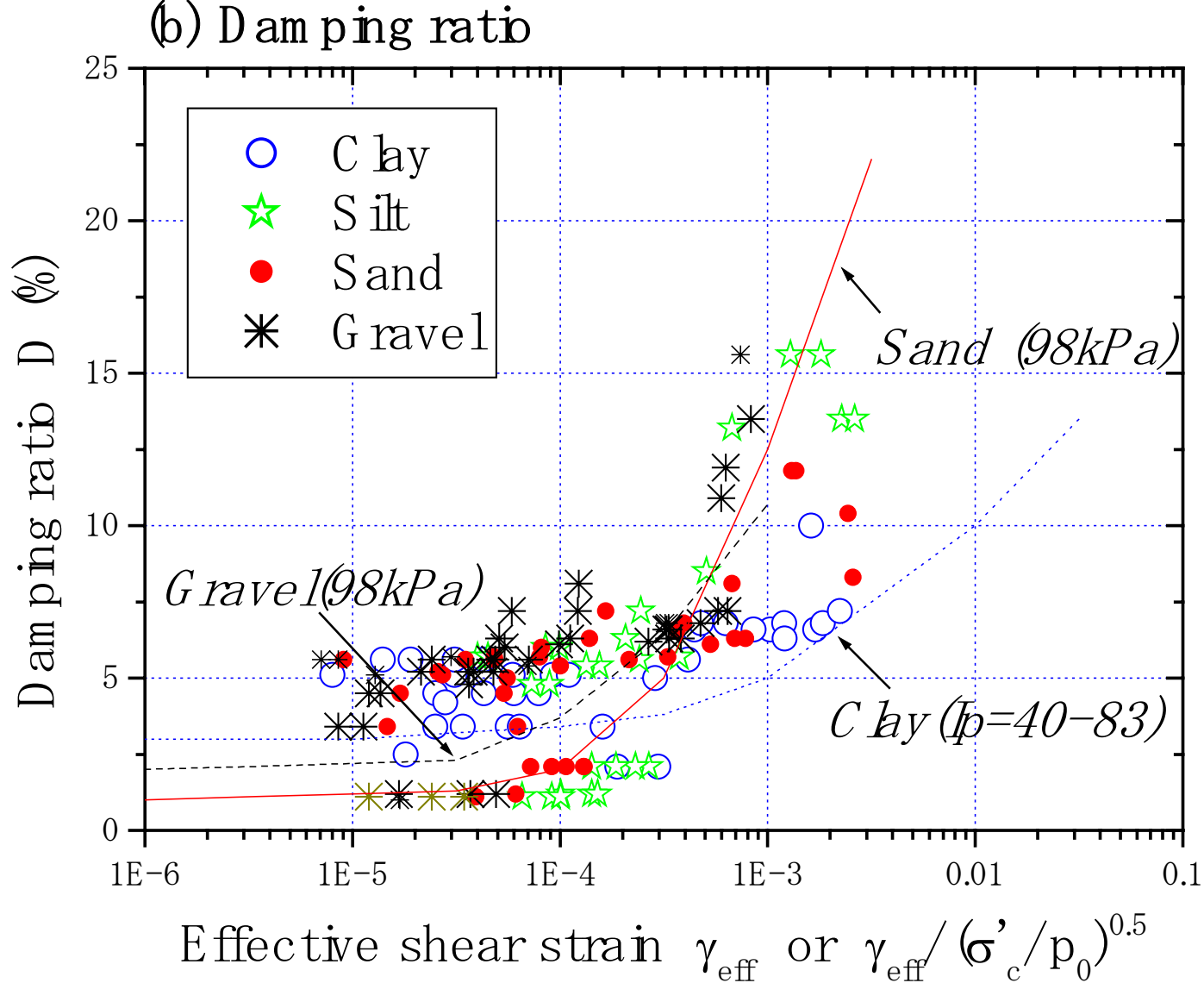




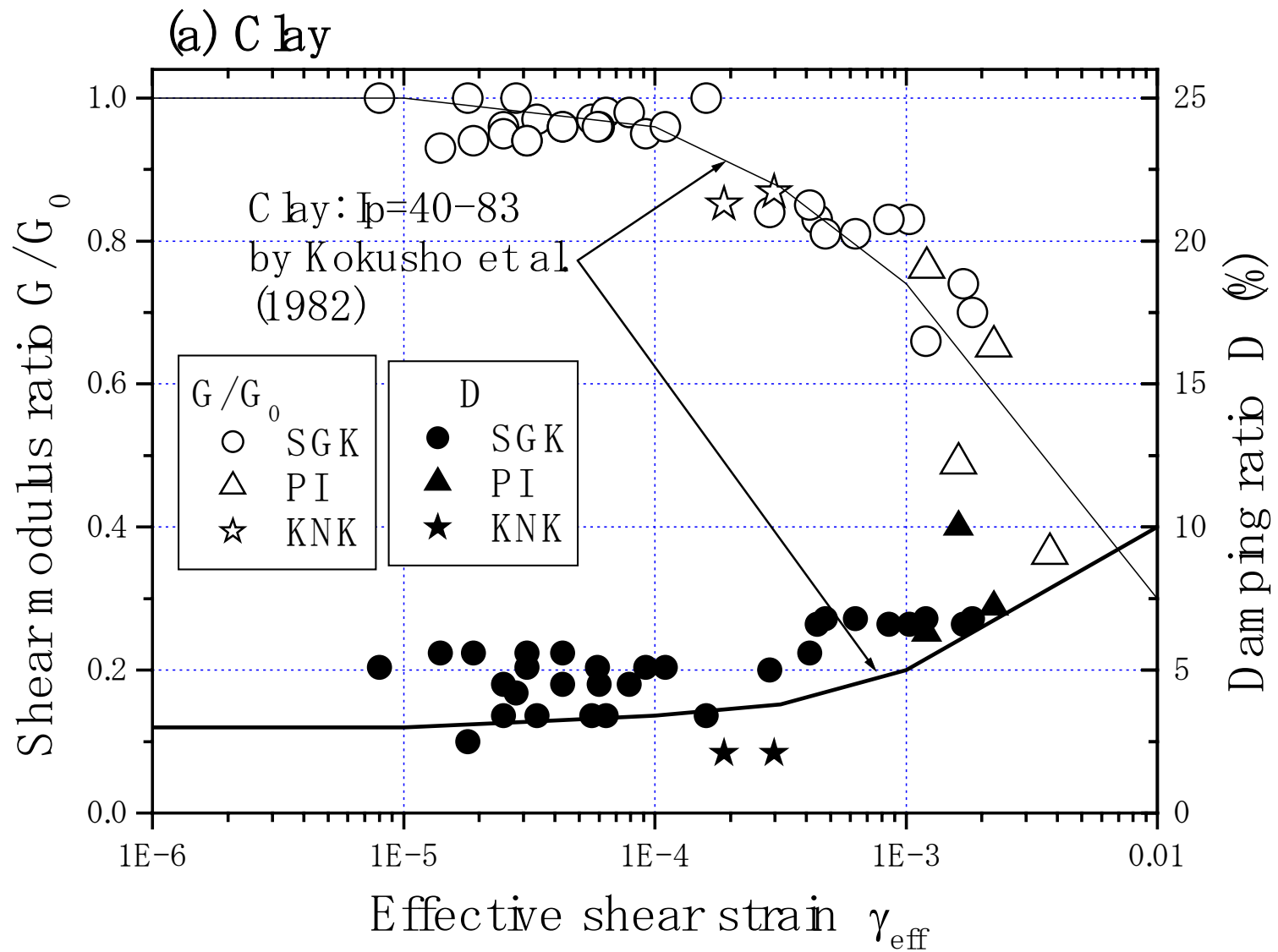
**Modulus & Damping ratio versus strain of gravel for different confining stress**



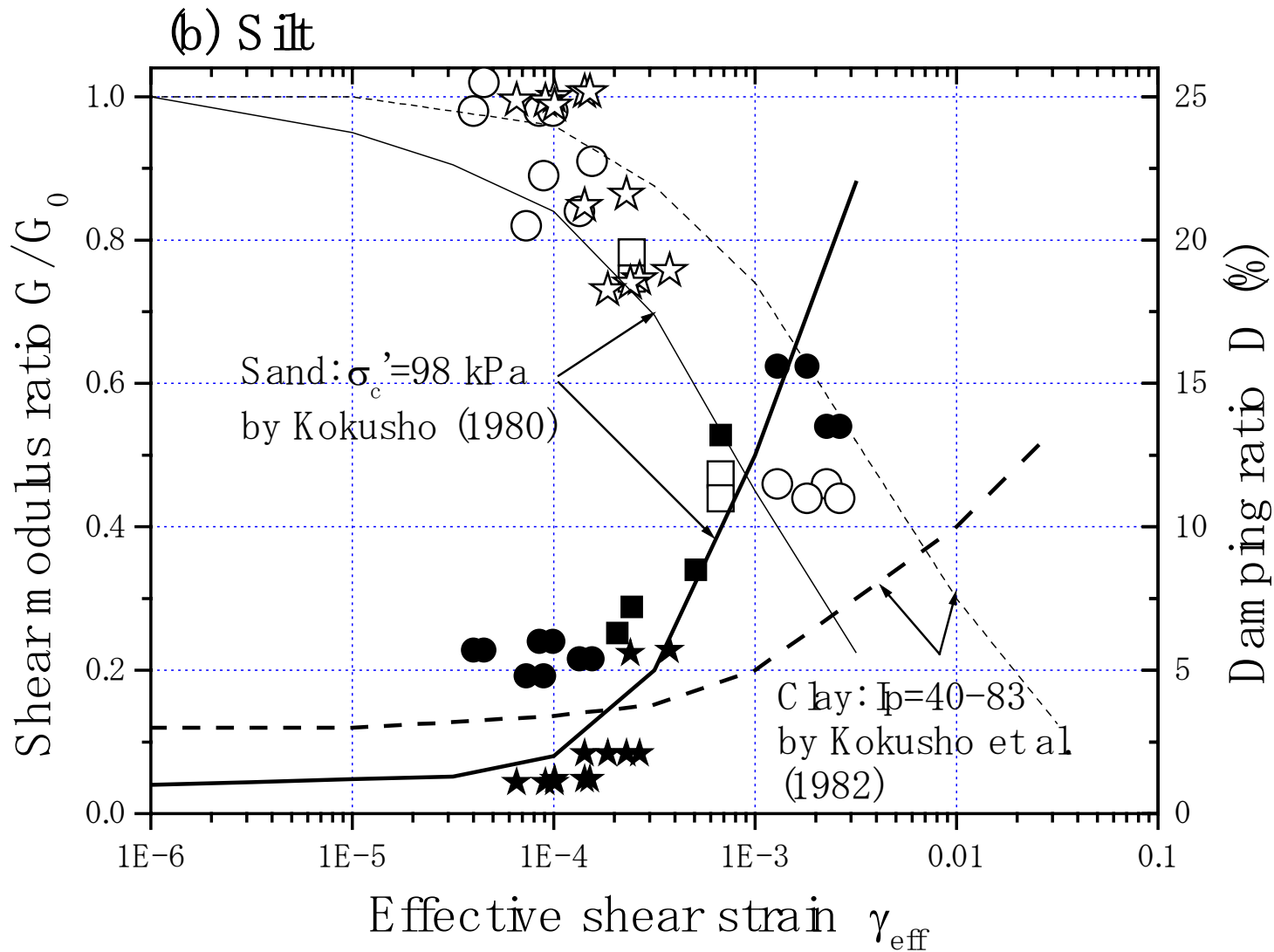
**Back-calculated strain-dependent modulus degradations of 4 types of soils compared with previous lab tests**



