

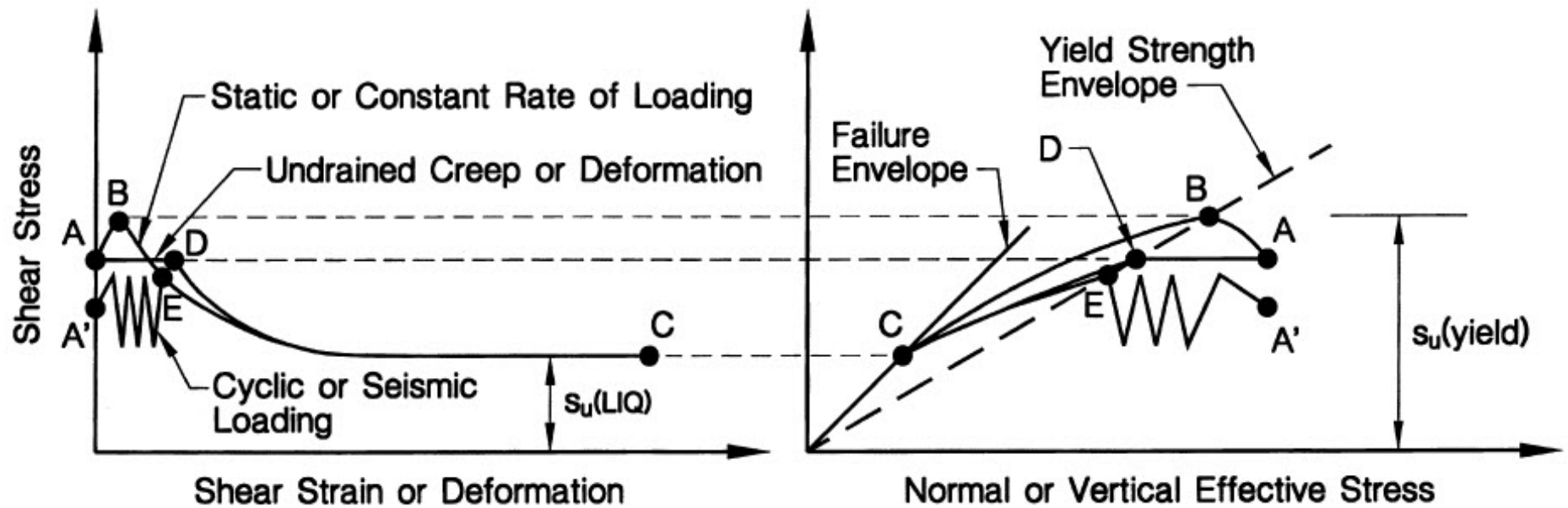
# *Assessing effects of Liquefaction*

*Peter K. Robertson*

2016



# *Flow (static) Liquefaction*



After Olson & Stark, 2003

# Case histories – flow liquefaction

- *Common soil features:*

- Very young age
- Non-plastic or low-plastic
- Uncemented
- Silica-based sandy soil
- Little or no stress history ( $K_0 \sim 0.5$ )
- Very loose (*contractive*)

