

LIQUEFACTION-INDUCED SETTLEMENTS OF BUILDINGS WITH SHALLOW FOUNDATIONS

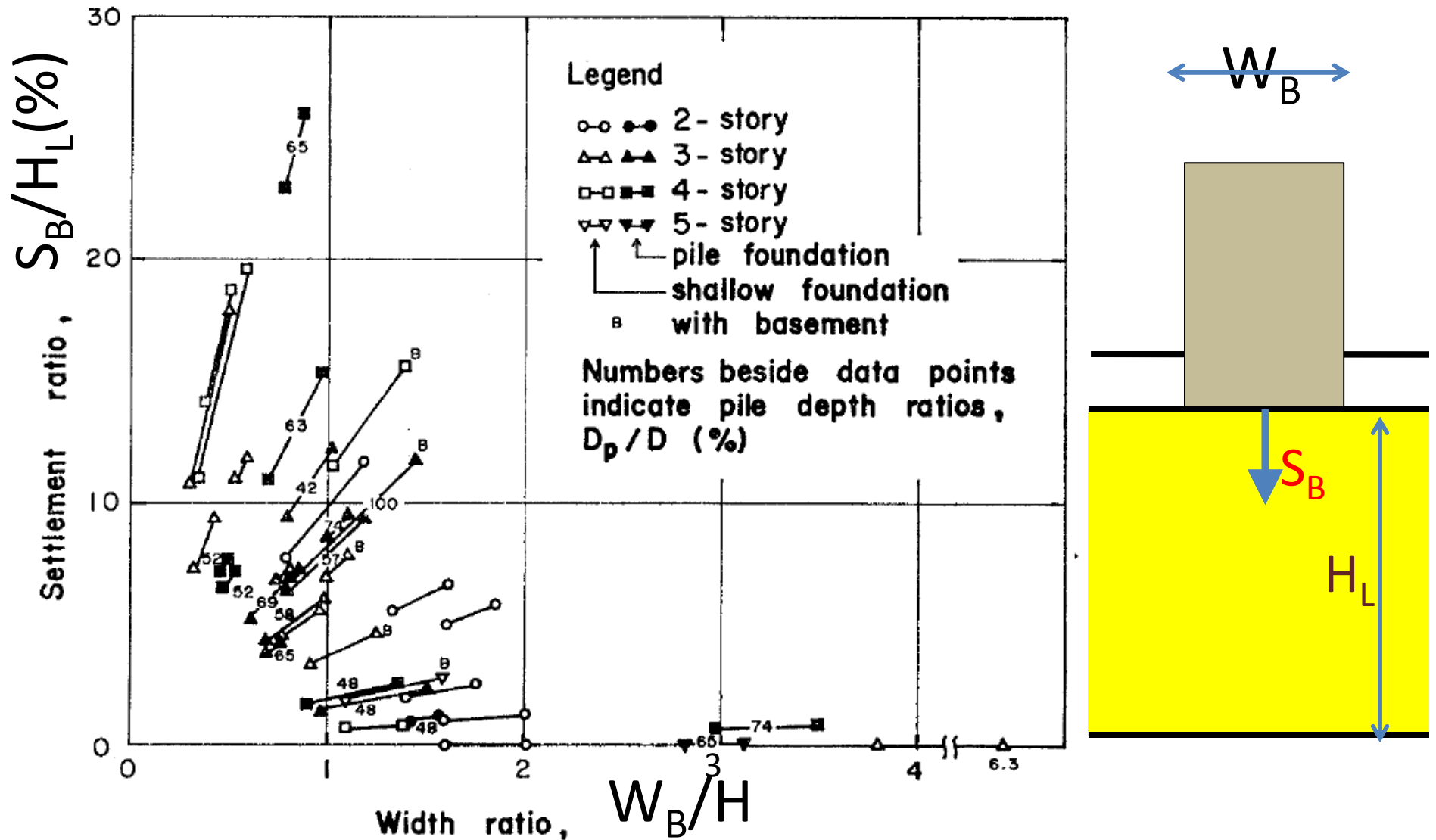
1. Key parameters found in the field
2. Key parameters found from centrifuge experiments
3. key challenges and paths forward

Kohji Tokimatsu, Tokyo Institute of Technology
liquefaction@mac.com

1. Key parameters observed in the field

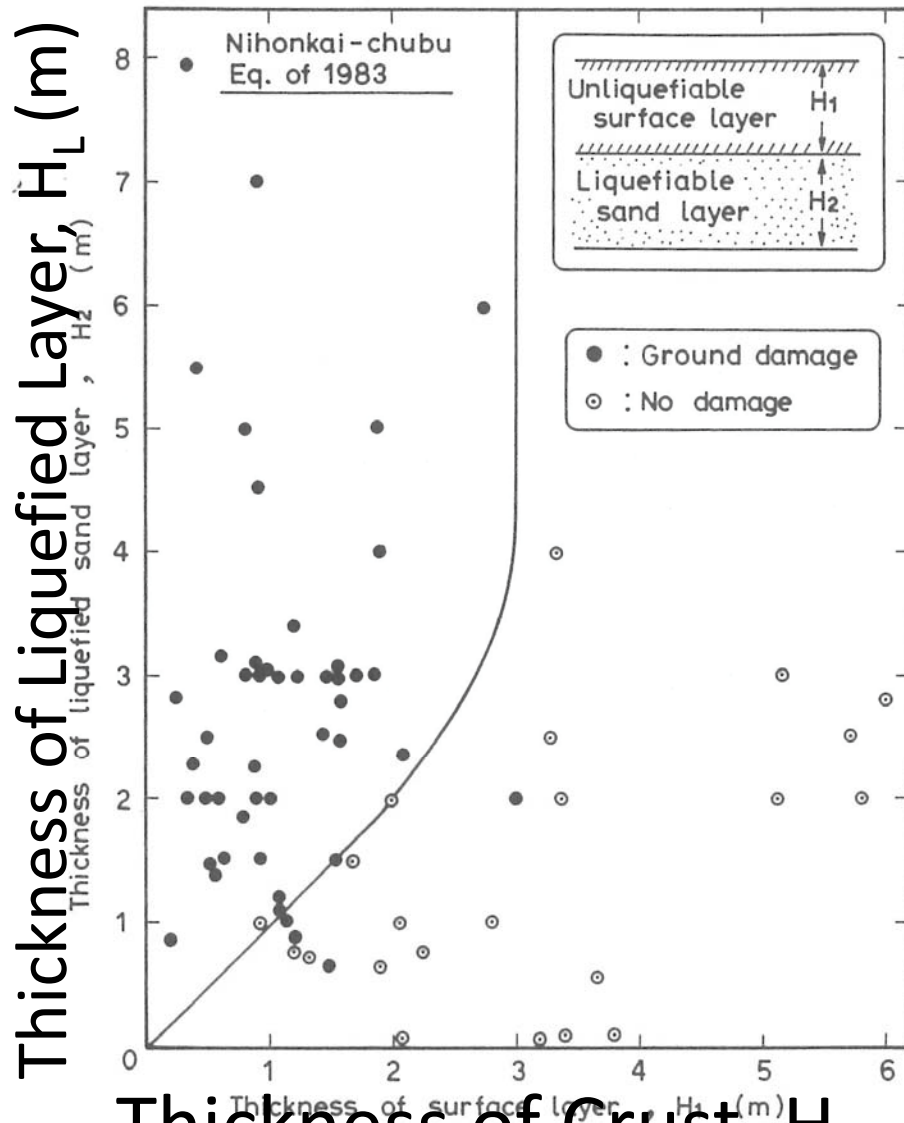


Effects of W_B/H_L on Normalized building settlement (S_B/H_L)

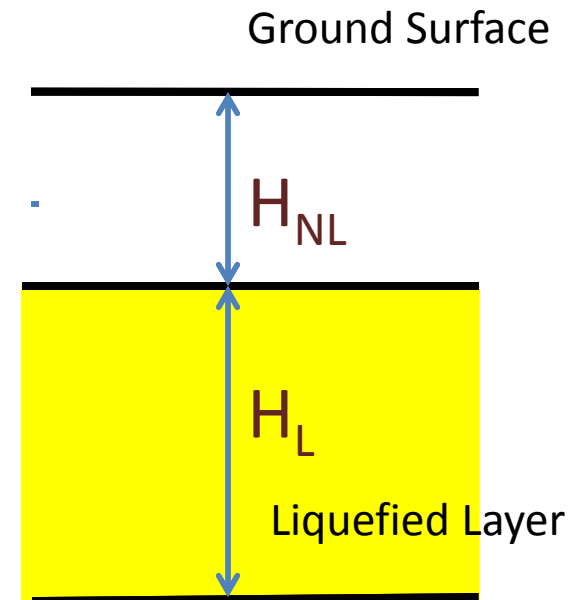


Yoshimi, Y., and Tokimatsu, K. (1977): Settlement of buildings on saturated sand during earthquakes, *Soils and Foundations*, 17(1), 23-38.