**Back-calculated damping ratios versus strain of 4 types of soils compared with previous lab tests**

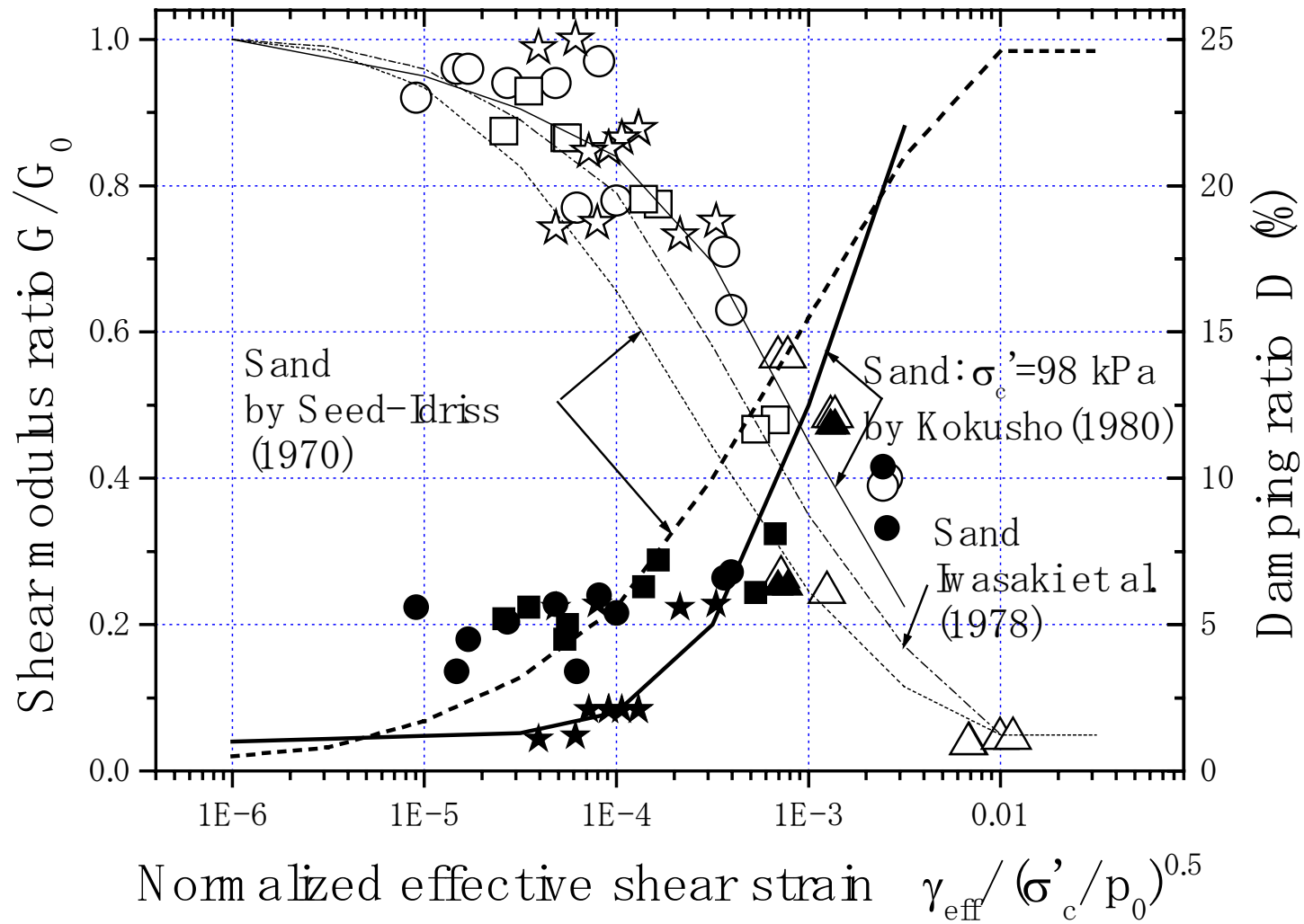


**Back-calculated modulus degradation and damping compared with lab test (Clay)**



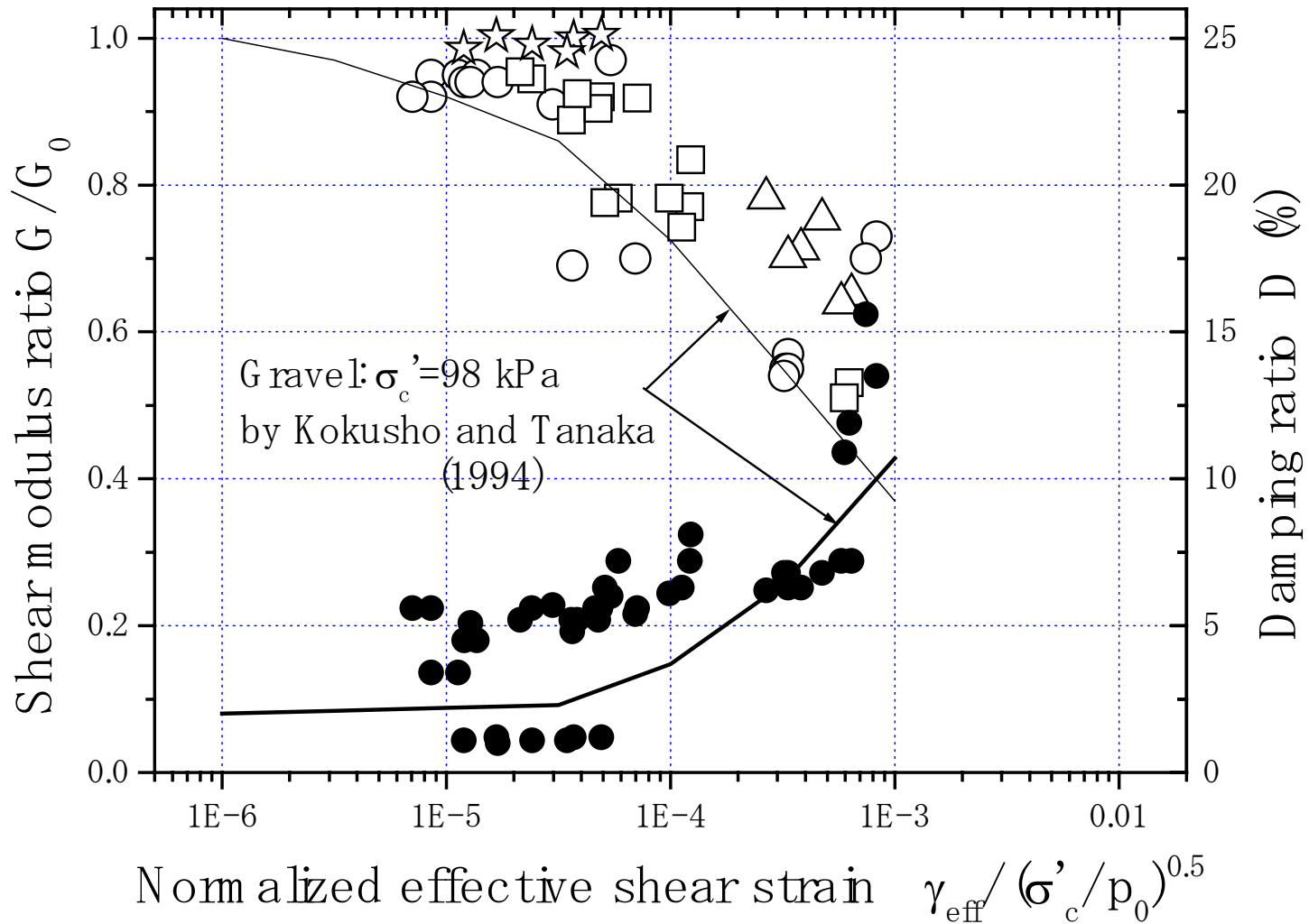
**Back-calculated modulus degradation and damping compared with lab test (Silt)**

(e) Sand (Normalized by confining stress)



**Back-calculated modulus degradation and damping compared with lab test (Sand)**

(f) Gravel (Normalized by confining stress)



**Back-calculated modulus degradation and damping compared with lab test (Gravel)**

# SUMMARY ON BACK-CALCULATED PROPERTIES (I)

In spectrum ratios, back-calculation overestimates lower frequency peaks and under-estimates higher frequency peaks compared to observation presumably due to strain-dependency and frequency-dependency of properties.