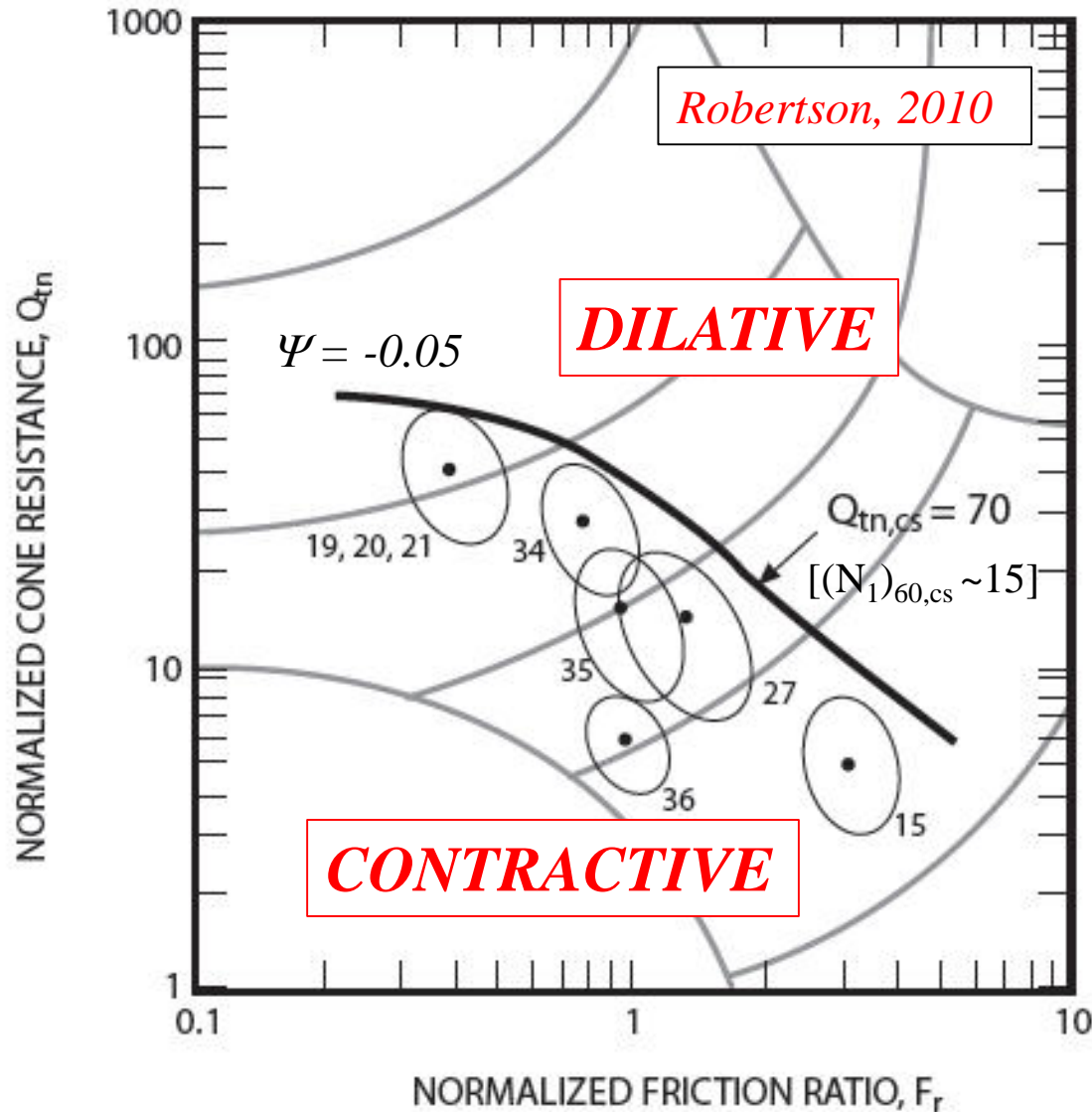


- *Common instability features:*

- Some triggered by very minor disturbance
- Failures tend to occur without warning
- Failures tend to be progressive & rapid
- Observation approach not valid