Effects of Thickness of Surface Crust

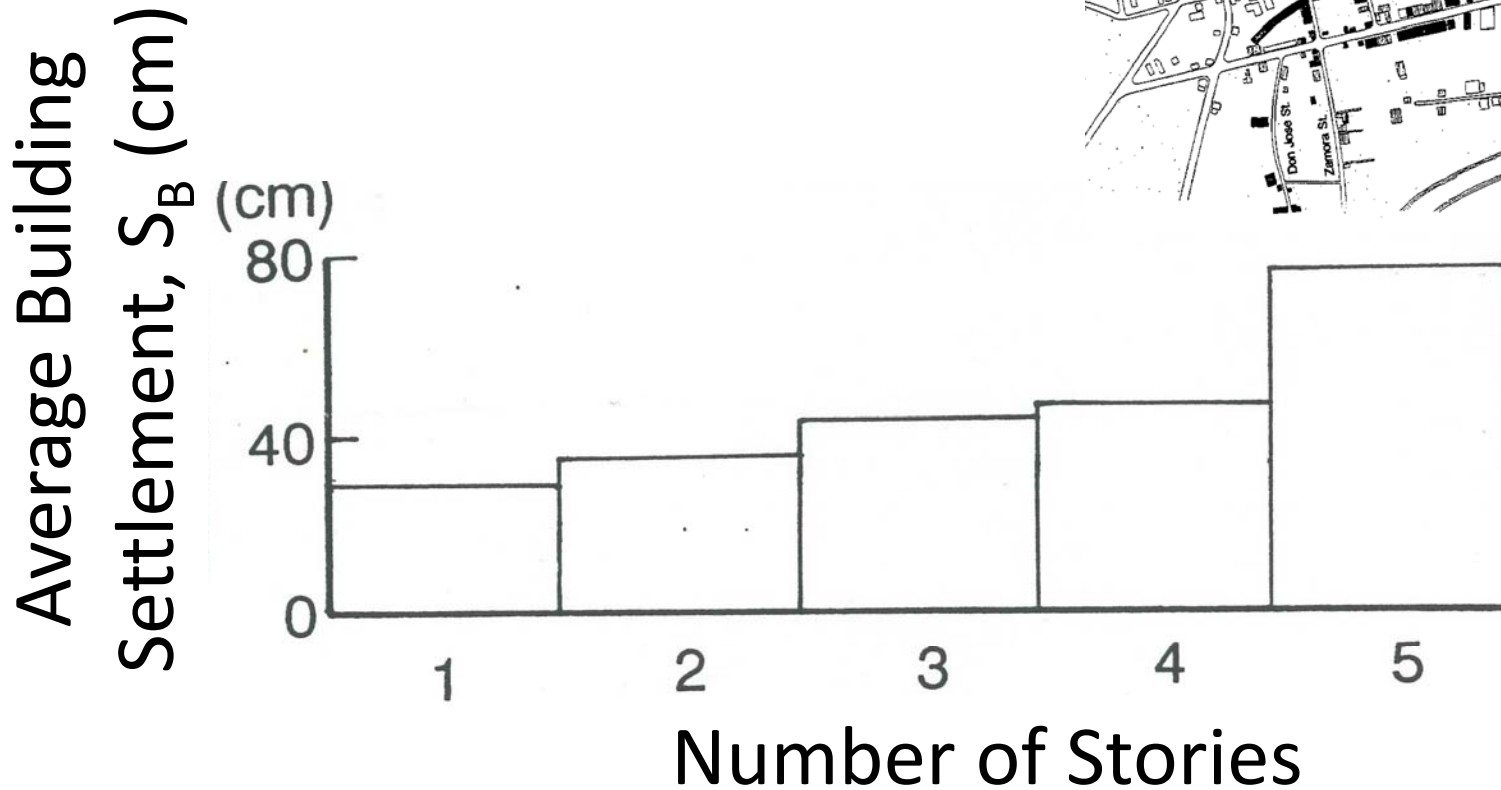
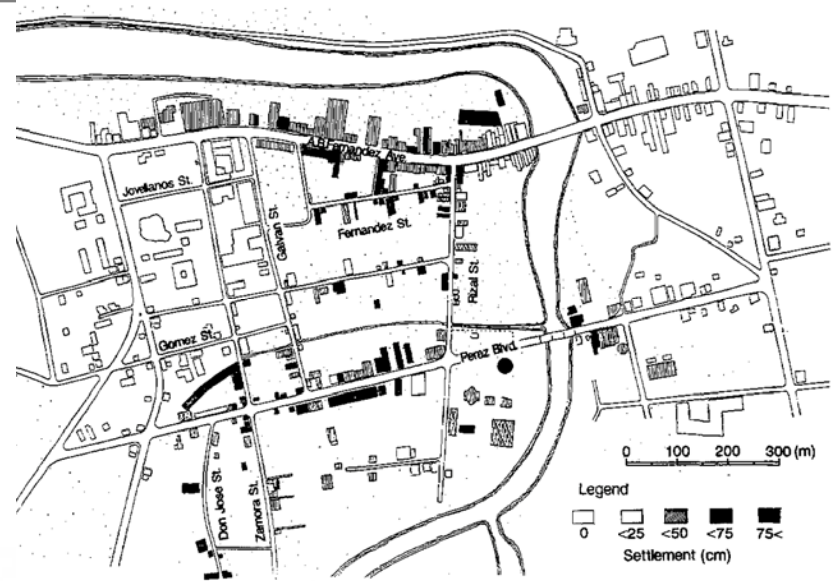


Thickness of Crust, H_{NL} (m)



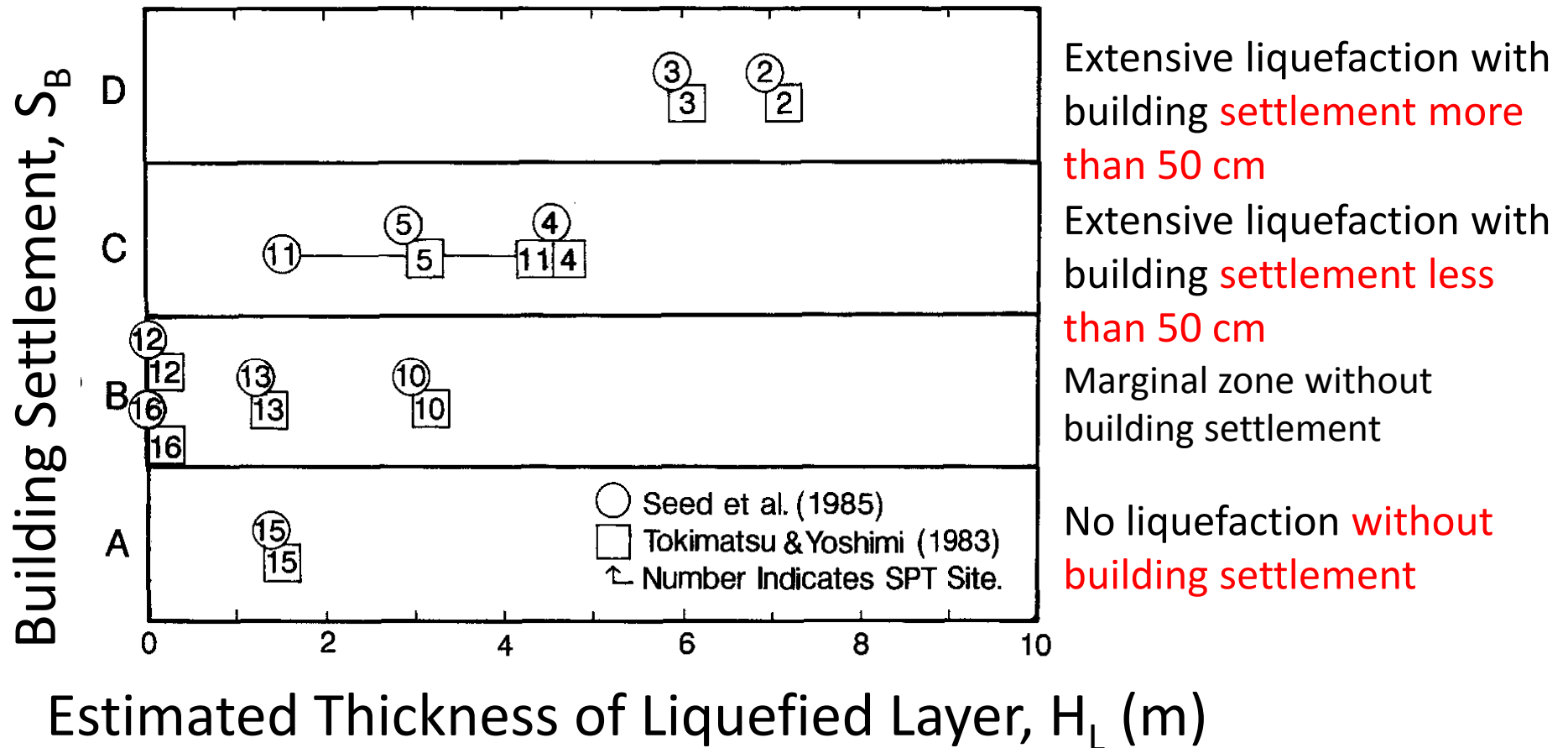
Ishihara, K. (1985): Stability of natural deposits during earthquakes, Proc., 11th ICSMFE, Vol. 1, 321-376.