Clear differences in S-wave velocities are recognized not only between the main shock and aftershocks but also among aftershocks of different intensities.

Damping ratios for the main shock are evidently larger than aftershocks.

Clear modulus degradations can be identified from the back-calculated S-wave velocities.

Degradations are almost consistent at 4 sites, from which soil-specific curves can be differentiated for clay, silt, sand and gravel.



# SUMMARY ON BACK-CALCULATED PROPERTIES (II)

Confining stresses have significant effect on the degradations of non-cohesive soils in situ, too.

Back-calculated damping ratios increase with increasing effective strains for  $10^{-4}$  or larger. Damping ratio in liquefied sand layers may be back-calculated as very large values (46-52%).

The majority of **back-calculated damping ratios** in small strain ranges are **a few percent higher than laboratory test results** despite apparent splits in the back-calculated damping values (1-6%).

**A fair agreement in modulus degradation can be recognized** between back-calculation and lab test results for clays and sands **except for large strain level**.

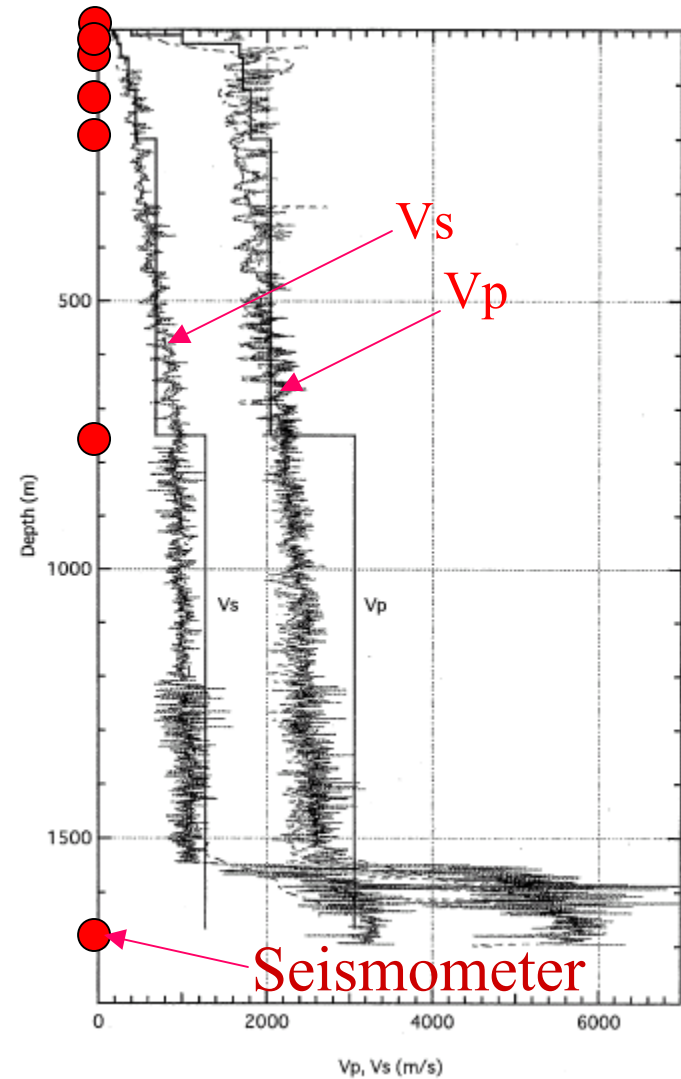
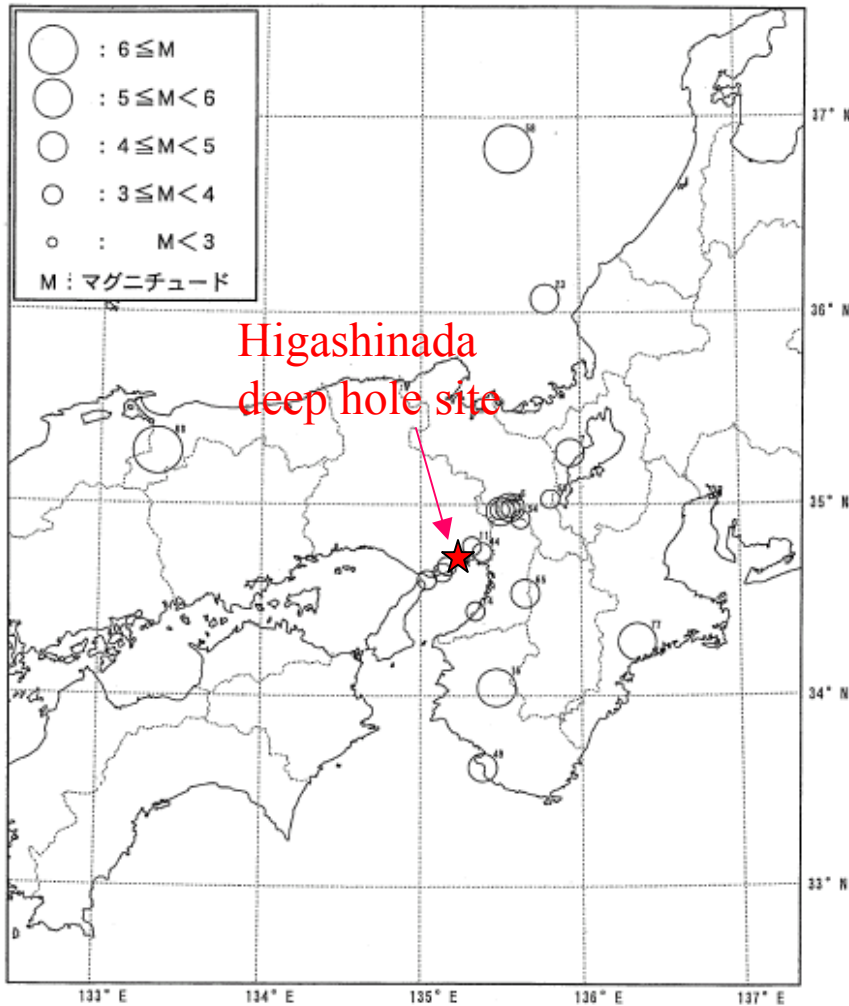
**For gravels, back-calculated degradations are milder** than laboratory tests presumably reflecting appreciable fine content in in situ soils.

# **Topics of the presentation:**

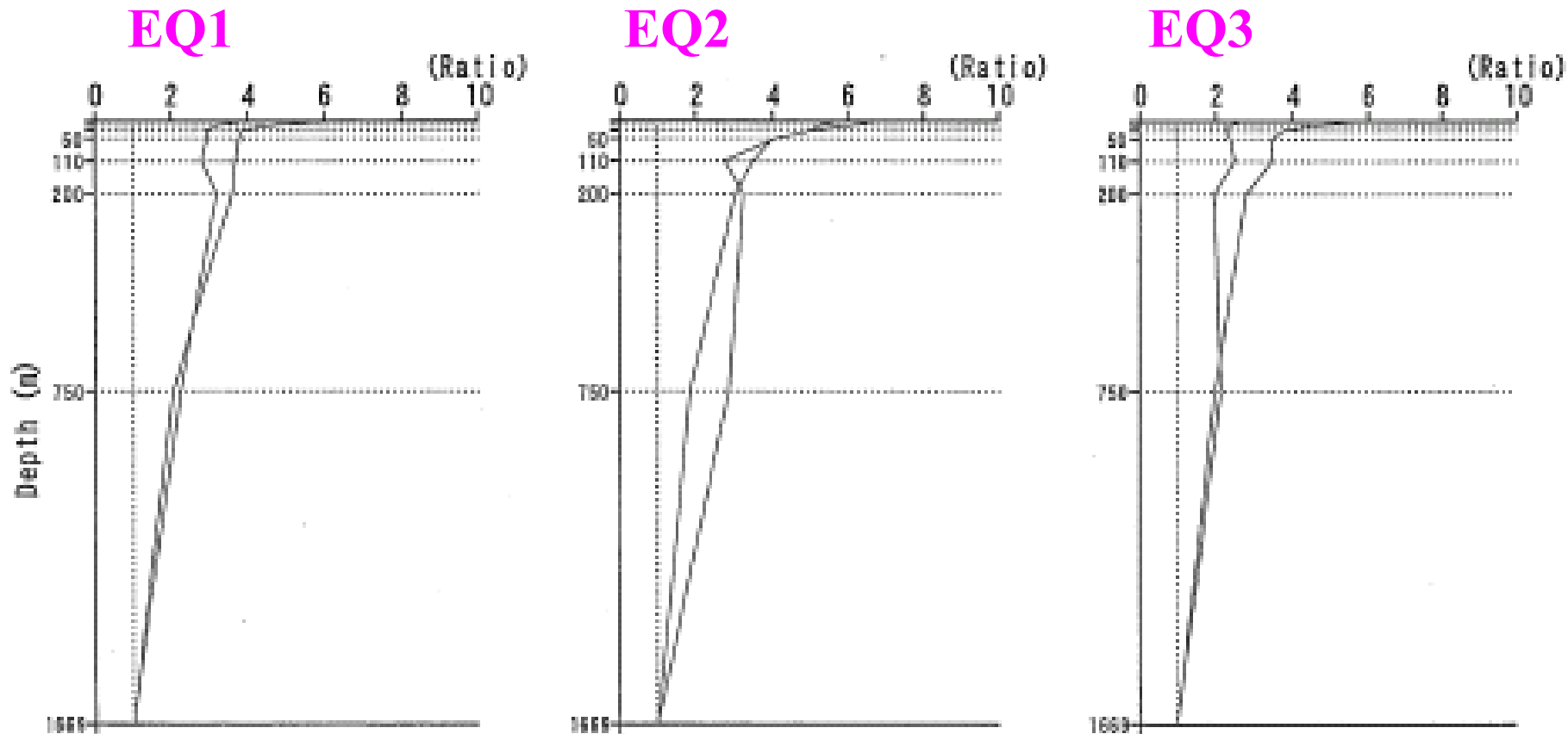
**Seismic site amplification during strong earthquakes based on vertical array records**

