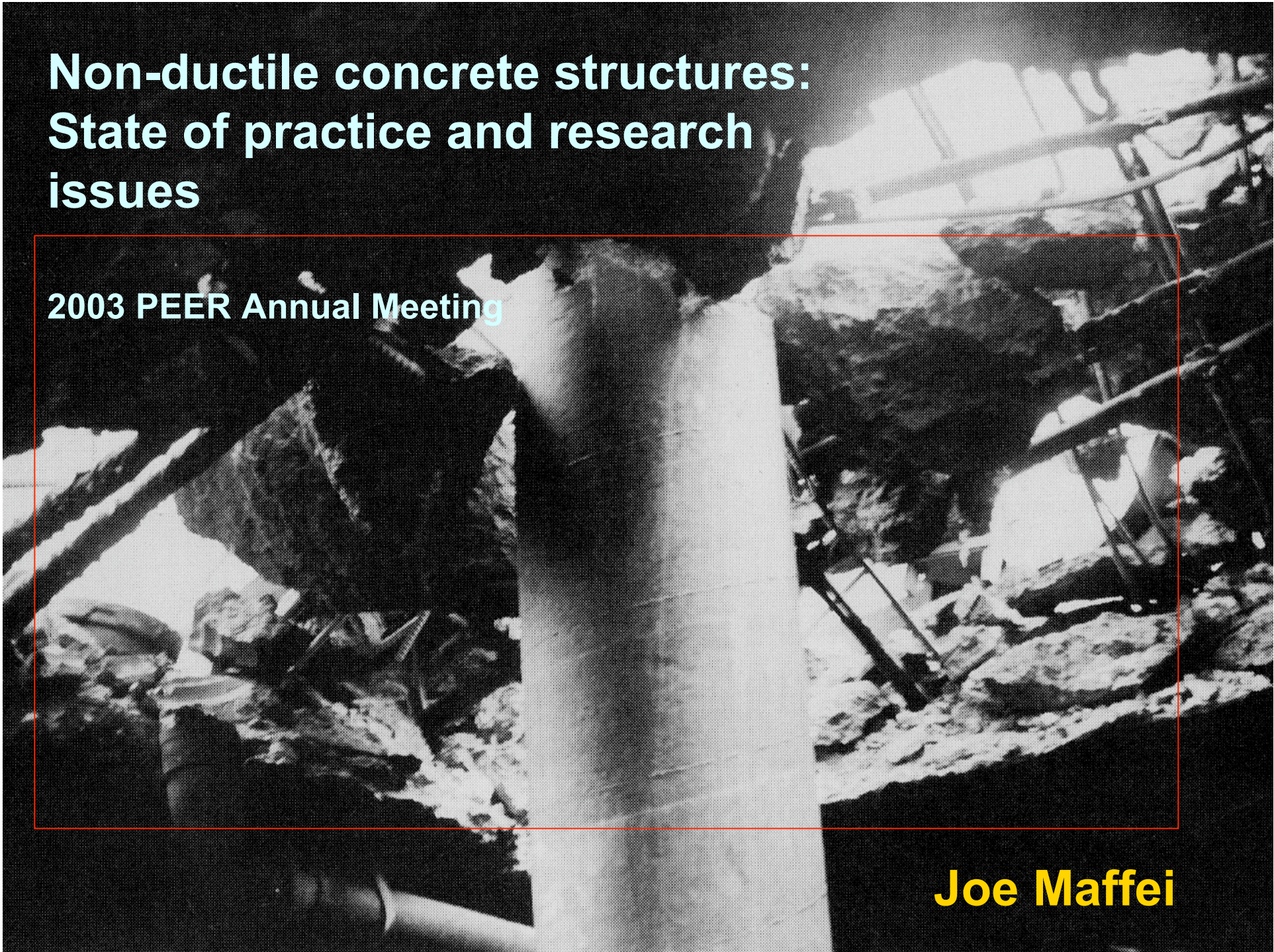


# Non-ductile concrete structures: State of practice and research issues

2003 PEER Annual Meeting

**Joe Maffei**



# Overview

---

- **Current state of the practice**
- **Example projects**
- **“Compartmentalizing the judgment”**
- **Research versus development and key issues**
- **FEMA 306 approach**
  - **Component type and mechanism**
  - **Behavior mode**
- **Recommendations**

**Q: What is the state of practice for the assessment and retrofit on non-ductile concrete structures?**

**A: FEMA 356 Guidelines**

***But for the Savvy engineer it is loosely based on FEMA 356 with plenty of judgment and interpretation***

# Case Studies of FEMA 273

---

- **Engineers who followed the Guidelines more closely struggled to find reasonable design solutions.**
- **E.g., 5-story building needing numerous 40-inch thick new exterior concrete walls.**



**RUTHERFORD & CHEKENE**

# 12-story concrete wall buildings

---

- Existing concrete walls with boundary ties at 10 in. spacing.
- FEMA 356 would require improving boundary confinement.
- More detailed evaluation, directly using research results and approaches shows that retrofit of boundaries is not needed.