Effects of contact pressure or stories



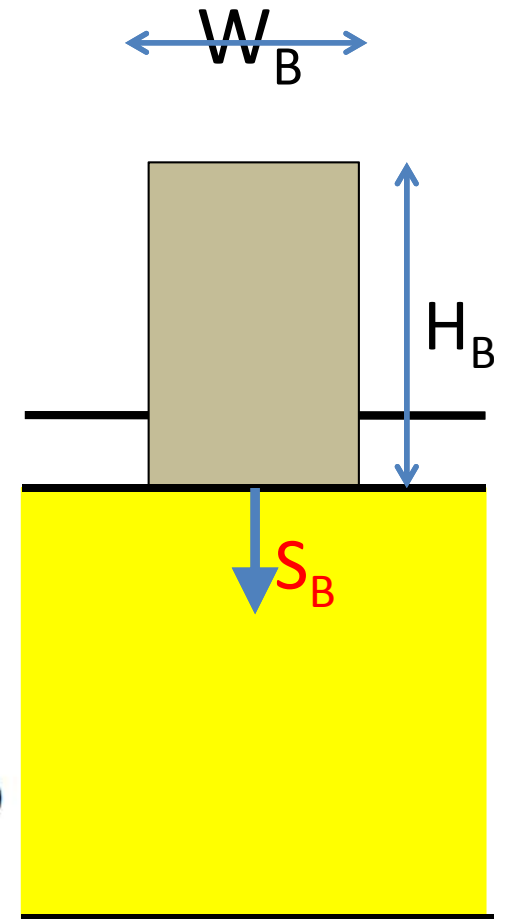
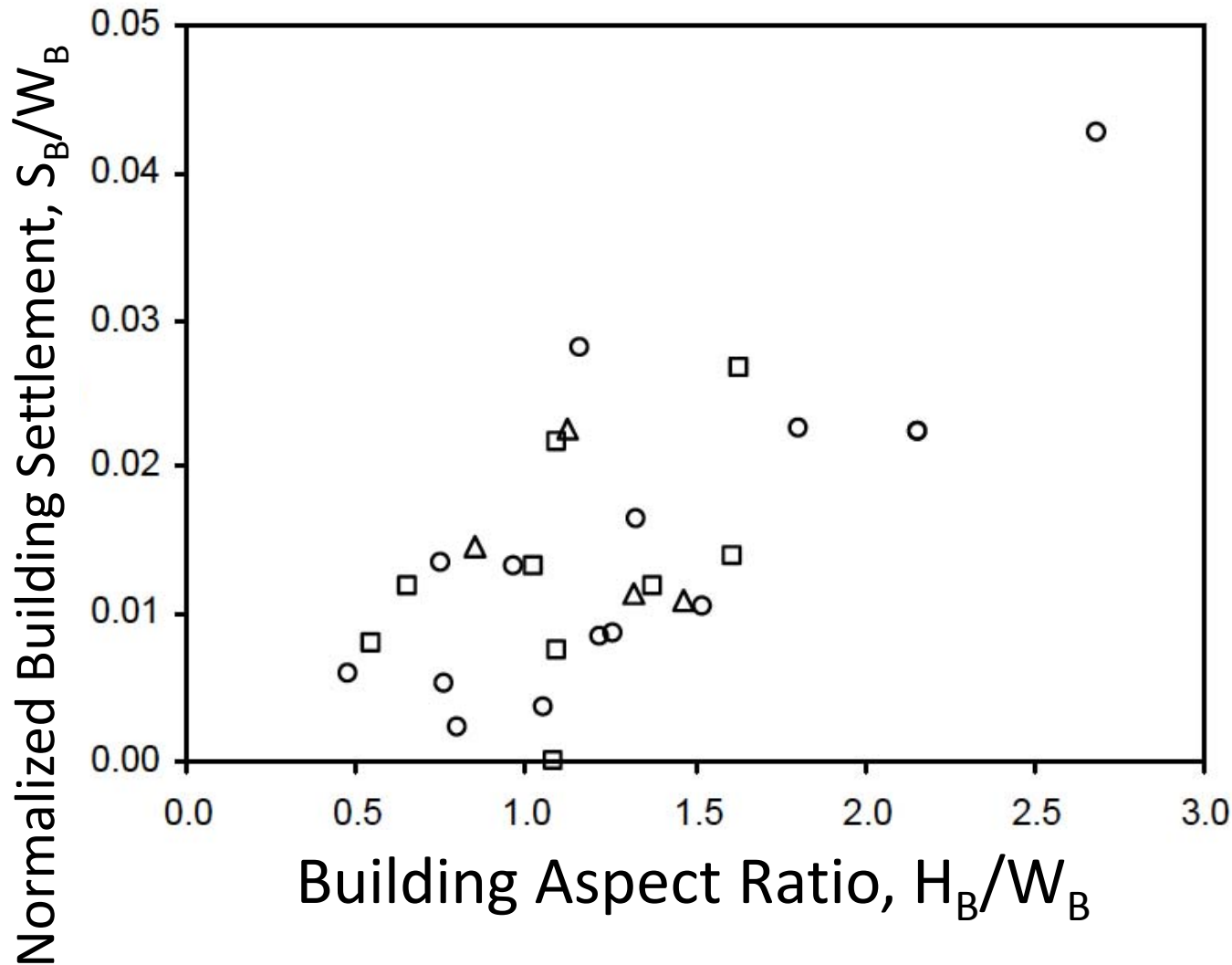
Tokimatsu, K., Kojima, J., Kuwayama, S., Abe, A., and Midorikawa, S. (1994): Liquefaction-induced damage to buildings during 1990 Luzon Earthquake, *J. Geotech. Engrg.*, 120(2), 290–307.

Effects of H_L on Building Settlement



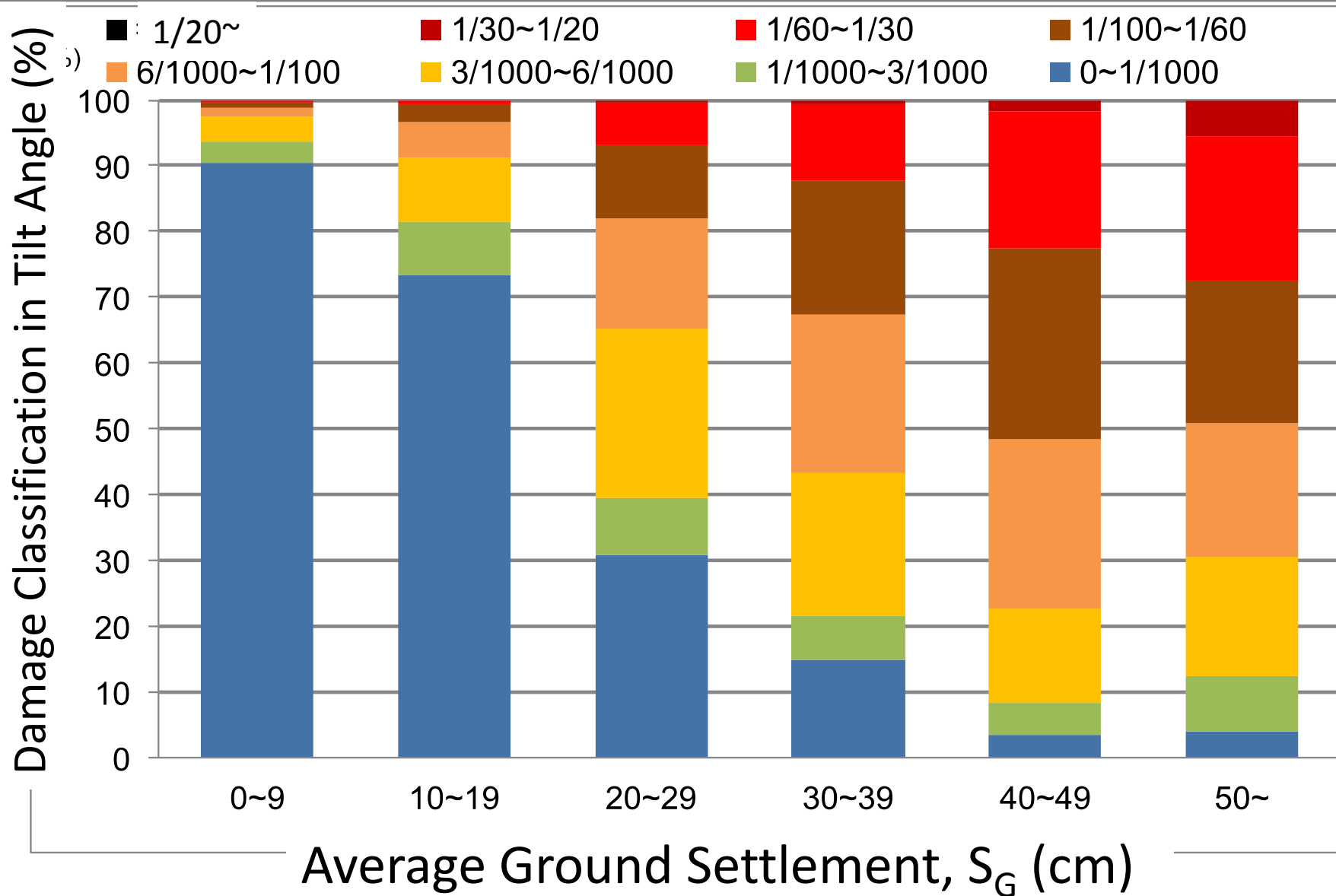
Tokimatsu, K., Kojima, J., Kuwayama, S., Abe, A., and Midorikawa, S. (1994): Liquefaction-induced damage to buildings 1990 Luzon Earthquake, J. Geotech. Engrg., 120(2), 290–307.