**Back-calculated in situ soil properties versus lab data**

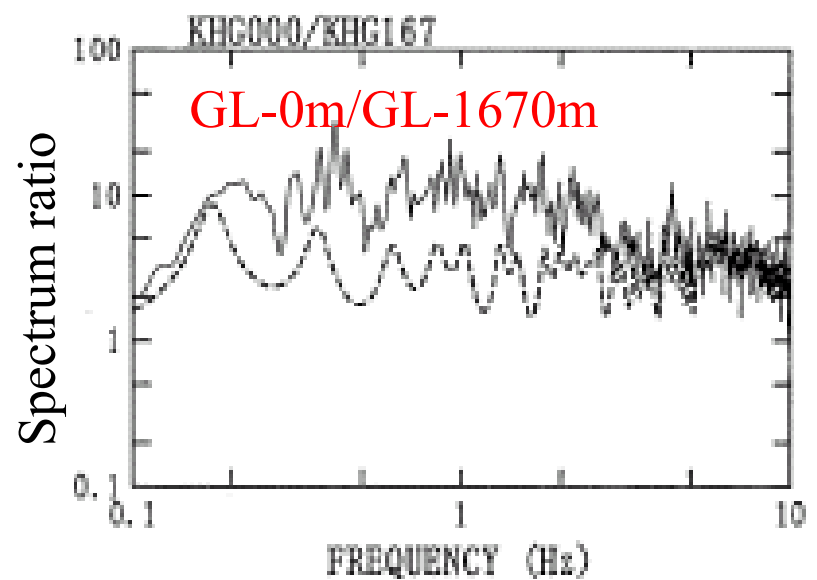
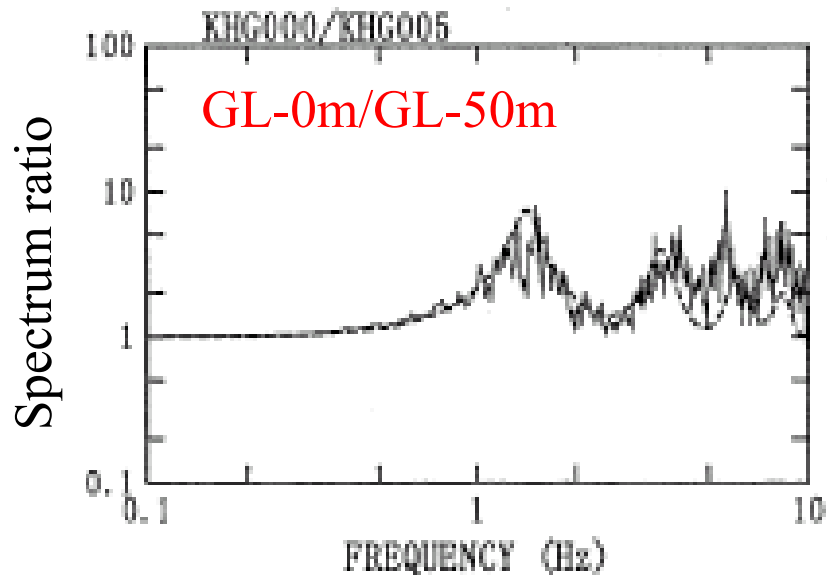
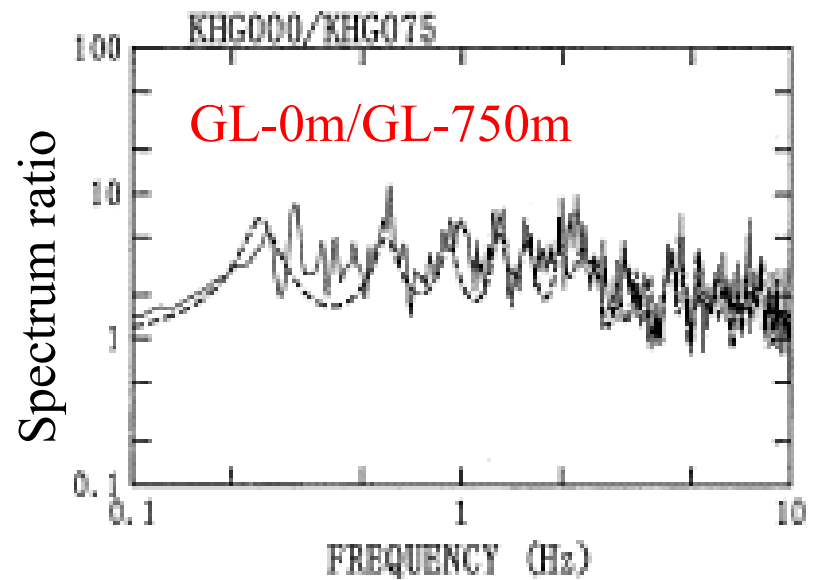
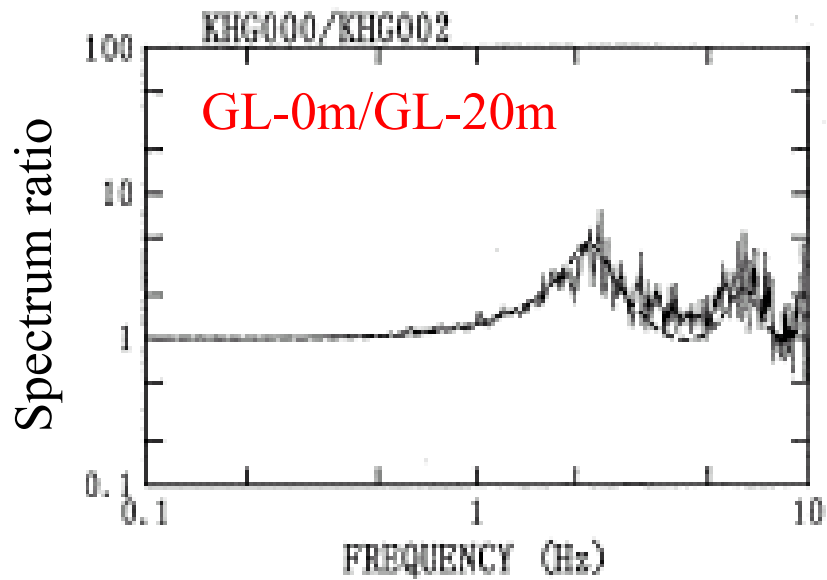
**Soil properties for deep soil response**



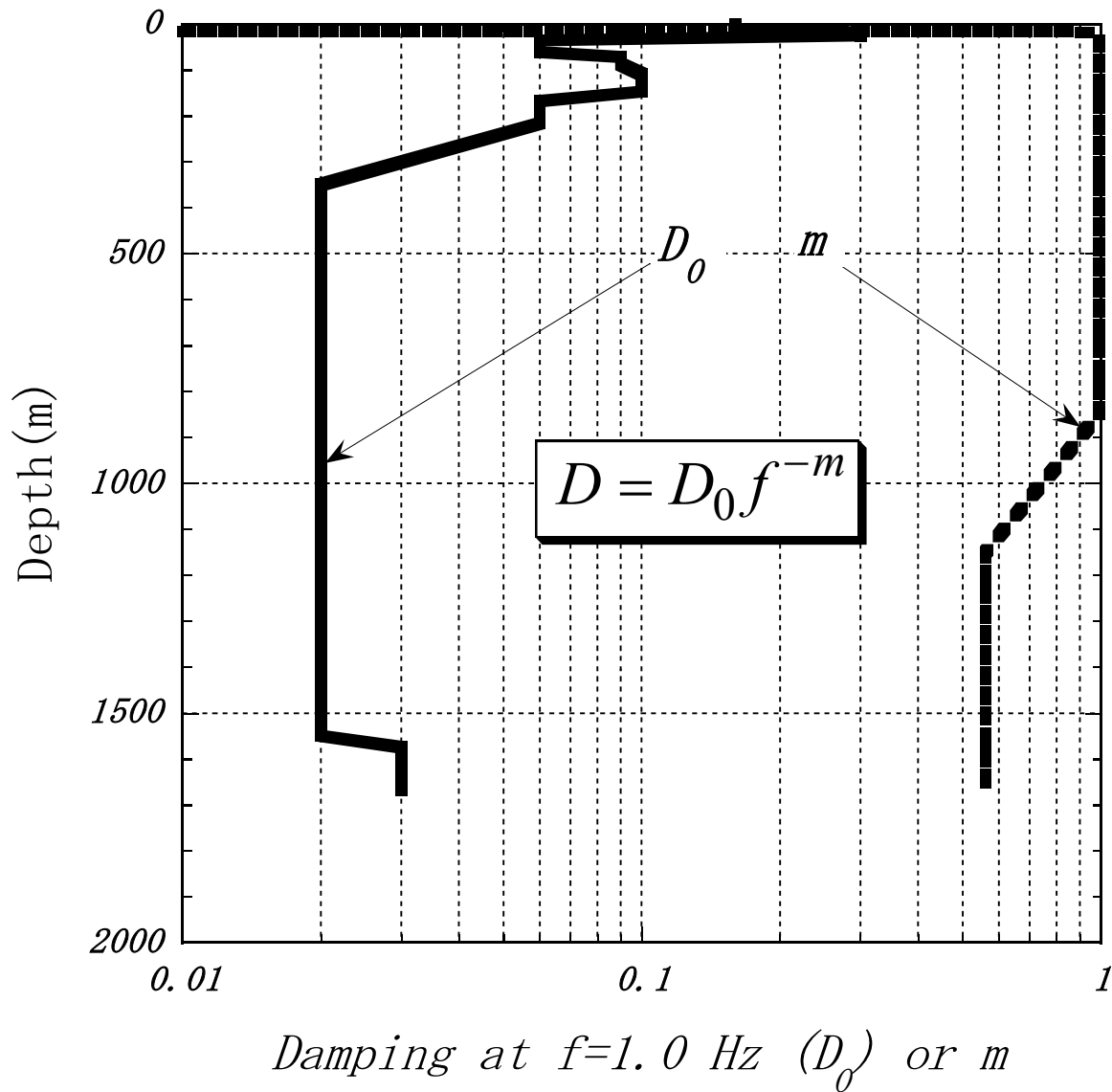
**Higashinada deep hole site (GL-1670m), seismometer installation levels and Vs, Vp distribution along depth**