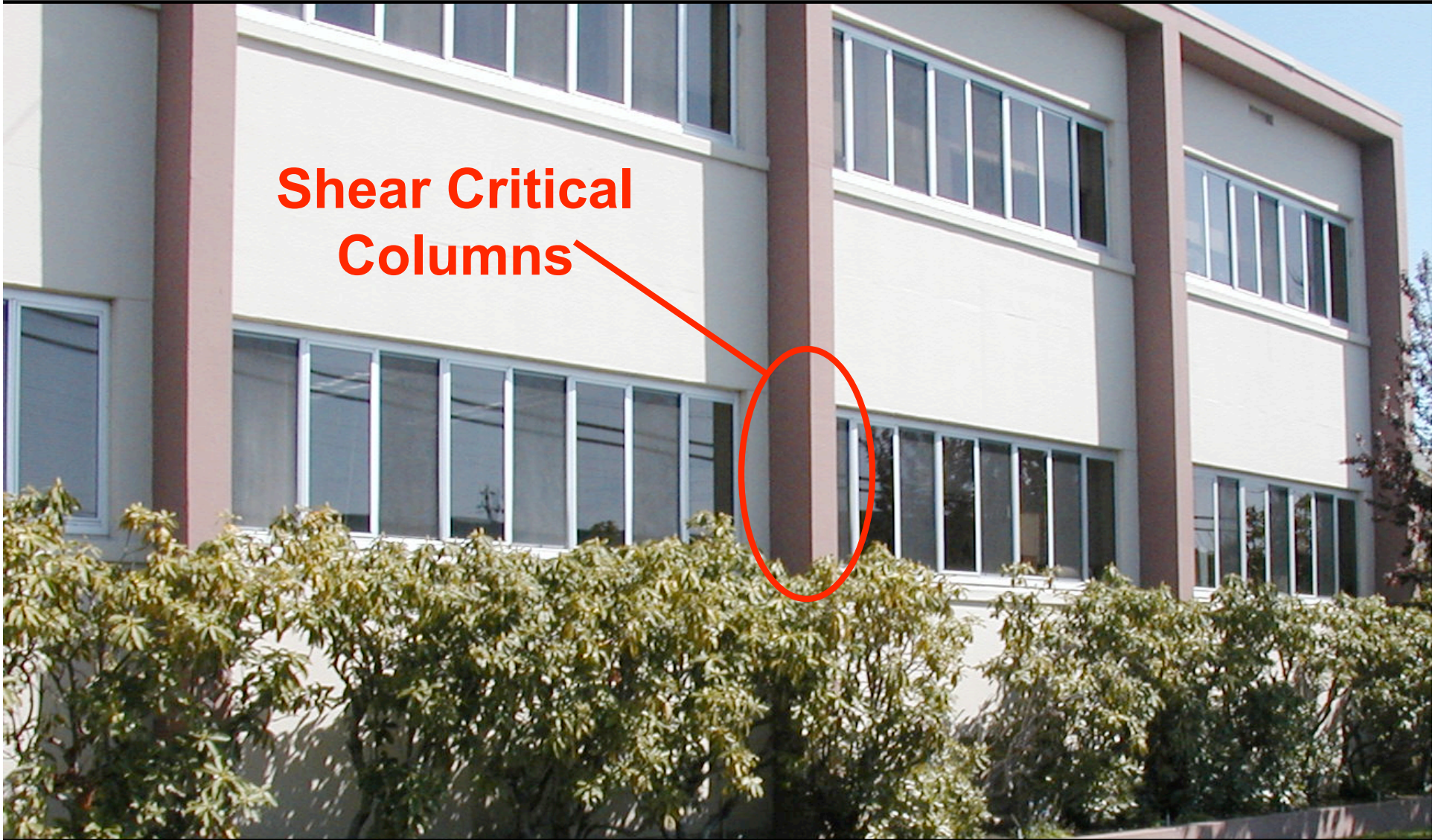
# Administrative Building Retrofit



**RUTHERFORD & CHEKENE**

# “Gravity” Columns

**Shear Critical  
Columns**



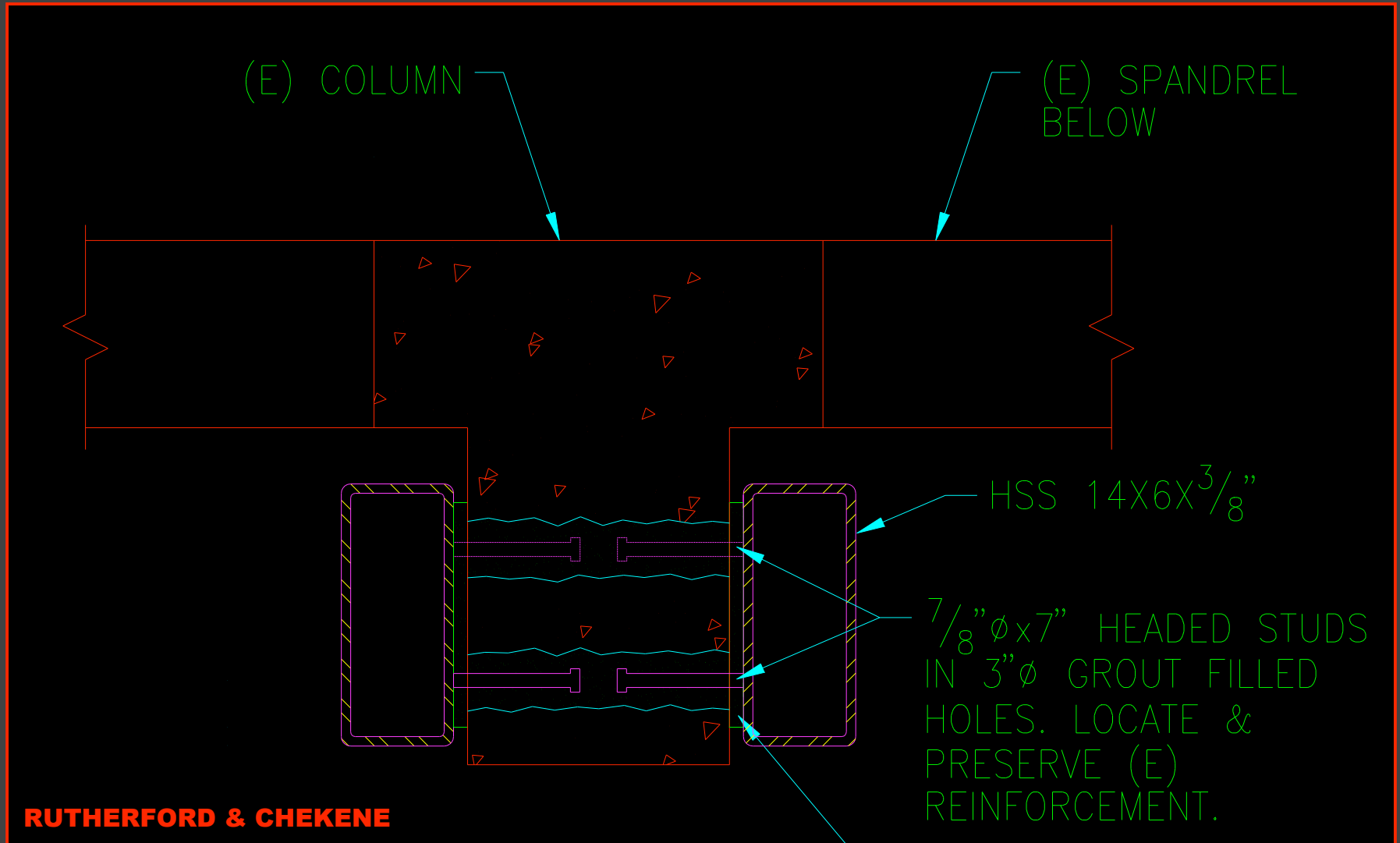


# Acceptance limits for shear-critical columns

---

- **1% plastic rotation in FEMA 273, revised to 0.3% plastic rotation in FEMA 356 (reason for change not documented).**
- **Research by Moehle et al.**
- **But how reliably can we estimate the displacement demand?**

# Supplemental Support at exterior columns



---

# Wurster Hall UC Berkeley

**RUTHERFORD & CHEKENE**



**Steel columns  
backing up  
existing  
precast  
concrete  
exterior  
columns**



**RUTHERFORD & CHEKENE**



**RUTHERFORD & CHEKENE**

# Compartmentalizing the research

---

- **Seismic hazard / demand parameters / component capacities / performance levels / Socioeconomic impacts**
- **In any design process judgment gets applied at some point.**
- **It can be problematic to apply this judgment to the component results rather than to the final answer**

# Example design decisions

---

- **Should gravity columns be retrofitted?**
- **Should wall boundaries be retrofitted?**
- **In a large building these decisions have million-dollar consequences.**

# The decision depends on compounded assumptions:

---

**Performance expectation**

**Acceptability**

**Local demand (drift or ductility)**

**Displacement demand**

**Structure stiffness**

**Ground motion**



## Applying expert judgment to the final result, e.g.:

---

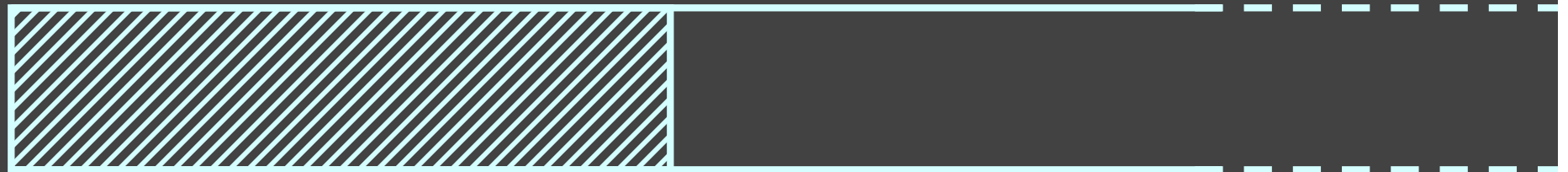
- **Is it right that the wall boundaries in this building, with #3@10” ties, should be retrofitted?**
- **Do we have enough confidence in our displacement demand and capacity estimates to know that these shear-critical columns can be left un-retrofitted?**