Effects of aspect ratio on building settlements



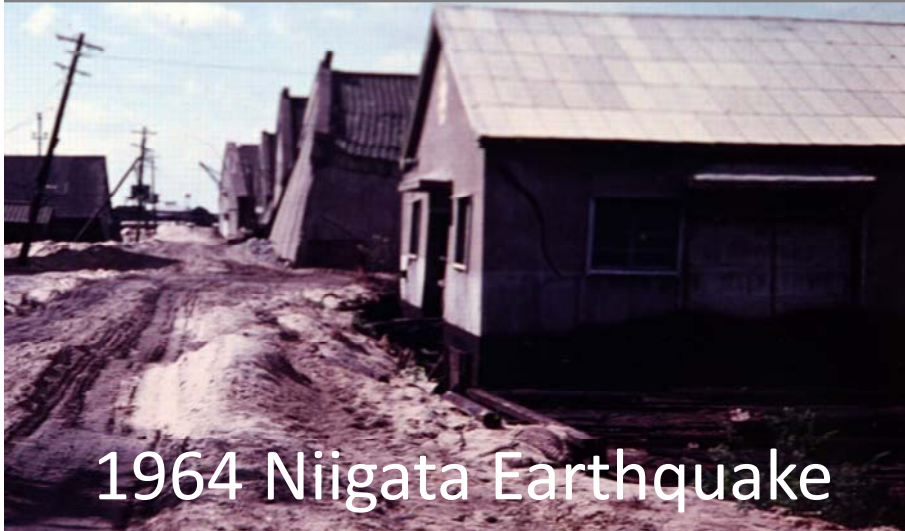
Sancio, R., Bray, J. D., Durgunoglu, T., and Onalp, A. (2004): Performance of buildings over liquefiable ground in Adapazari, Turkey, Proc., 13th World Conf. on Earthquake Engineering, St. Louis, Mo., Canadian Association for Earthquake Engineering, Vancouver, Canada, Paper No. 935.

Ground Settlement vs Tilt Angle of Houses



Tokimatsu, K., Tamura, S., Suzuki, H., and Katsumata, K. (2012): Building damage associated with geotechnical problems in the 2011 Tohoku Pacific Earthquake, *Soils and Foundations*, 52(5), 956-974.

Effects of Foundation Rigidity on Failure Mode



1964 Niigata Earthquake



2011 Tohoku Earthquake

1960

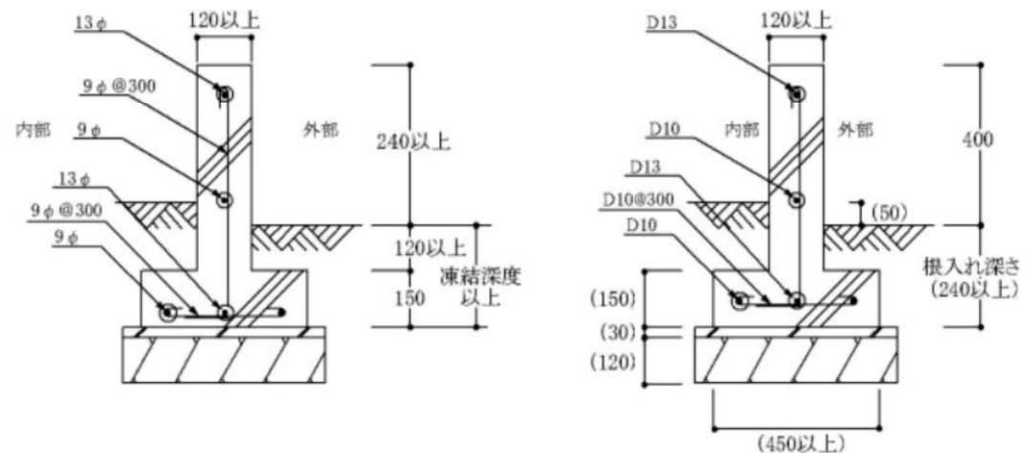
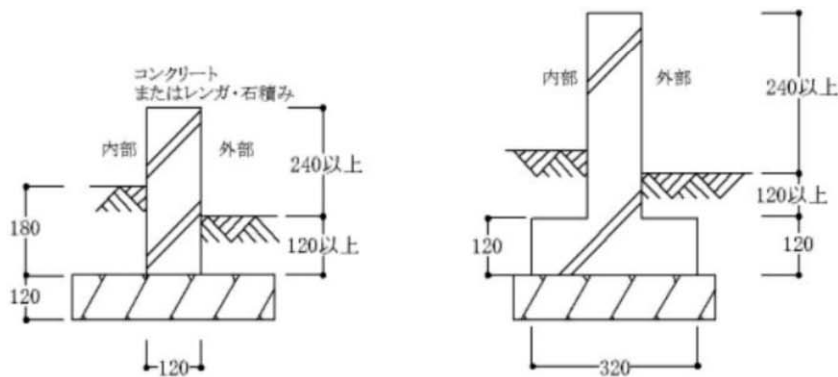
1962