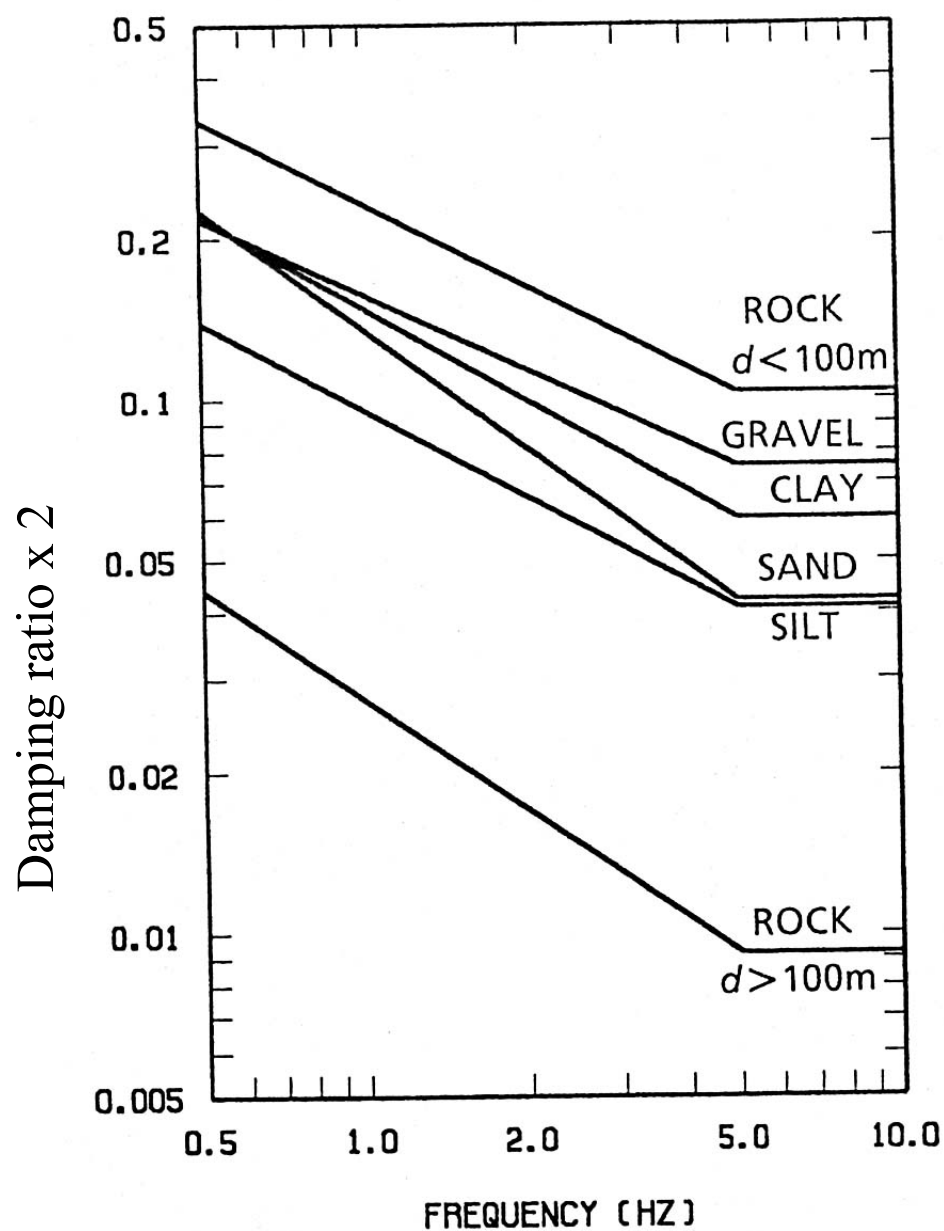
**Acceleration amplification in deep soil ground  
for 3 larger earthquakes  
( $M > 6.0$ , Max.Surf. Acc  $\sim 10 \text{ cm/s}^2$ )**



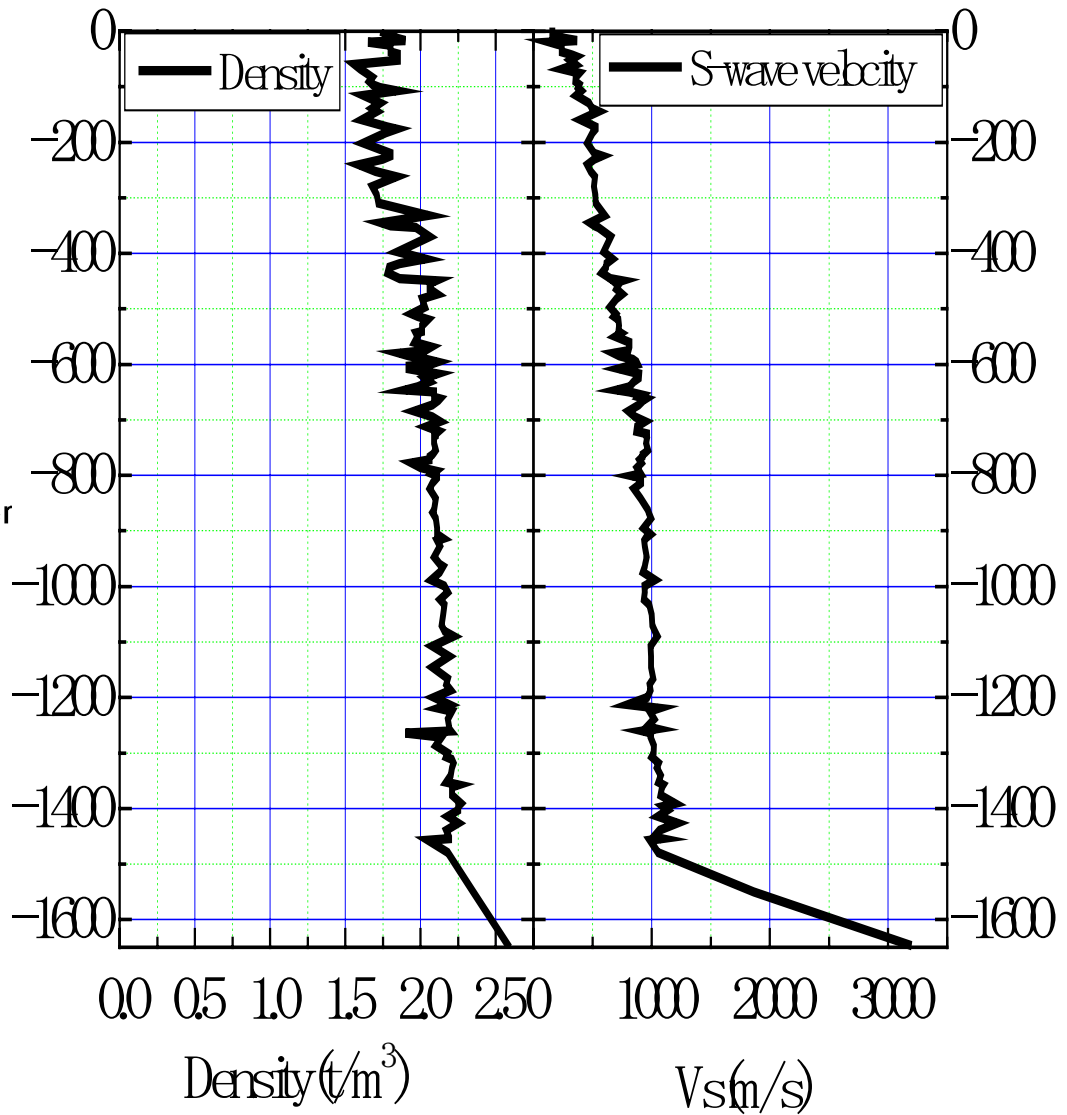
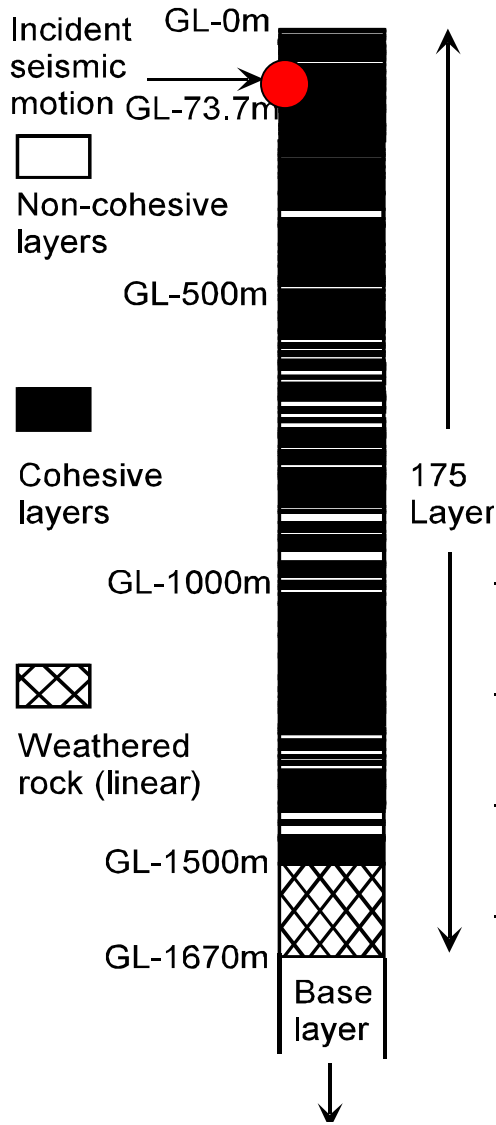
**Spectrum ratio (3 larger earthquakes  $M > 6.0$ )**