# EXISTING CONCRETE BUILDINGS

## EVALUATION AND RETROFIT GUIDELINES

---

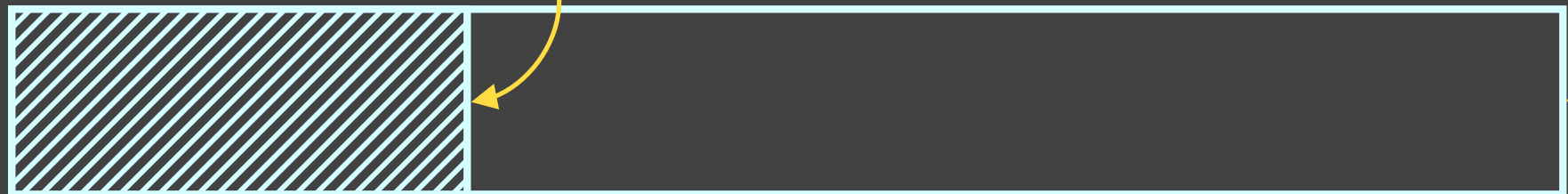
**What needs to be done?**



**RESEARCH**

**FEMA  
356**

**POTENTIAL  
GUIDELINES**

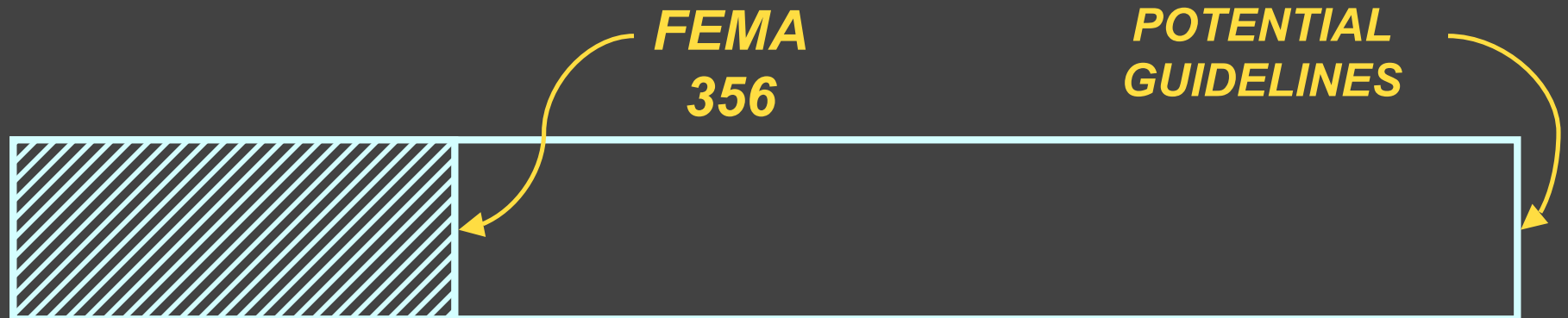


**DEVELOPMENT**

# EXISTING CONCRETE BUILDINGS

## RECOMMENDED DEVELOPMENT

---



- Tie acceptance limits more explicitly to behavior modes
- Simplify general frameworks
- Improve and clarify evaluation and analysis procedures
- Review research and improve acceptance limits
- Example designs
- Quality control

# Two possible approaches for PBD

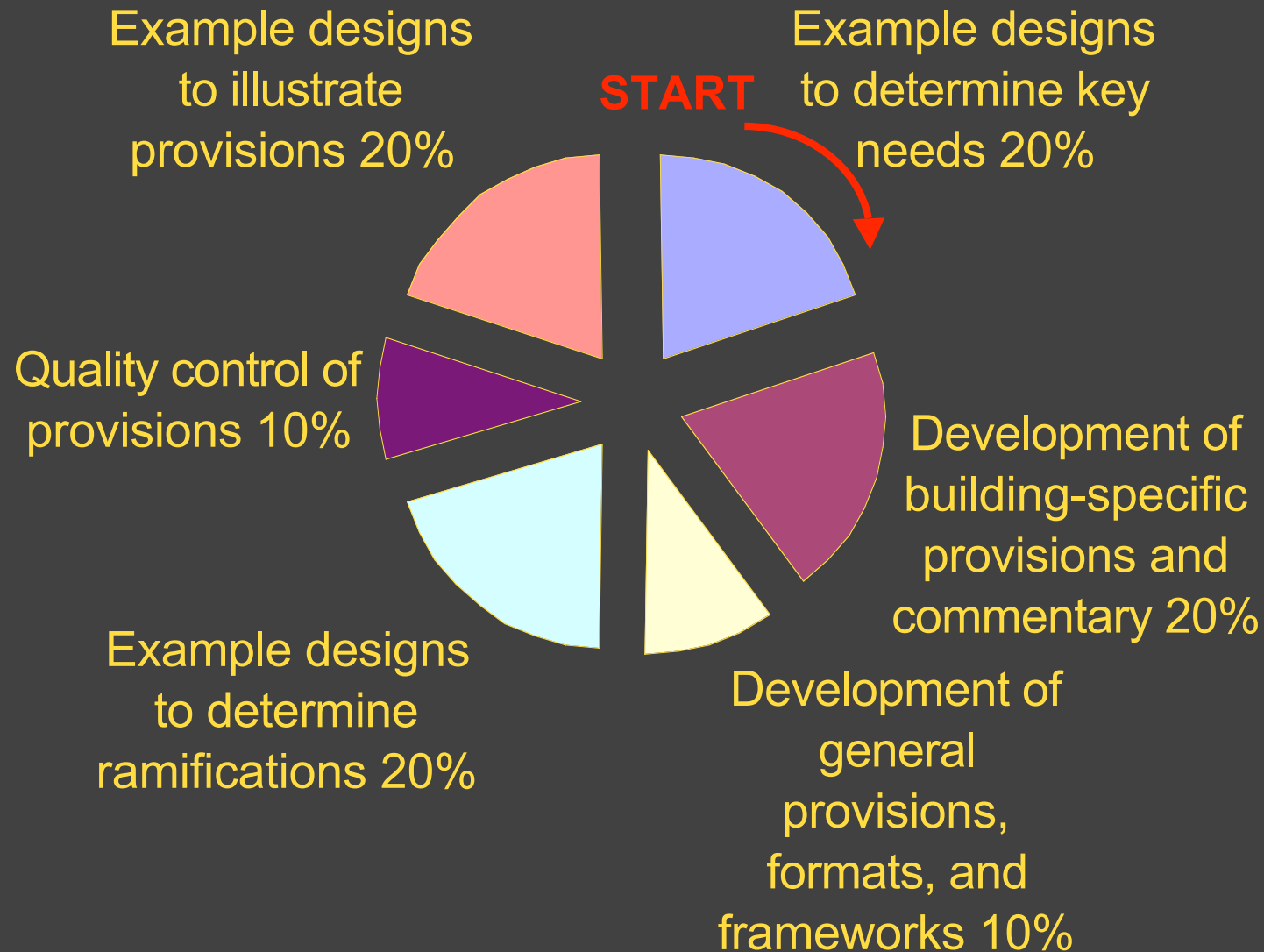
---

**A reference document with a less prescriptive framework than FEMA 356: Engineer investigates the research herself, with an excellent knowledge of applicable assumptions.**

**A more specific and vetted FEMA 356, with workable code-language design provisions, commentary, and example applications.**

# Development of improved guidelines

---



# PEER investigation of key variables and parameters

---

- E.g., Axial load ratio, load history, concrete strength, story drift, chord rotation.
- Hypotheses of behavior models can help identify what should be a key variable.