1982

2000

Unreinforced concrete foundations
Structural Damage

Reinforced concrete foundations
Building settlement & Tilt



Key Parameters Observed in the Field

Building Response

Number of Stories, N

Aspect Ratio, W_B/H_B

Contact Pressure, q_B

Mass Eccentricity

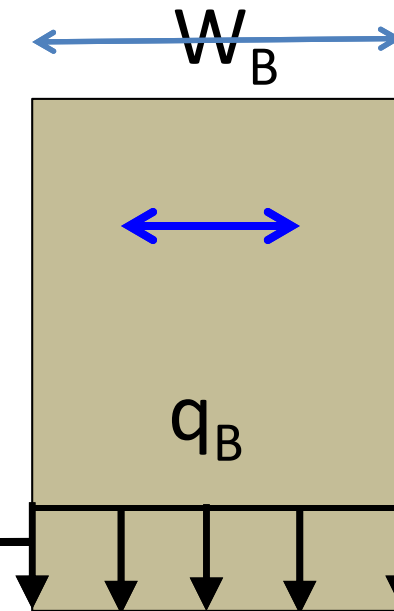
Foundation Rigidity

Structure-to-Structure
Interaction

Non-liquefied Crust

Groundwater Table

Liquefiable Layer



Building Settlement, S

Building Tilt

Structural Damage

Ground Settlement

Location of Ejecta

H_{NL} or H_1

Shear Strength

Dr_L

H_L or H_2

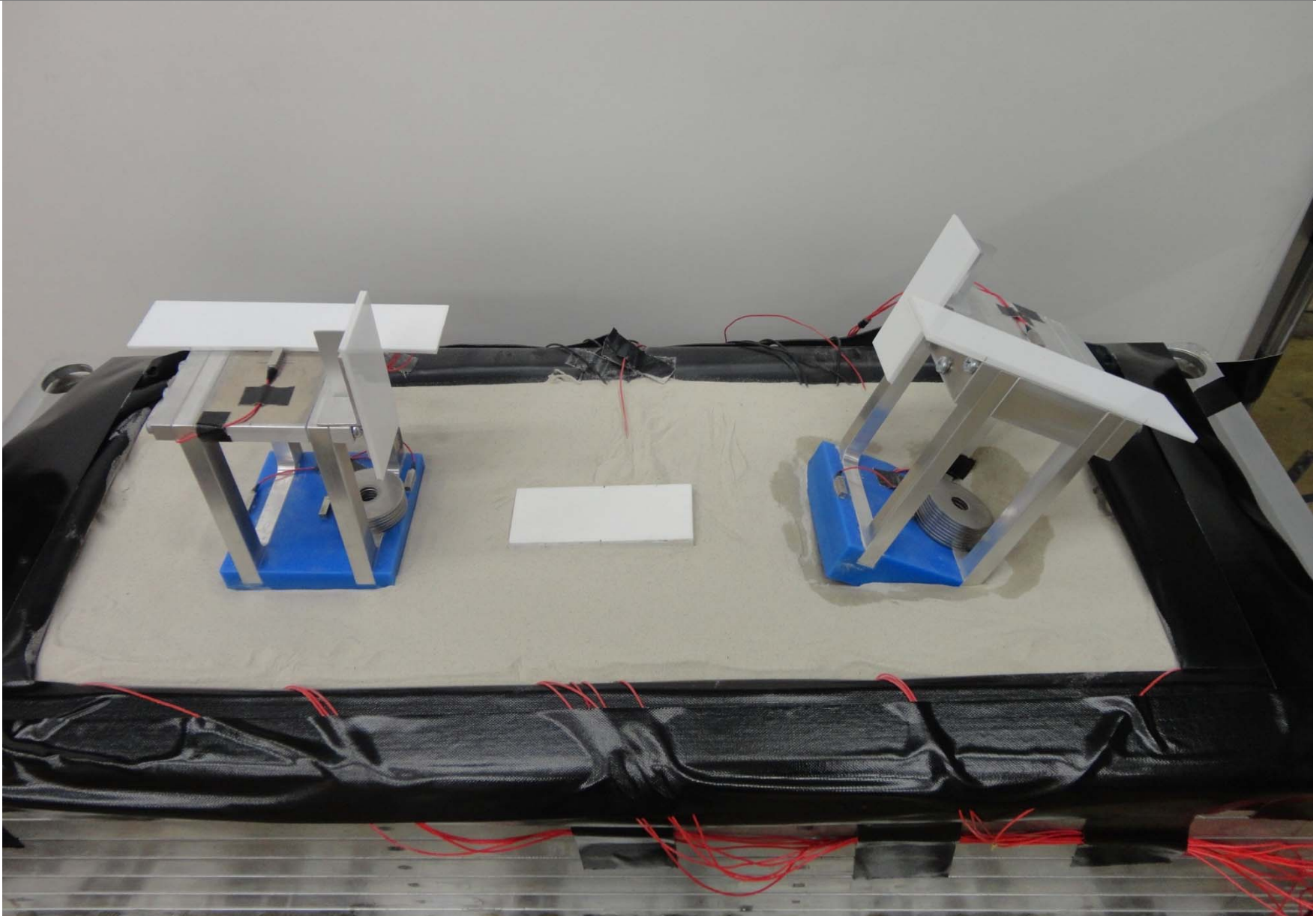
3D Drainage

Input motion (A_{max} , Duration, Sequence)

Summary from Field Observation

- (1) Liquefaction-induced settlements of buildings with shallow foundations were affected by various factors, relative effects of which have not been clearly identified.
- (2) A simplified procedure should be pursued for better understanding of the mechanism that could explain building damage in most of the compiled case histories.

2. Key parameters found in the lab



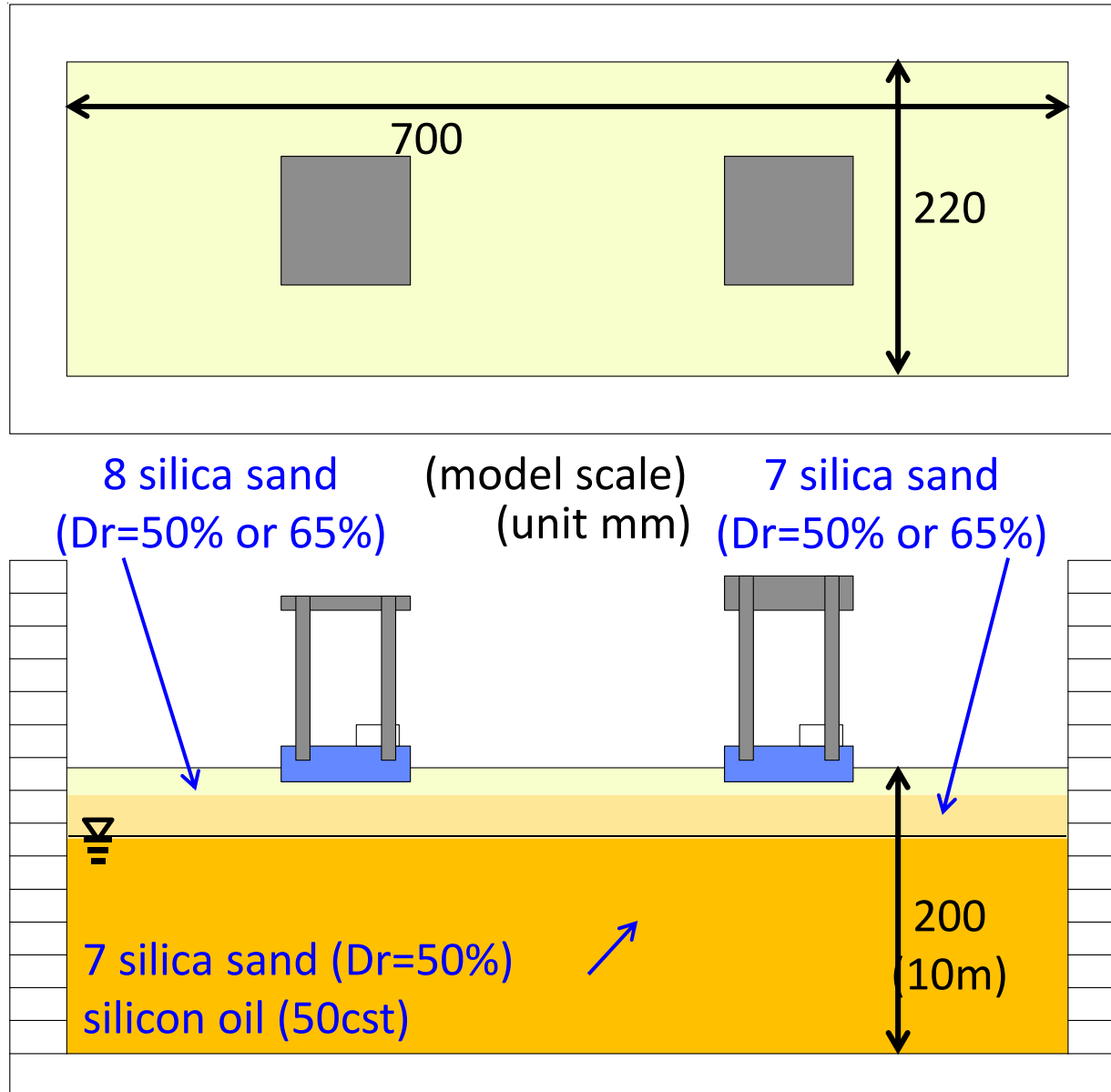
Description of Centrifuge Shaking Table Tests