**Variation of  $D_0$  and  $m$  along depth for frequency-dependent damping ratio**

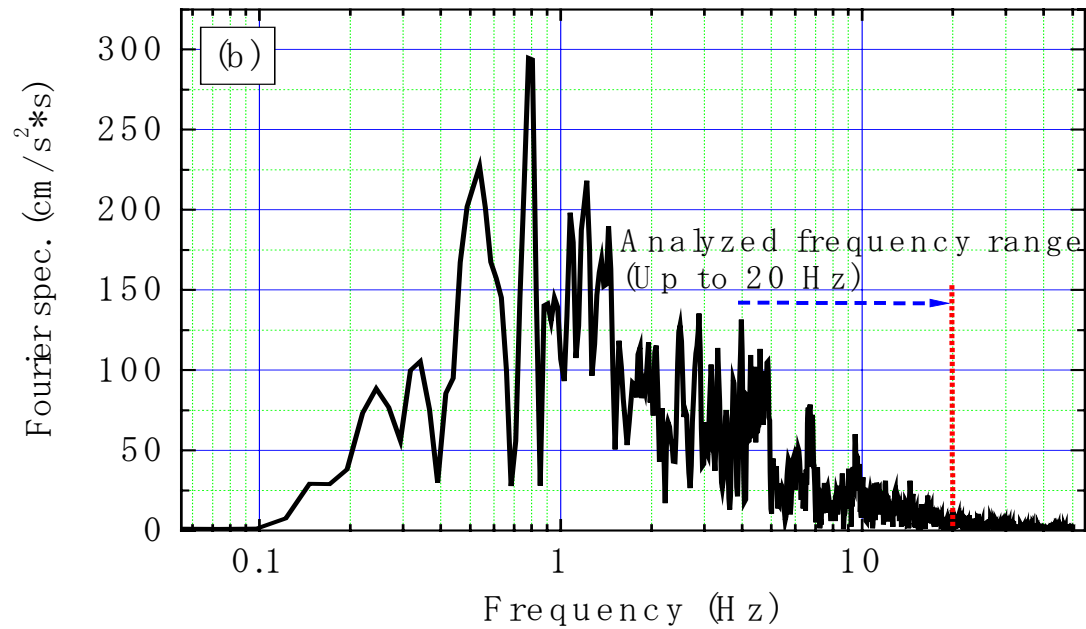
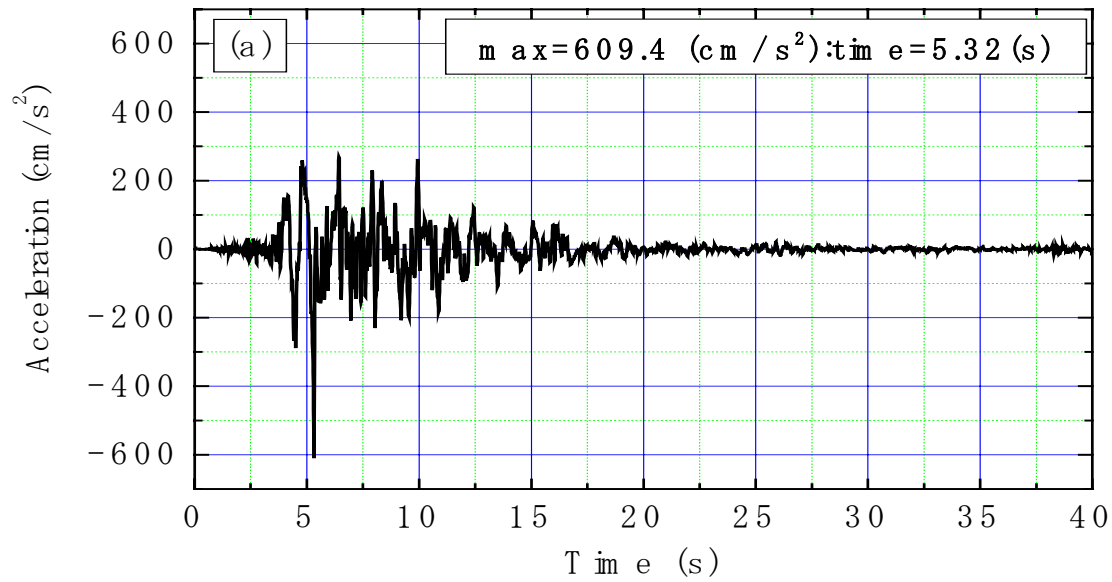