# FEMA 356 Acceptability Limits

---

## Grouped by:

- Axial load ratio
- Unbalanced reinforcement ratio
- Level of shear stress in concrete
- Conforming versus nonconforming detailing

*Are we over-frameworkizing the research?*

# Study of FEMA 356 Acceptability limits

## Panagiotakos and Fardis

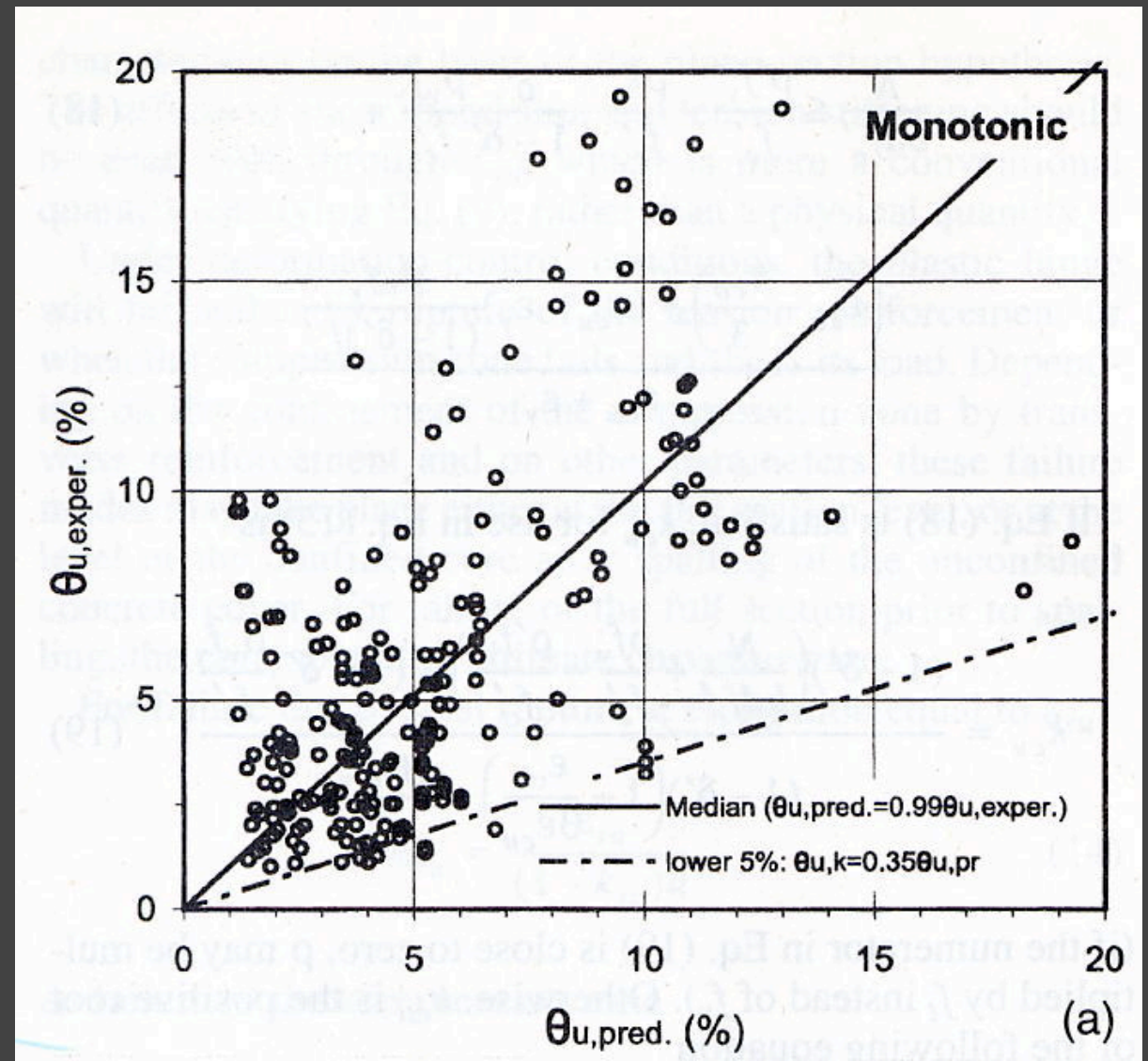
Table 2—Statistics of ratio of experimental ultimate plastic (chord) rotation  $\theta_{pl}$  to values suggested by FEMA 273<sup>1</sup> and FEMA 356<sup>3\*</sup>

$V/bd\sqrt{f'_c}$ , units: lb. in.	$\theta_{pl,exp.}/\theta_{pl,FEMA}$				$\theta_{u,exp.}/\theta_{u,FEMA}$				$\theta_{pl,exp.}/\theta_{pl,FEMA}$				$\theta_{u,exp.}/\theta_{u,FEMA}$			
	< 1.00								1.00 to 2.00				> 2.00			
$(\rho - \rho')/\rho_{bal}$	$n$	$m$	$\sigma$	$m$	$\sigma$	$n$	$m$	$\sigma$	$m$	$\sigma$	$n$	$m$	$\sigma$	$m$	$\sigma$	
Beams with closely spaced stirrups <sup>†</sup>																
≤ 0	0	—	—	—	—	0	—	—	—	—	0	—	—	—	—	
0 to 0.25	42	1.18	0.36	1.28	0.35	11	1.13	0.46	1.32	0.5	0	—	—	—	—	
≥ 0.25	0	—	—	—	—	0	—	—	—	—	0	—	—	—	—	
Beams without closely spaced stirrups <sup>†</sup>																
	0	—	—	—	—	0	—	—	—	—	0	—	—	—	—	
Columns with closely spaced stirrups <sup>†</sup>																
$v = N/A_g f'_c$																
≤ 0.1	76	1.43	0.78	1.48	0.70	18	1.07	0.63	1.19	0.55	5	0.78	0.17	1.03	0.13	
0.1 to 0.25	172	1.36	0.57	1.55	0.60	16	0.89	0.47	1.05	0.50	0	—	—	—	—	
0.25 to 0.4	58	1.2	0.85	1.32	0.78	5	1.12	0.52	1.24	0.43	2	0.09	0.13	0.42	0.05	
≥ 0.4	28	1.1	0.85	1.18	0.77	0	—	—	—	—	0	—	—	—	—	
Columns with no closely spaced stirrups <sup>†</sup>																
≤ 0.1	44	2.75	1.33	2.59	1.14	8	2.79	1.72	2.58	1.41	5	2.01	0.54	2.18	0.40	
0.1 to 0.25	26	2.13	1.15	2.17	0.98	4	0.93	0.39	1.02	0.39	2	1.92	1.38	1.95	0.99	
0.25 to 0.4	21	1.54	1.09	1.77	0.92	1	2.57	—	2.25	—	1	2.56	—	2.25	—	
≥ 0.4	12	2.74	1.57	2.38	1.08	0	—	—	—	—	0	—	—	—	—	



# Ultimate rotation capacity

Panagiotakos  
and Fardis



# FEMA 306 Approach

---

- **Document covers wall buildings.**
- **Designed for evaluation of earthquake damage.**
- **Applicable to seismic evaluation**
- **Emphasizes understanding the mechanism of response and identifying component behavior modes**