Experimental Variables

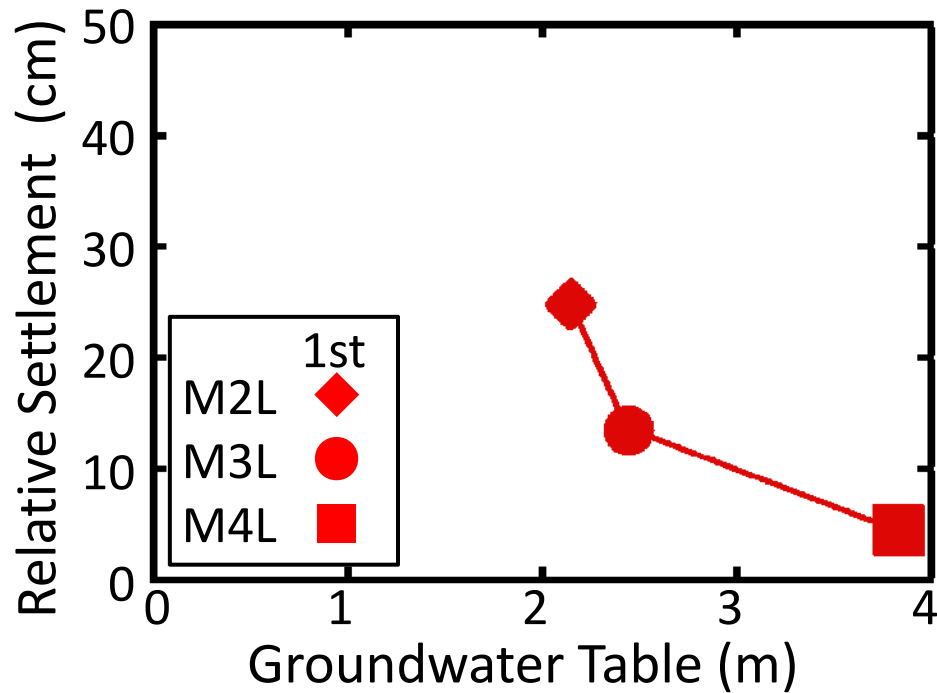
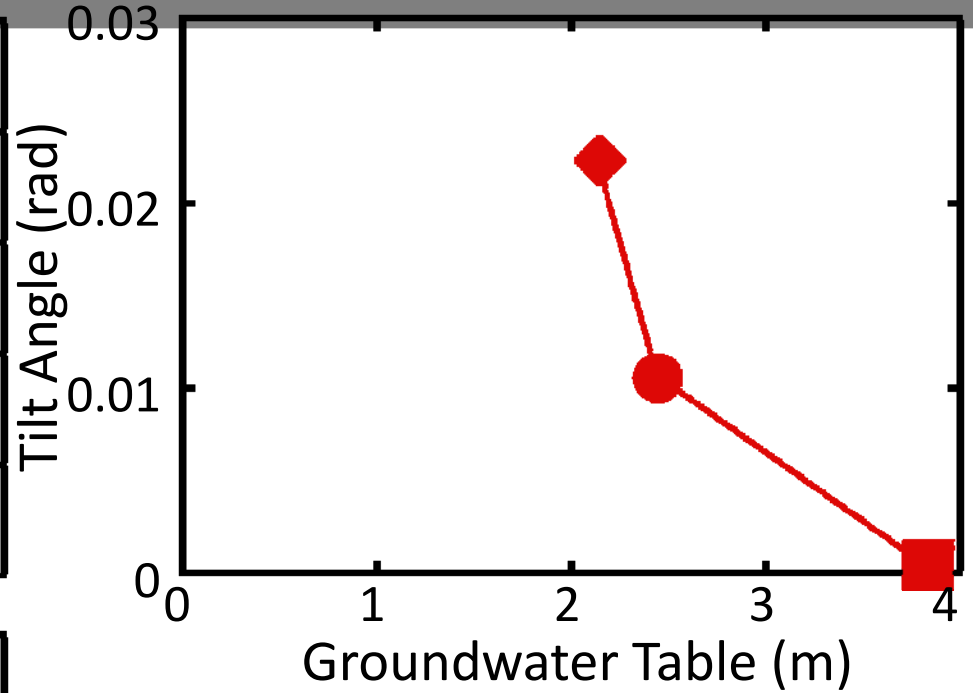
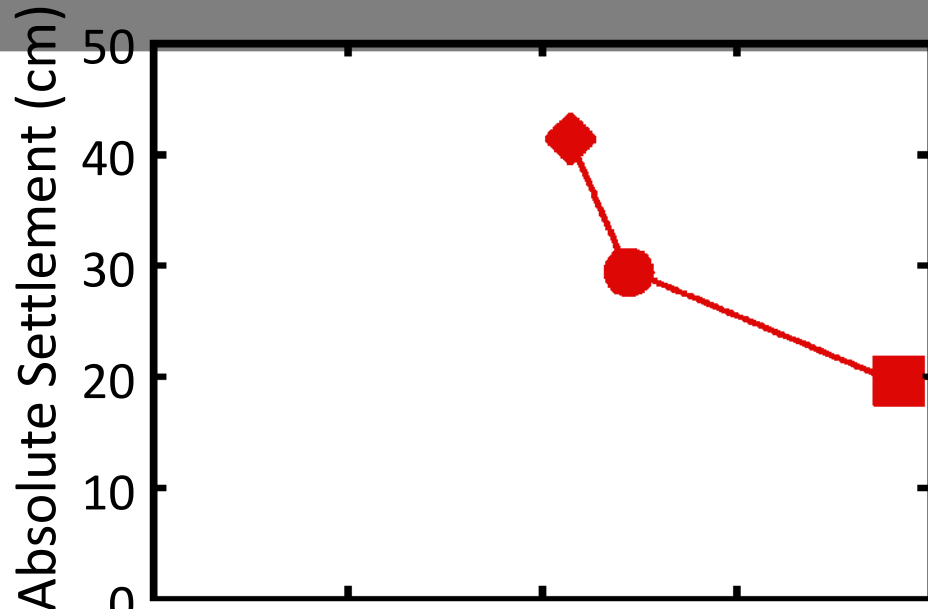
Contact pressure
Building height and width
Mass eccentricity ratio
Groundwater depth
Thickness and Density of Liquefied soil

Input PGA

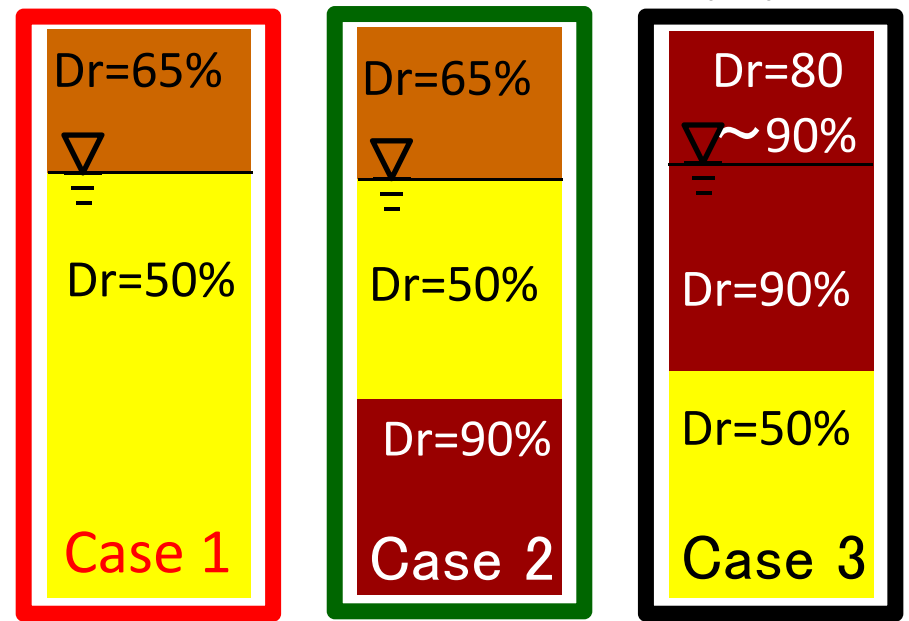
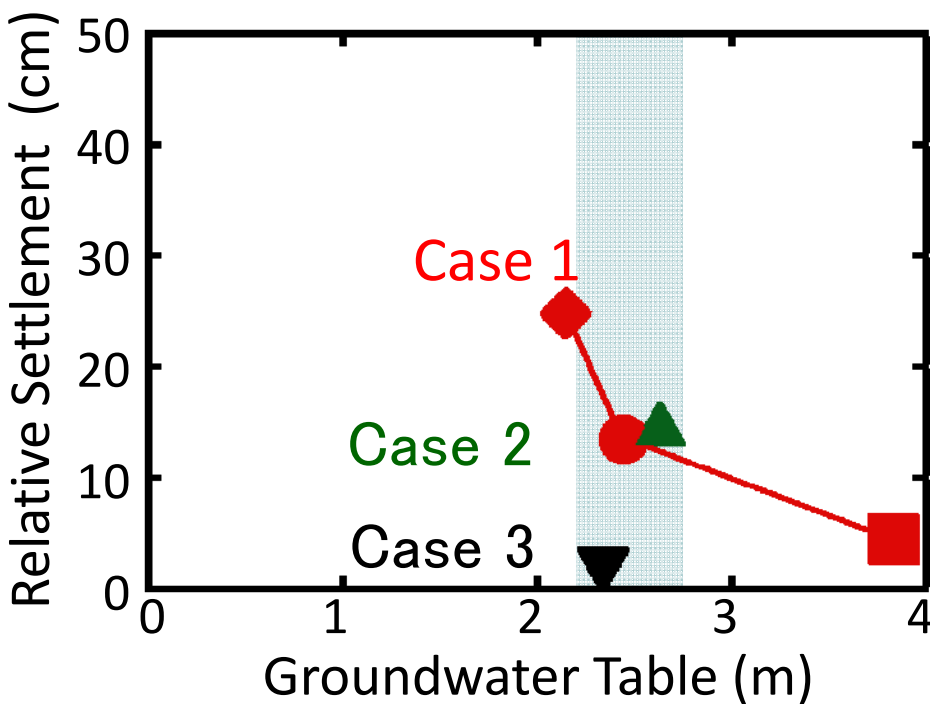
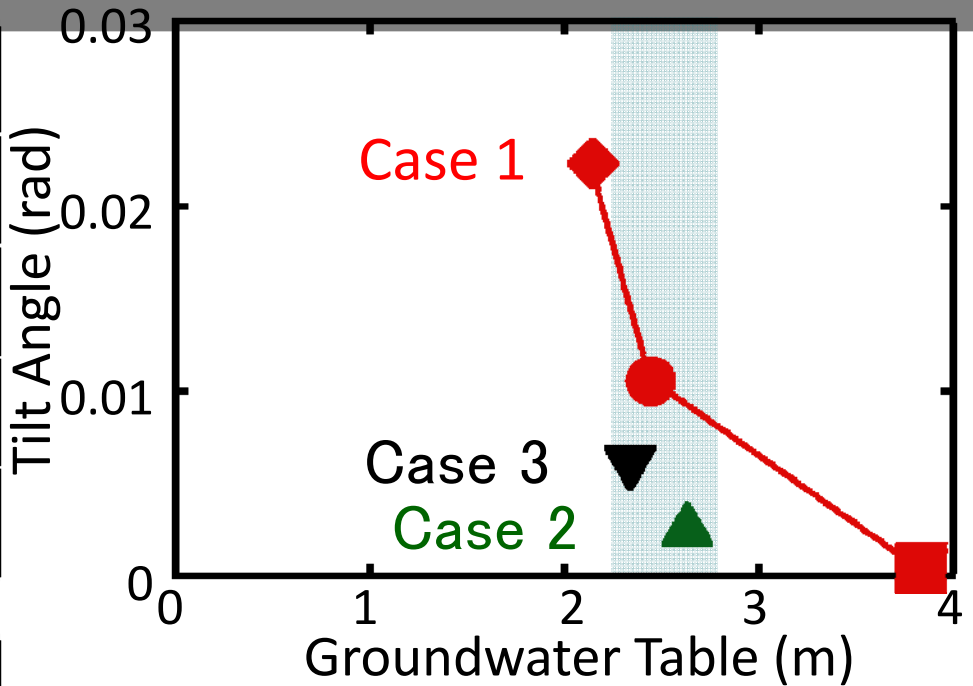
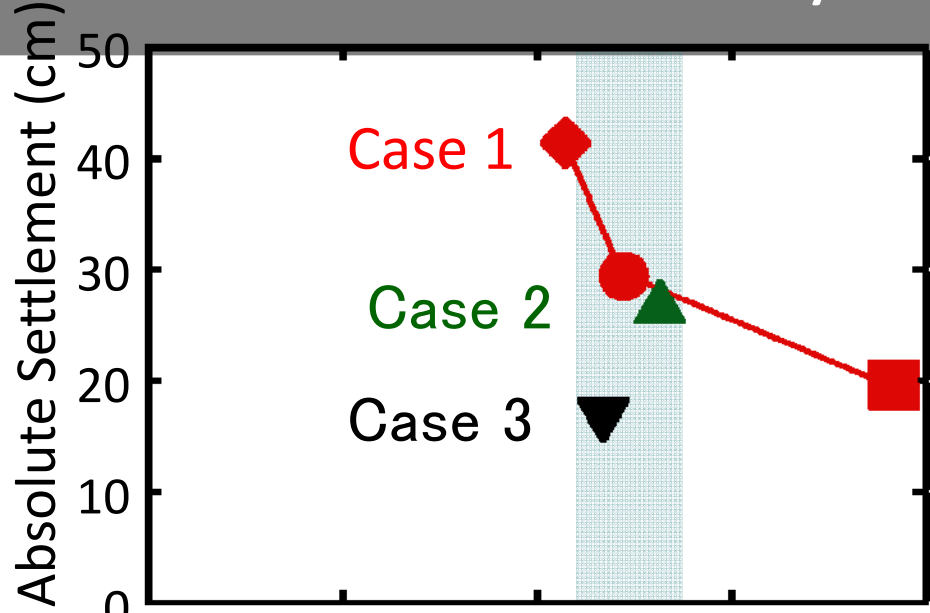
Centrifuge Acceleration
50g with small container
25g with large container