# Case histories – flow liquefaction



## Case histories with CPT

Nerlerk (sand) – 19,20,21

Jamuna (sand) - 34

Fraser River (silty sand) - 27

Sullivan mines (silty tailings) - 35

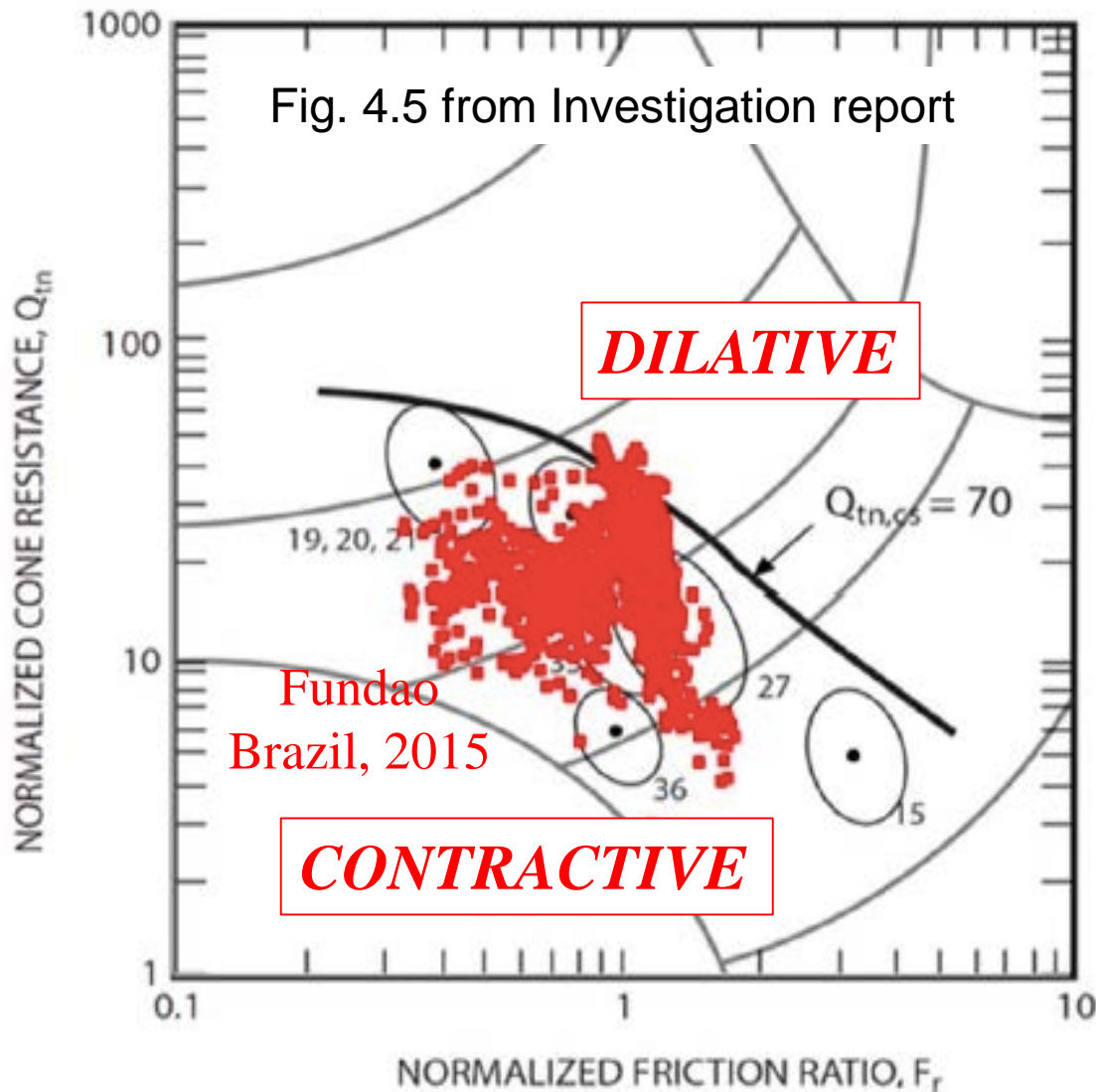
Northern Canada (silty clay) – 36

L. San Fernando Dam (silt) – 15

CPT data in critical layers +/- 1 sd.

All flow liq. case histories plot in 'contractive' portion of CPT SBT chart  
Good theoretical support via State Parameter