RC2: Weaker  
wall pier

RC4: Stronger  
spandrel



**Inelastic  
displaced  
shape**

**Wall Shear Failure at First Story**

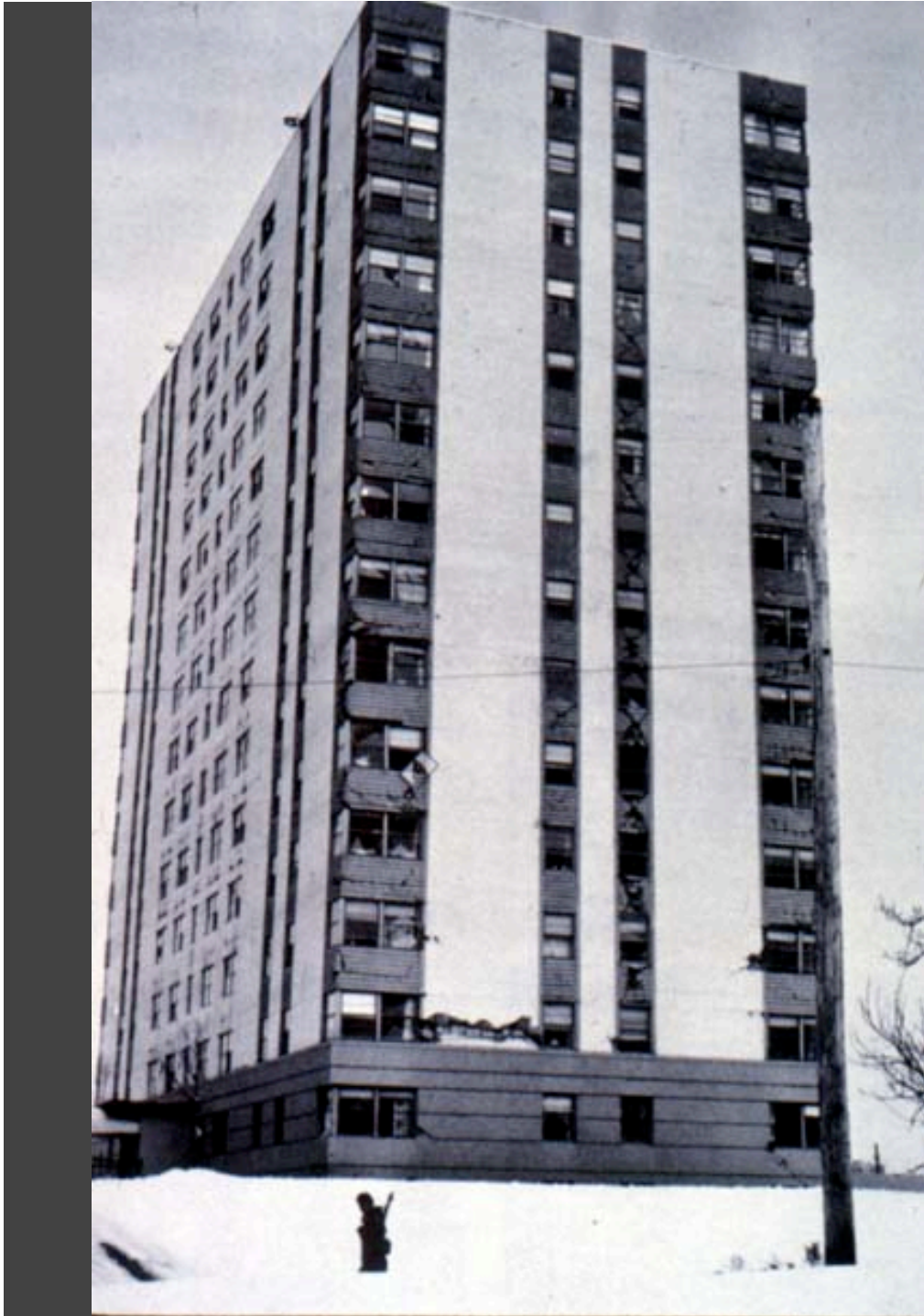




**Wall shear failure and story mechanism at 2nd Story**

**Inelastic displaced shape**





## Mechanism of coupling beam failure

Inelastic  
displaced  
shape





**Mechanism:  
Flexural yielding  
above curtailed  
reinforcement**

**Inelastic  
displaced  
shape**



## Behavior Mode

## Ductility Capacity

A. Ductile Flexure

---

High

B. Flexure/Diagonal Tension

C. Flexure/Diagonal Compression  
(Web Crushing)

D. Flexure/Sliding Shear

E. Flexure/Boundary Zone  
Compression

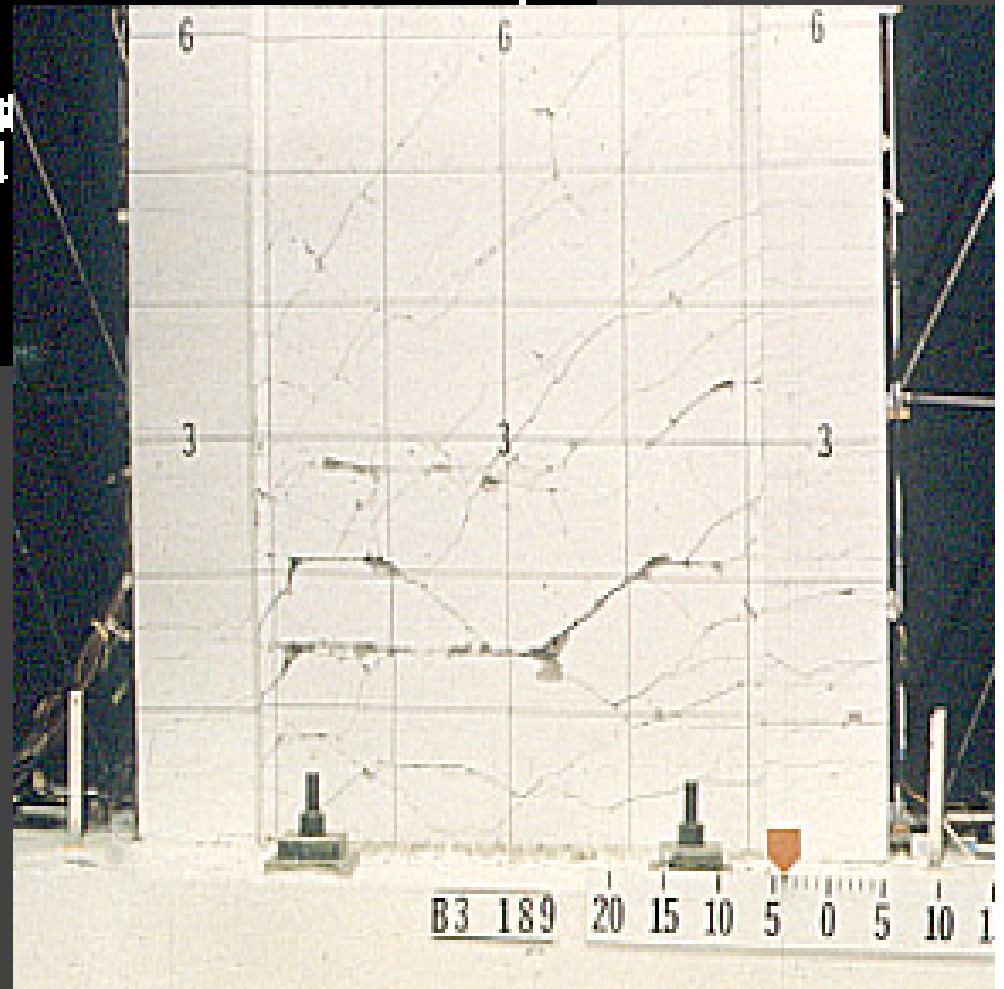
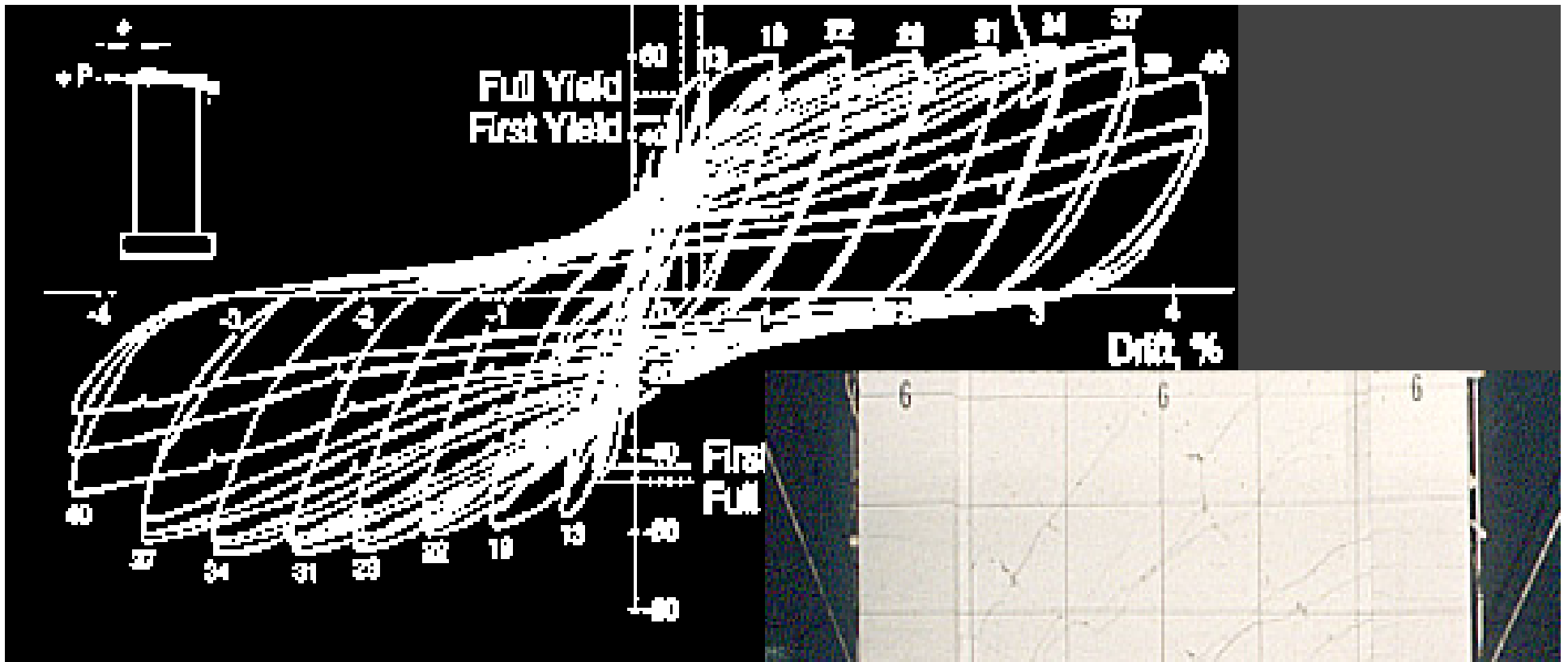
F. Flexure/Lap-Splice Slip