**In situ frequency-dependent damping ratio based on previous papers (Fukushima and Midorikawa, 1994)**

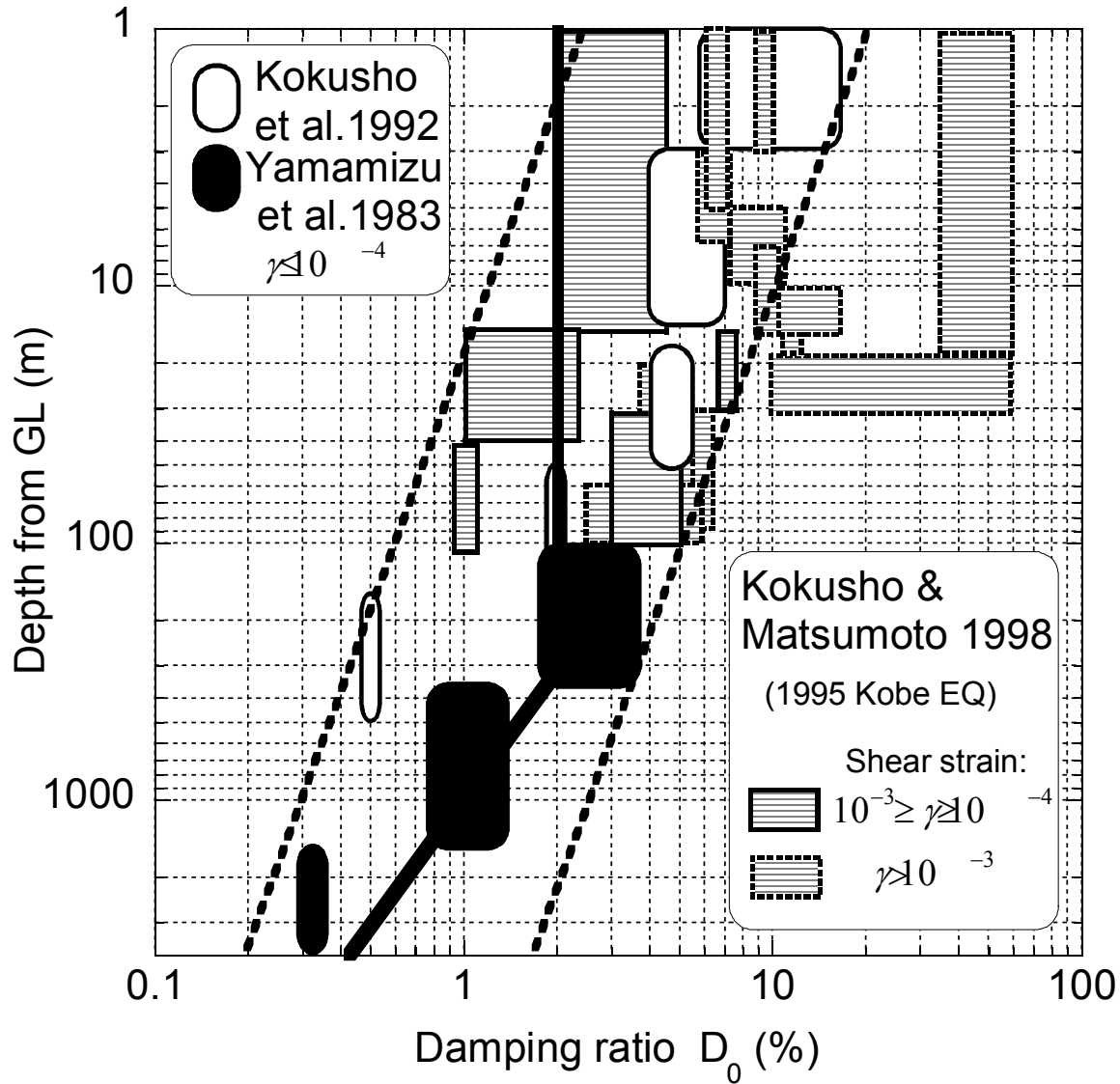


**Soil model, Vs and density distribution along depth**

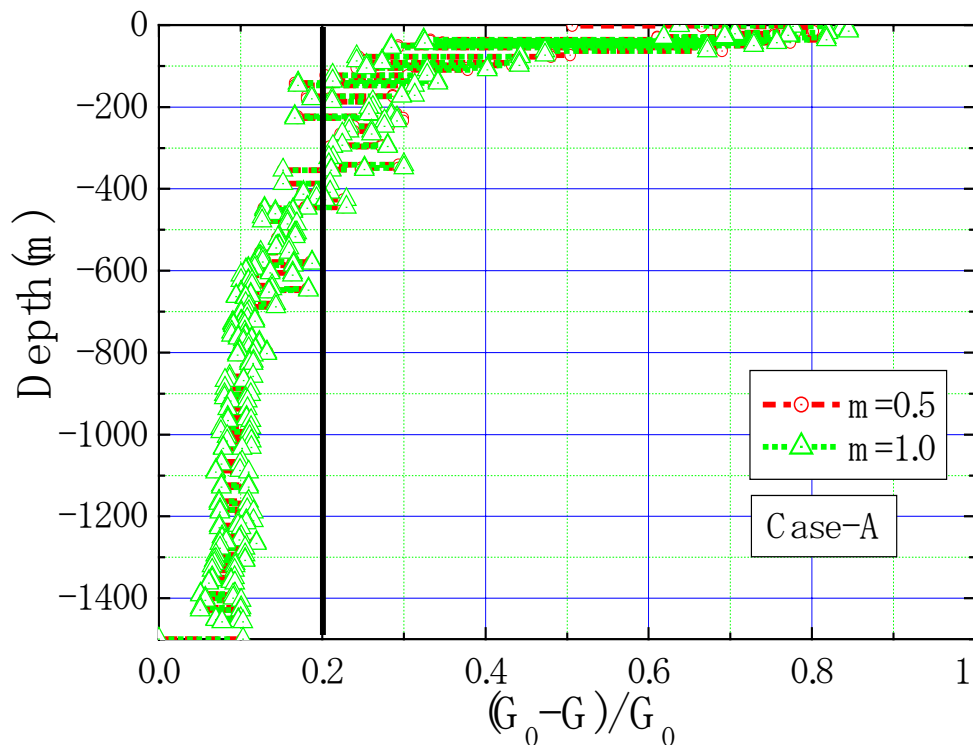




**Accelerogram and Fourier spectrum of input motion (PI)**

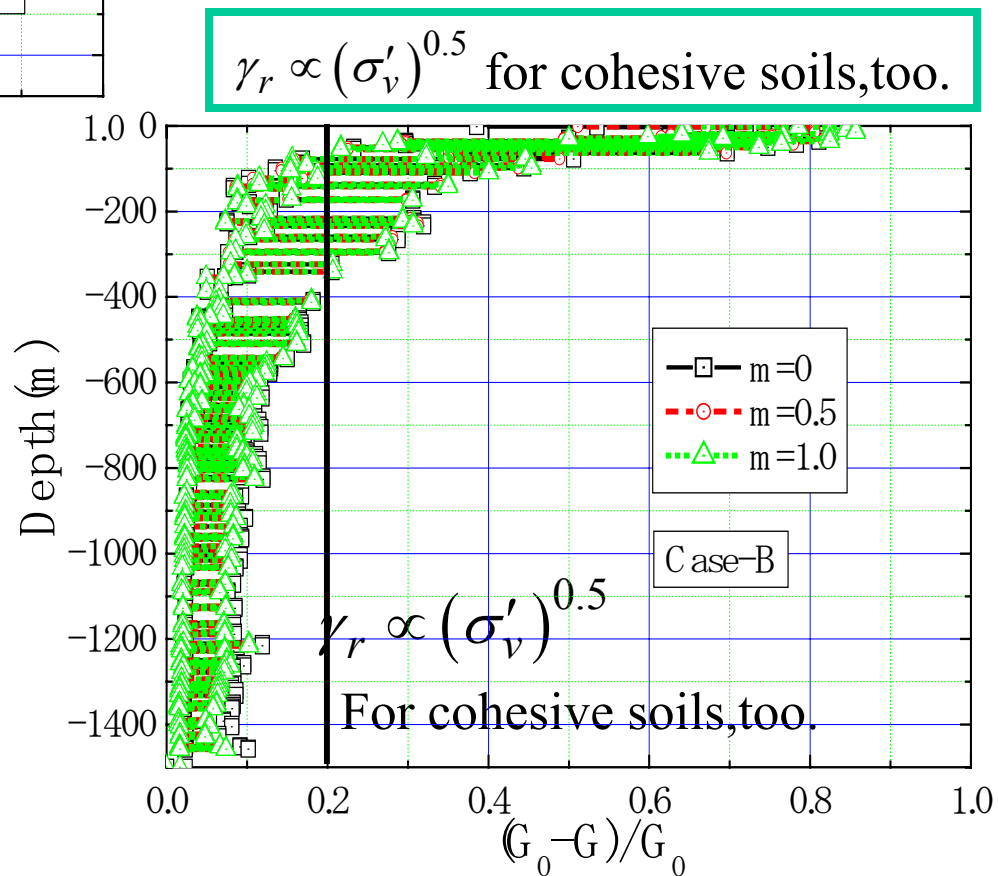


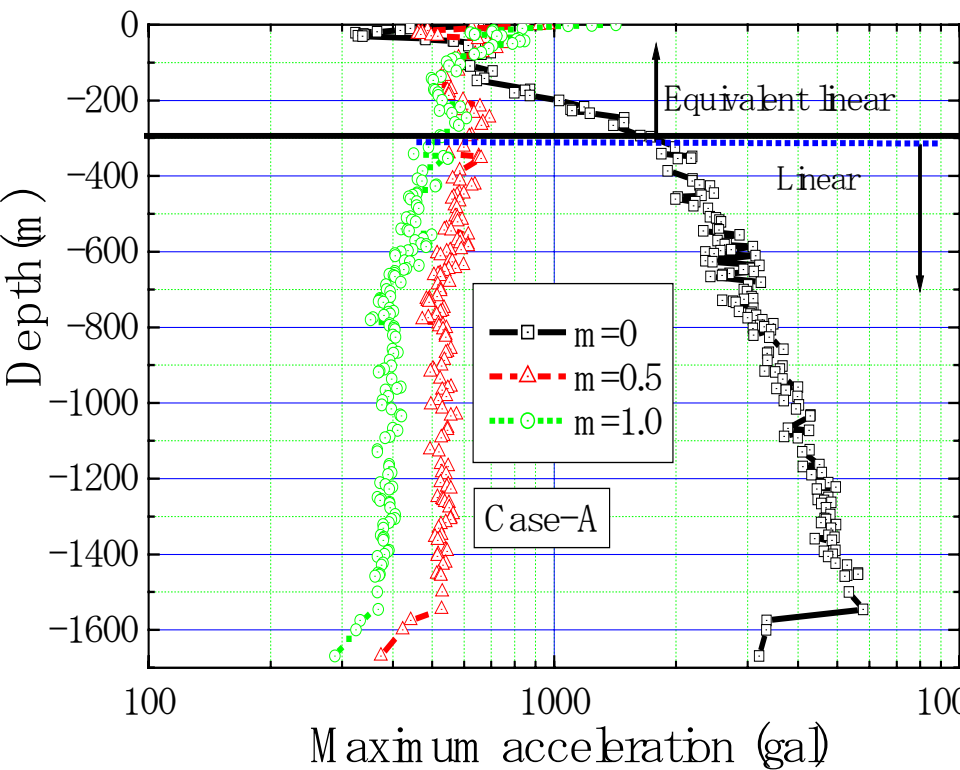
**Damping ratio in deep soil ground assuming  $D = D_0 \cdot f^{-m}$**



$\gamma_r = \text{const.}$  for cohesive soils.

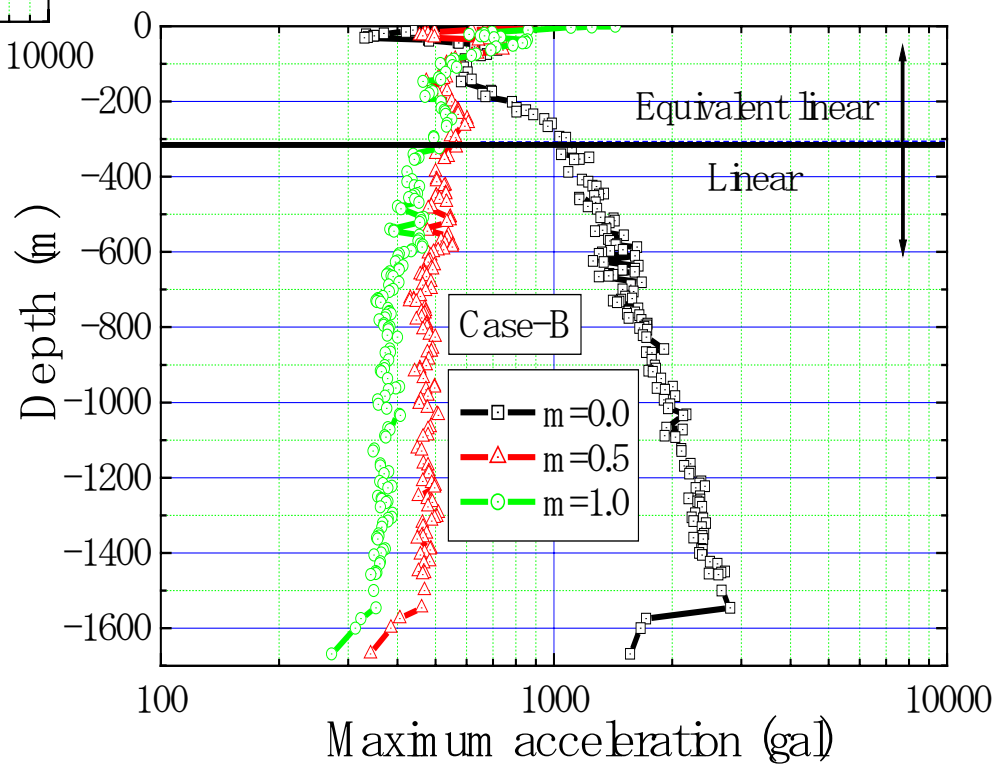
**Degree of nonlinearity**  
 $(G_0 - G)/G_0$   
**versus depth**