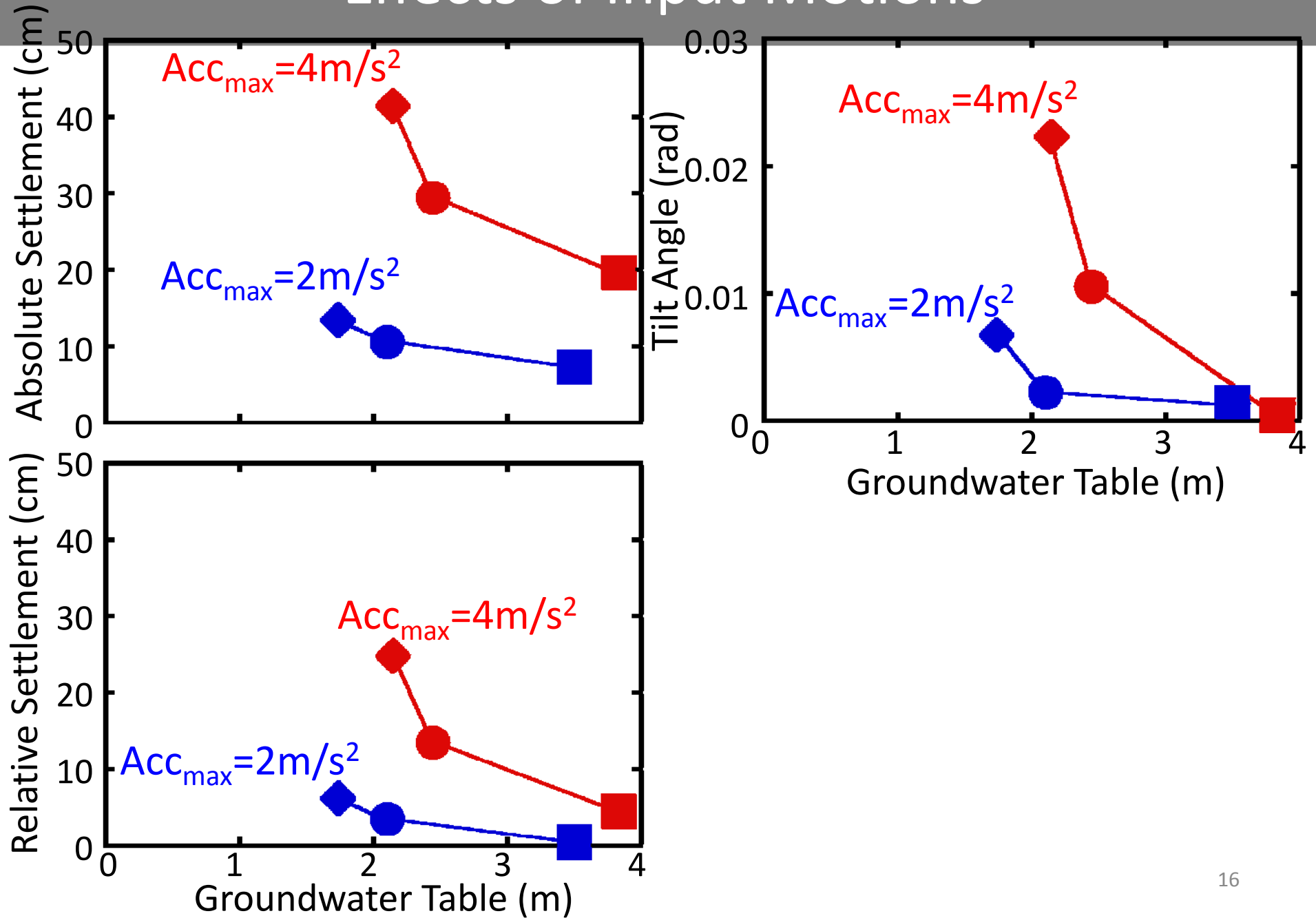
Effects of Groundwater Table



Effects of Soil Density & Location of Liquefied Soil



Effects of Input Motions



Factor of Safety against Vertical Load

$$F_{sw} = R_w / L_w$$

Resistance

$$R_w = \int_0^z (K\sigma_v' \tan\theta) dz \times (2B+2L)$$

Self-Weight of Building

$$L_w = (m_1 + m_2 + m_e)g$$

K : Earth Pressure Coefficient

σ_v' : Effective Stress

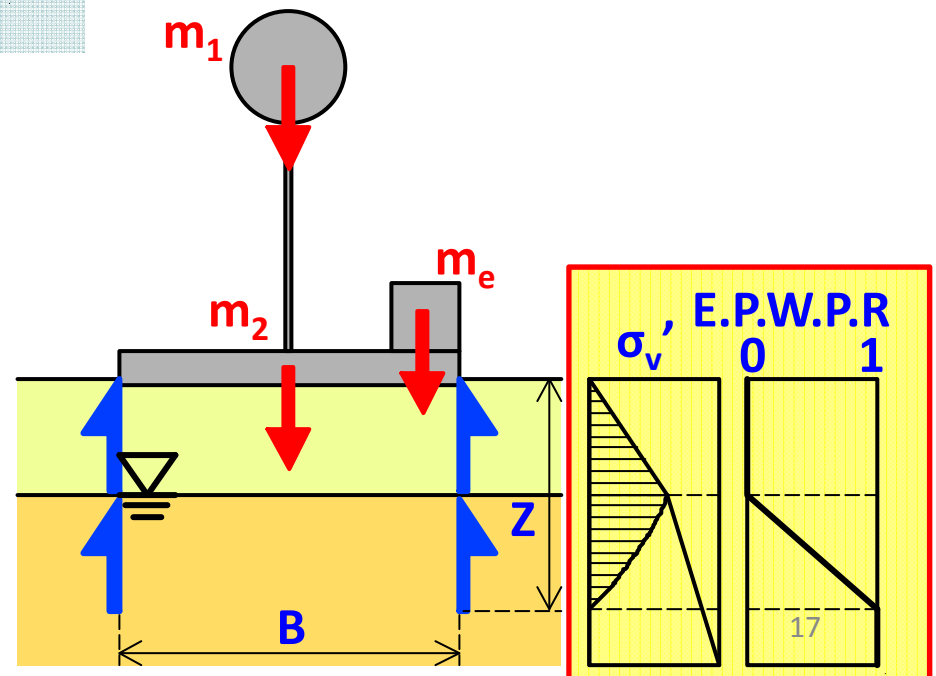
Z : Thickness of Non-liquefied Crust

θ : Internal Friction Angle

B , L : Dimension of Foundation

m_1 , m_2 , m_e : Mass of Building

g : Gravitational Acceleration



Factor of Safety against Overturning Moment

$$F_{SM_e} = R_{Me} / L_{me}$$

Resisting Moment

$$R_{Me} = \int_0^Z (K\sigma_v' \tan\theta) dz \times (B+L)B$$

Driving Moment

$$L_{Me} = (m_1 + m_2)gB/2 + m_e g(B/2 + e) + m_1 a_1 h_1 + (m_2 + m_e) a_2 h_2$$

K : Earth Pressure Coefficient

σ_v' : Effective Stress

Z : Thickness of Non-liquefied Crust

θ : Internal Friction Angle

B , L : Dimension of Foundation

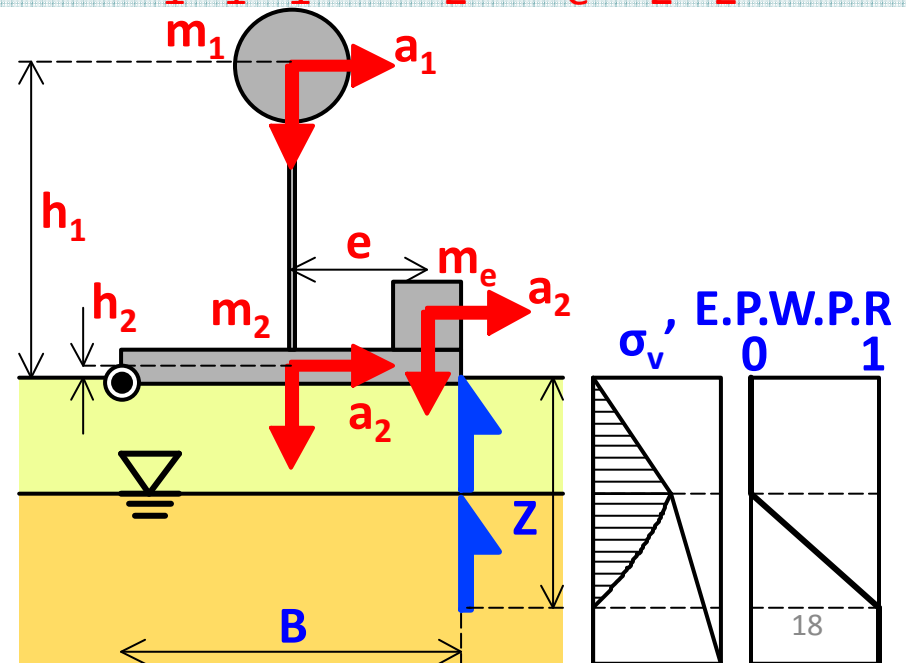
m_1 , m_2 , m_e : Mass of Building

g : Gravitational Acceleration

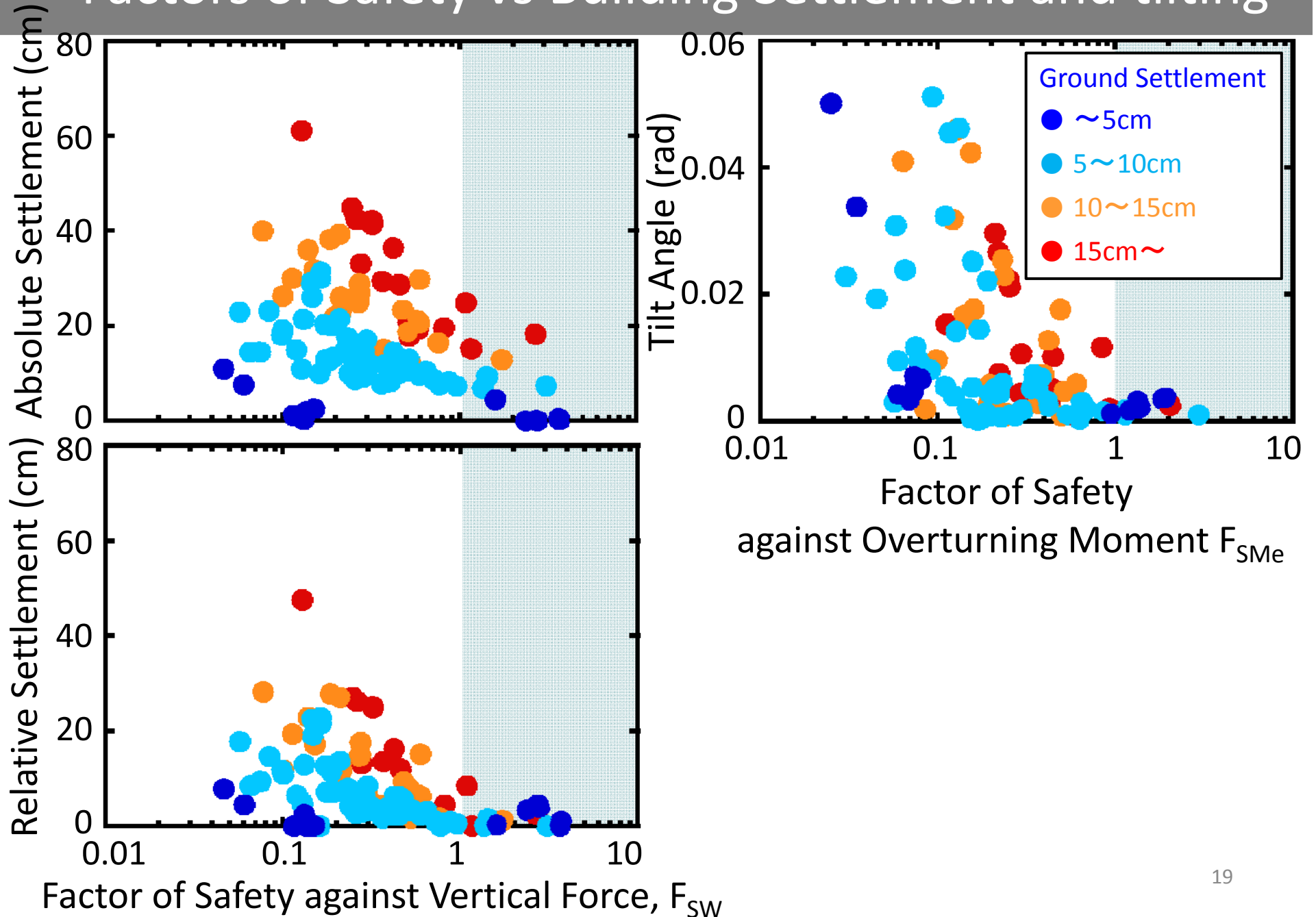
a_1 , a_2 : Building Acceleration

h_1 , h_2 : Height

e : Eccentric Distance



Factors of Safety vs Building Settlement and tilting



Summary from Centrifuge Experiment

(1) The relative settlement and tilt angle of a building decreased as the crust thickness (H_{NL}) and the density of liquefied soil (D_{rL}) increased or the thickness of the liquefied soil (H_L) and building contact pressure (q_B) decreased.

(2) The effects of soil liquefaction on building damage were well accounted for by the safety factors against vertical force and static and dynamic overturning moments of the building together with the liquefaction severity of the underlying liquefiable deposit, represented by the integration of liquefaction-induced volumetric strain with depth.

3. KEY CHALLENGES AND PATHS FORWARD

- (1) Compilation/revisit of well documented case histories of liquefaction-induced ground and building settlements during recent earthquakes.
- (2) Centrifuge experiments to identify relative effects of key parameters on building settlements.
- (3) Development of a simplified procedure that can explain liquefaction-induced building damage in most of the compiled case histories and centrifuge experiments.

3. KEY CHALLENGES AND PATHS FORWARD (CONT.)

(4) Refinement of numerical and design procedures to estimate ground and building settlements, which should be substantiated by well-documented case histories and centrifuge experiments.

(5) Development of cost effective mitigation techniques taking into account the key parameters controlling settlement and tilting of buildings.