G. Flexure/Out-of-plane Wall Buckling

---

Moderate  
(Varies)





**RC1A: Flexure-Governed Wall**



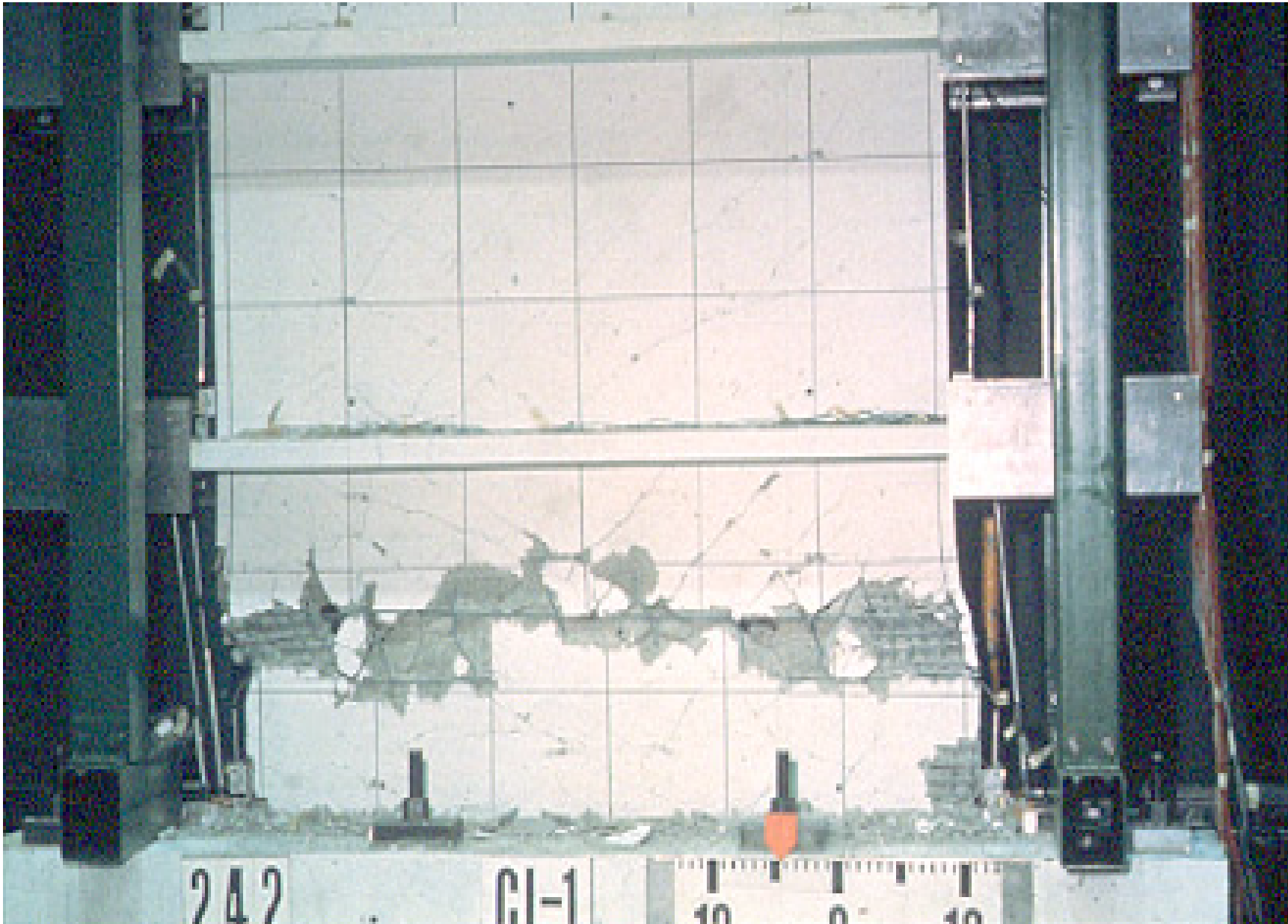
**Component  
Type RC1:  
Isolated Wall**