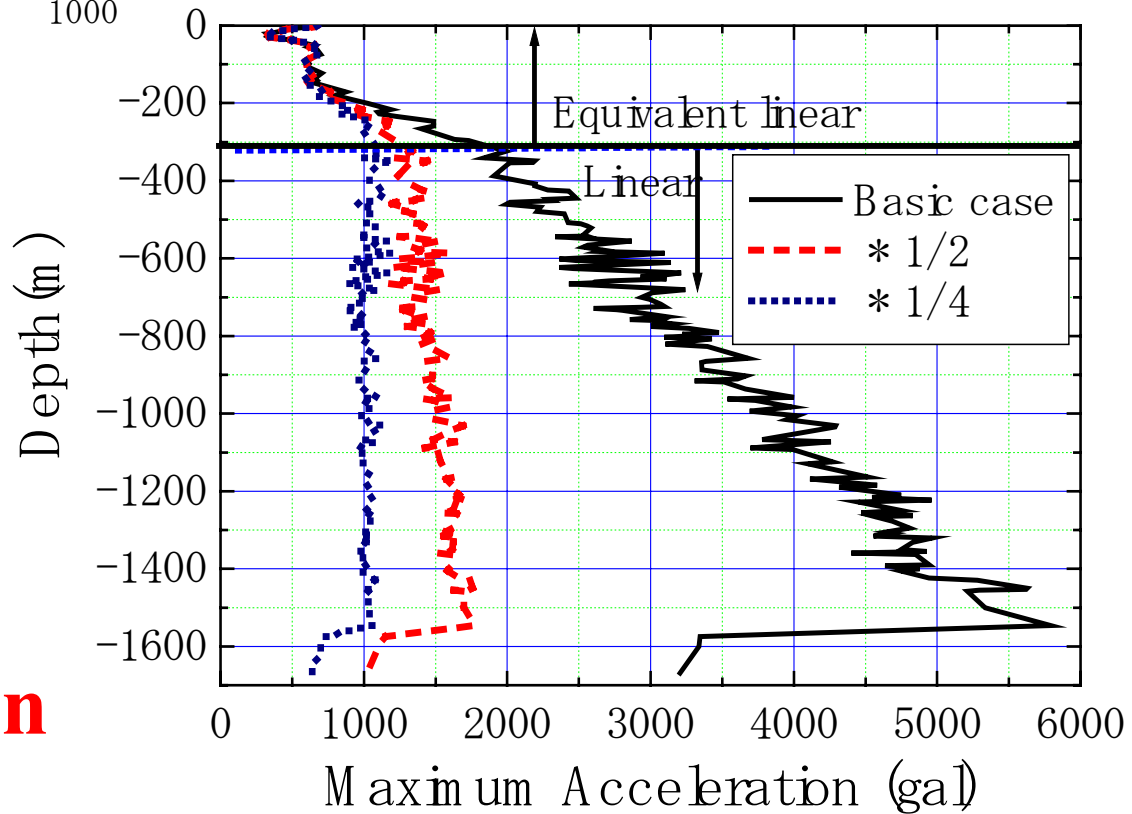
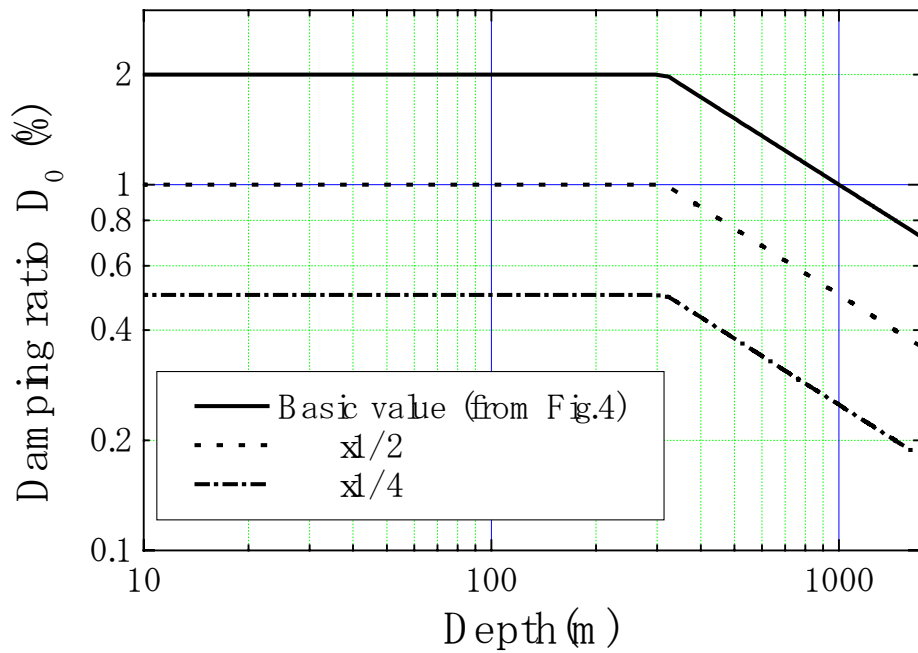


$\gamma_r = const.$  for cohesive soils.

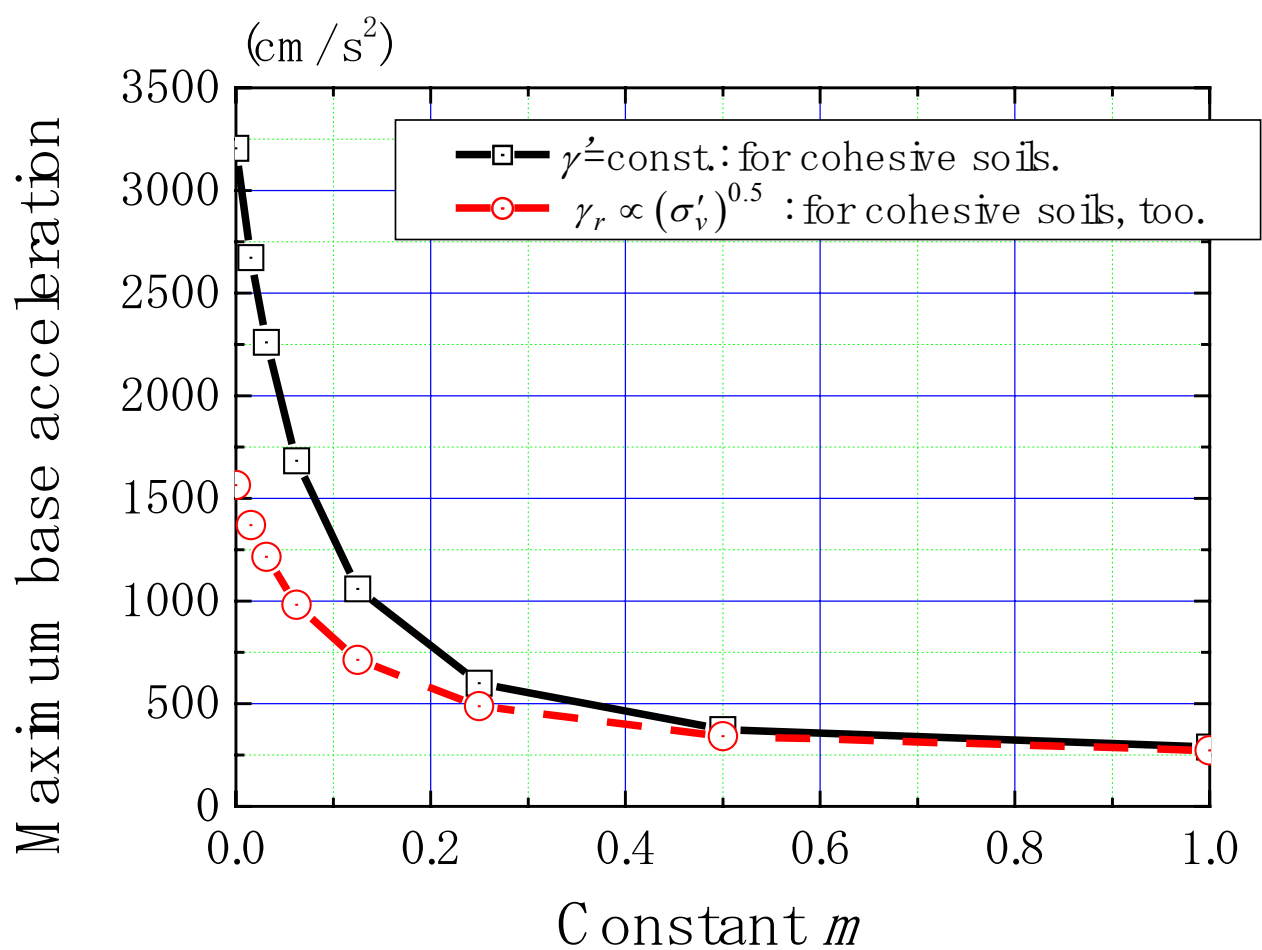
$\gamma_r \propto (\sigma'_v)^{0.5}$  for cohesive soils, too.



**Effect of  $m$  on Max. Acc. versus Depth relationship**



**Effect of reducing  
damping ratio on  
Max.Acc. distribution**



$$D = D_0 \cdot f^{-m}$$

**Effect of  $m$  on Max. Base Acc. (GL-1670 m)**

# SUMMARY ON RESPONSE IN DEEP SOIL GROUND

Even during the destructive Kobe earthquakes, calculated modulus degradation is milder than 20% deeper than about 300m.

Acceleration tends to increase with increasing depth in analyses based on frequency-independent damping, indicating that the damping should inevitably be frequency-dependent in analyzing deep ground.

Assumptions on soil properties such as frequency-dependency in damping or reference strain have a great effect in analytical seismic response in deep soil ground.

# SUMMARY & FURTHER RESEARCH (I)

## In Situ Properties

Laboratory modulus degradation for individual soil types are applicable to the field at least up to medium strain range. Field damping is only qualitatively consistent with lab test damping.

More quantitative research for in situ properties;

- Modulus degradation for large strain range considering strain-dependency and PP-buildup. -
- Damping ratio for small strain and its mechanism
- Large strain damping considering cyclic mobility and PP-buildup.



# SUMMARY & FURTHER RESEARCH (II)

In vertical motions, little nonlinearity of amplification and back-calculated  $V_p$ .

## Deep Soil Properties

Strain-dependent soil nonlinearity may be ignorable in depth of a few hundred meters of soil ground even during destructive earthquakes.

Based on deep-hole data analyses, damping is no doubt frequency-dependent.

Effect of high overburden stress on modulus and damping in deep soil should be investigated further.