**Behavior  
Mode B:  
Flexure/  
Diagonal  
Tension**



**Component Type**  
**RC1: Isolated Wall**

**Behavior Mode D:**  
**Flexure/ Sliding**  
**Shear**



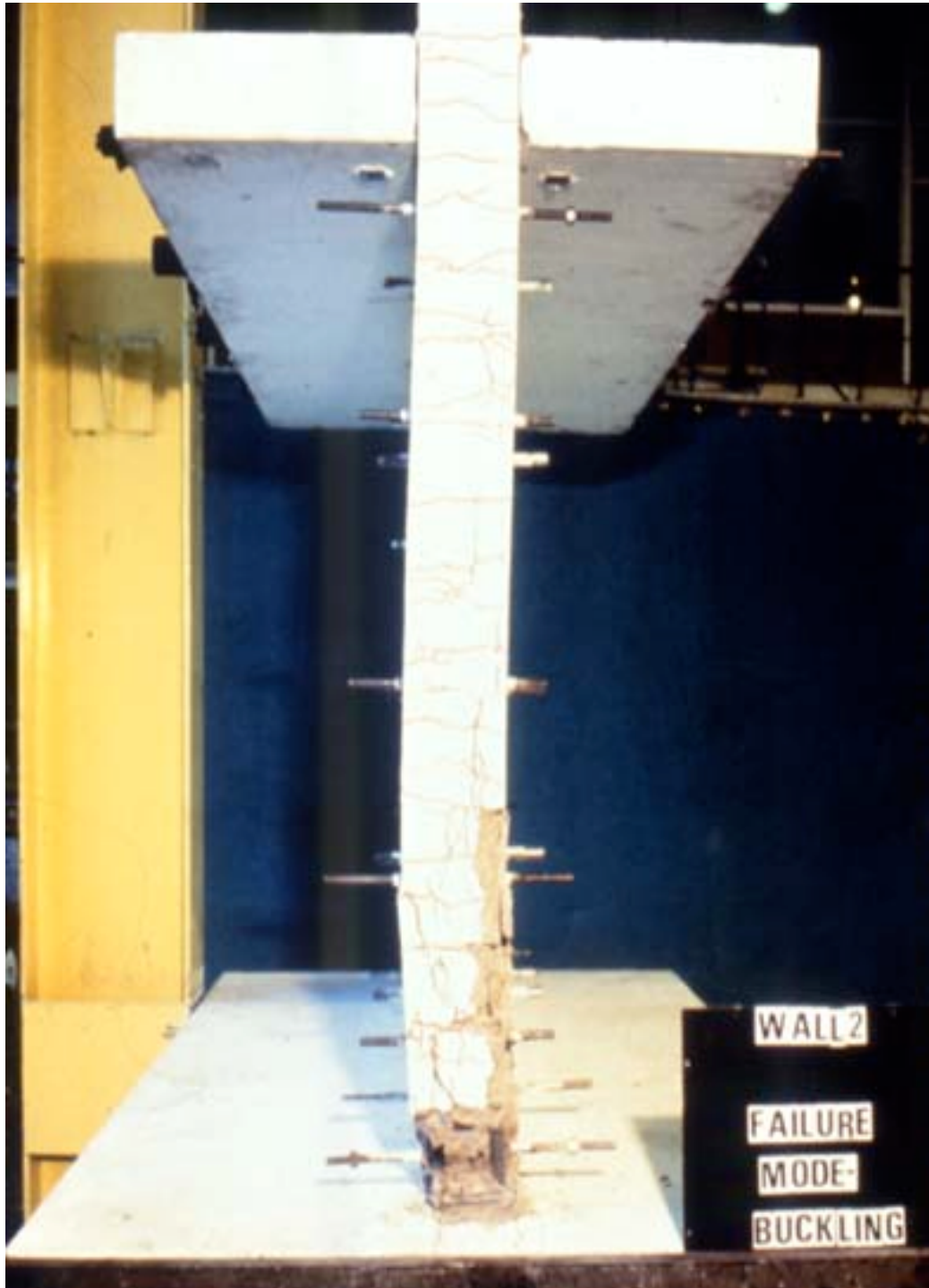
**RC1D: Sliding shear failure**



**Buckled wall reinforcement -- RC1E**



**Buckled wall reinforcement -- RC1E**



## Component Type RC1: Isolated Wall

---

Behavior Mode G:  
Flexure/ Out-of-  
plane wall  
buckling



**Out-of-plane wall buckling -- RC1G**



## Behavior Mode

## Ductility Capacity

---

H. Preemptive Diagonal Tension

I. Preemptive Web Crushing

J. Preemptive Sliding Shear

**Low**

K. Preemptive Boundary Zone  
Compression

L. Preemptive Lap-Splice Slip

---

M. Global Foundation Rocking

N. Foundation Rocking of  
Individual Piers

---

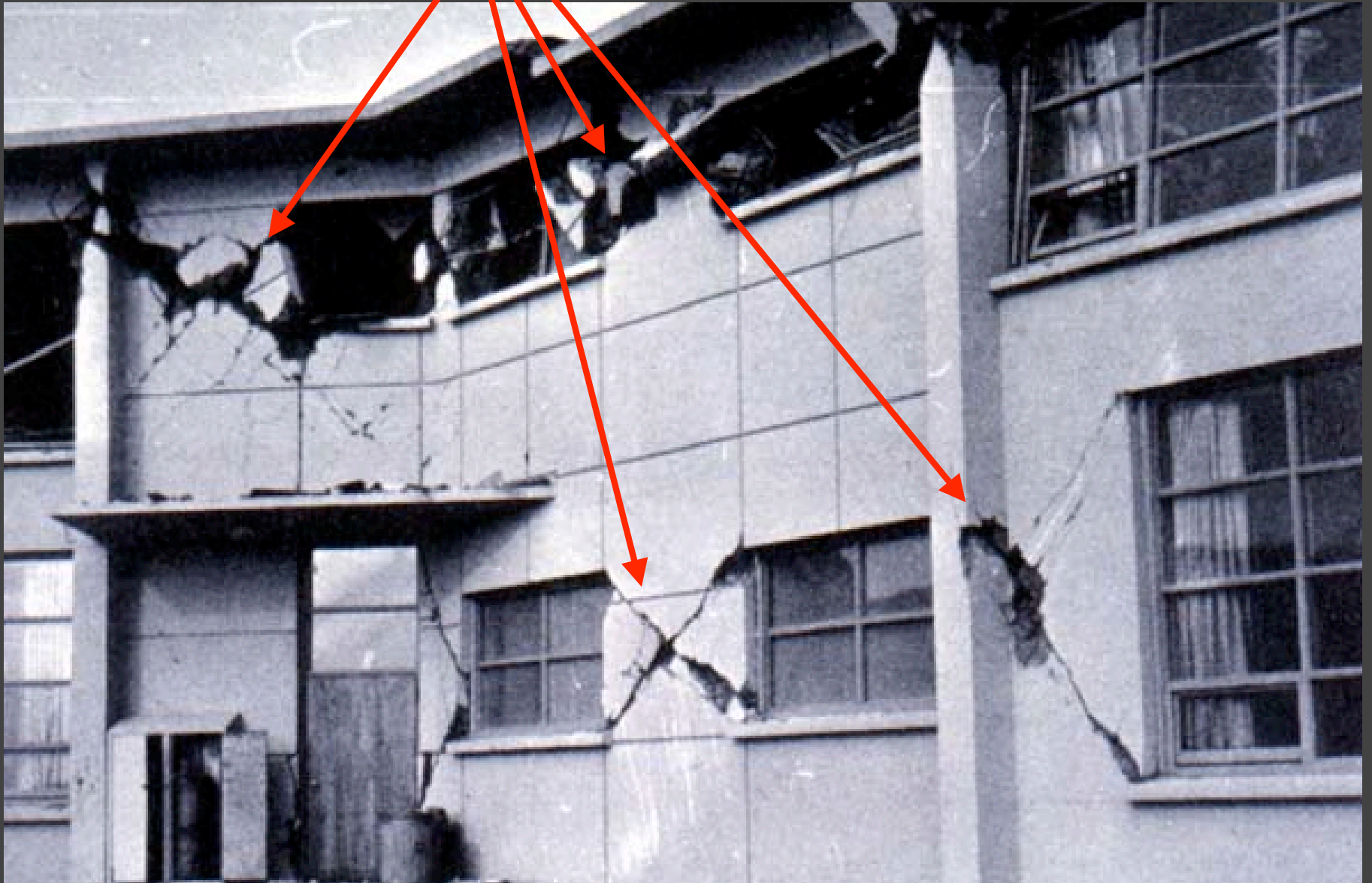
**Moderate  
to High**

---

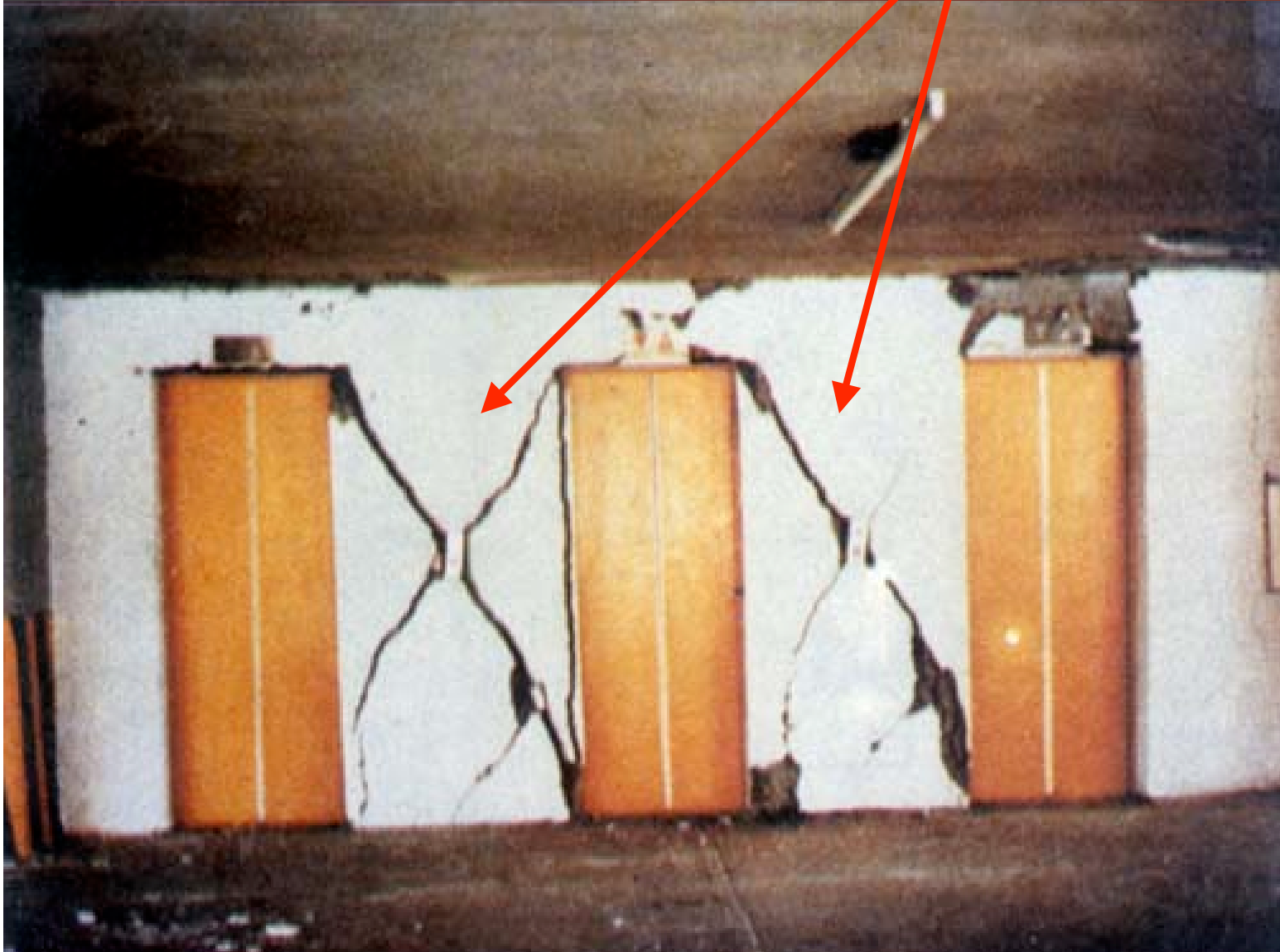
**Behavior  
Mode H:  
Preemptive  
Shear Failure  
in Diagonal  
Tension  
Northridge  
1994**

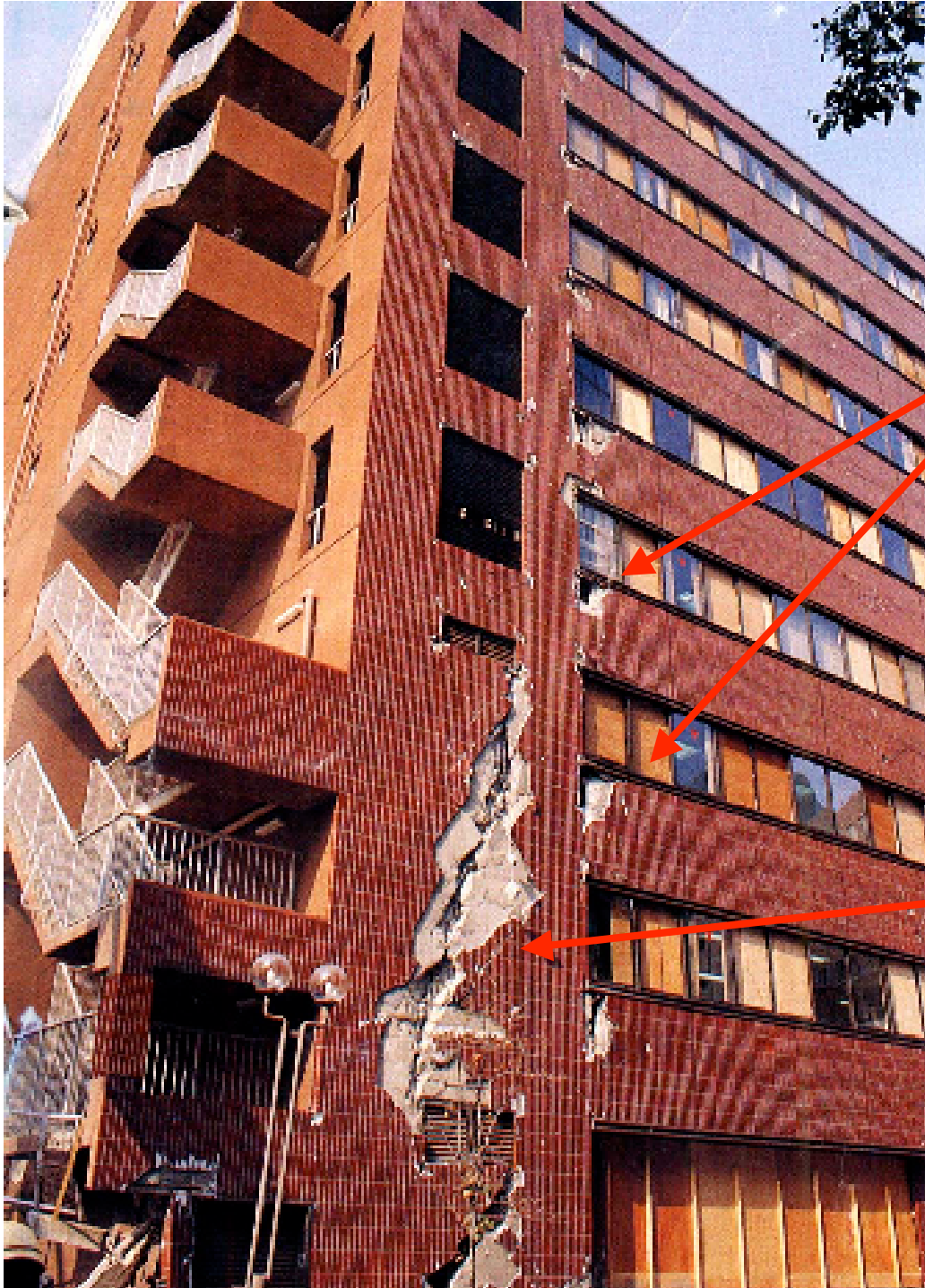


**RC2H: Weaker wall pier, preemptive diagonal tension**



**RC2H: Weaker wall pier, preemptive diagonal tension**





**RC3 Components:  
Weaker Spandrels**

**RC1H: Shear  
Failure in  
Diagonal Tension**

# RECOMMENDATIONS

---

- **Take full advantage of research done previously and at non-PEER institutions. Emphasize review of research as well as new research.**
- **Continue to select and design tests based on actual buildings (to the extent possible) so that overall impacts of findings can be studied.**

# RECOMMENDATIONS

---

- **Continue to seek and use practitioner input, and coordinate research among institutions.**
- **Question proposed frameworks if there are potentially better alternatives.**