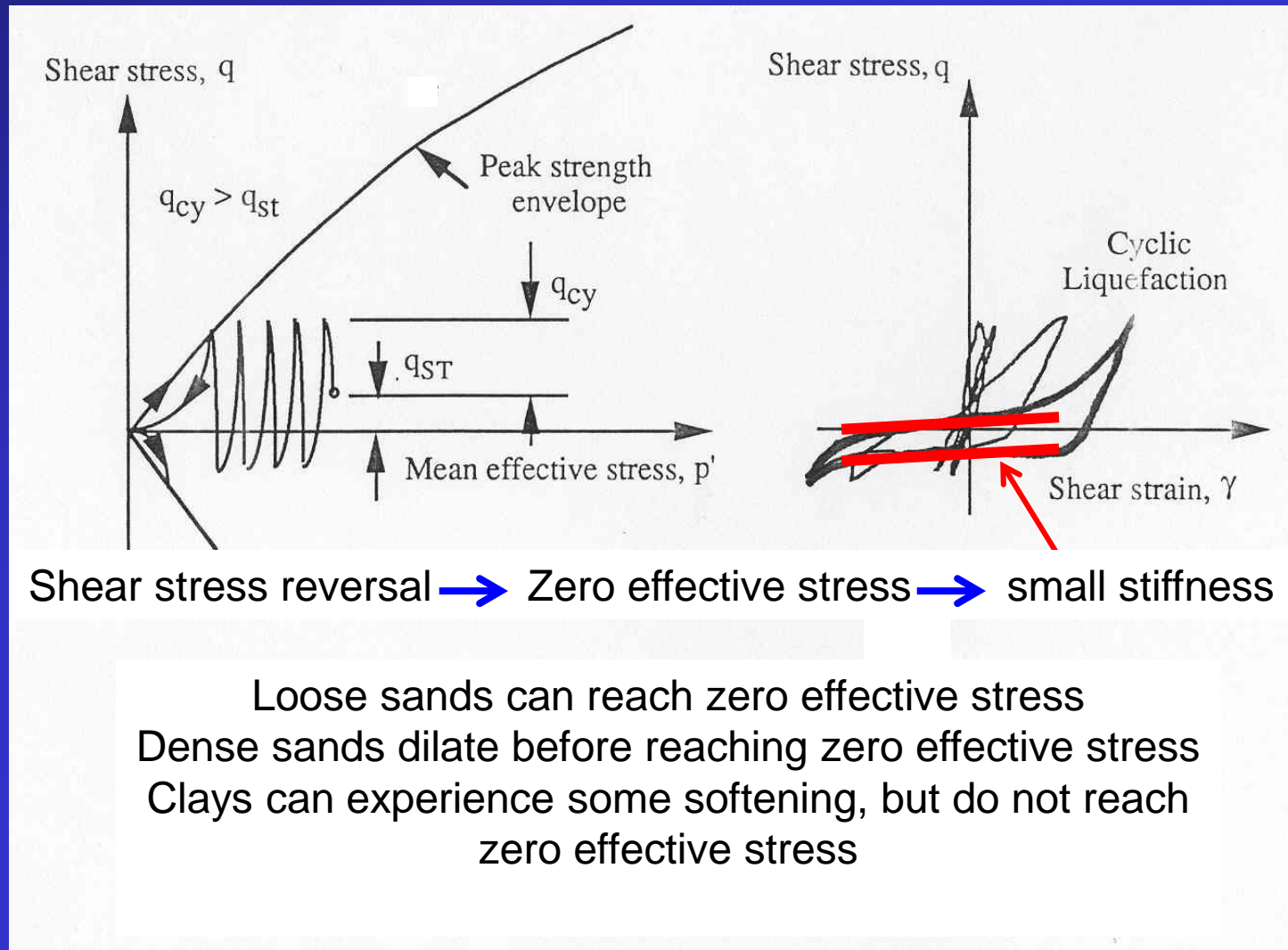
# Case histories – flow liquefaction



Fundao tailings  
dam failure  
Brazil, Nov.  
2015  
19 deaths  
>  $60 \times 10^6 \text{ m}^3$   
flowed > 600km

<http://fundaoinvestigation.com/>

# Cyclic Liquefaction – Lab Evidence



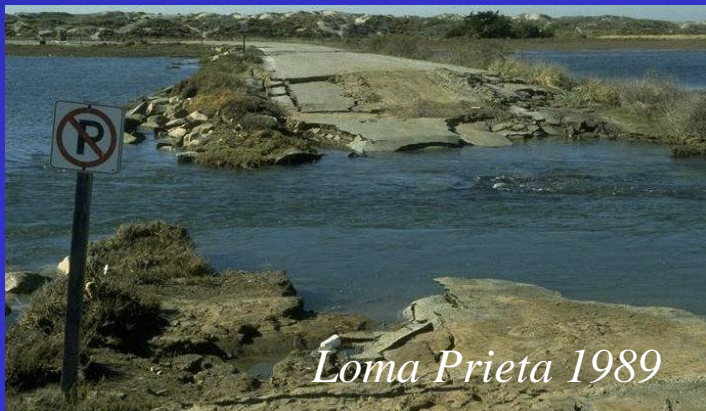
# *Case histories – cyclic liquefaction*

## *Common soil features*

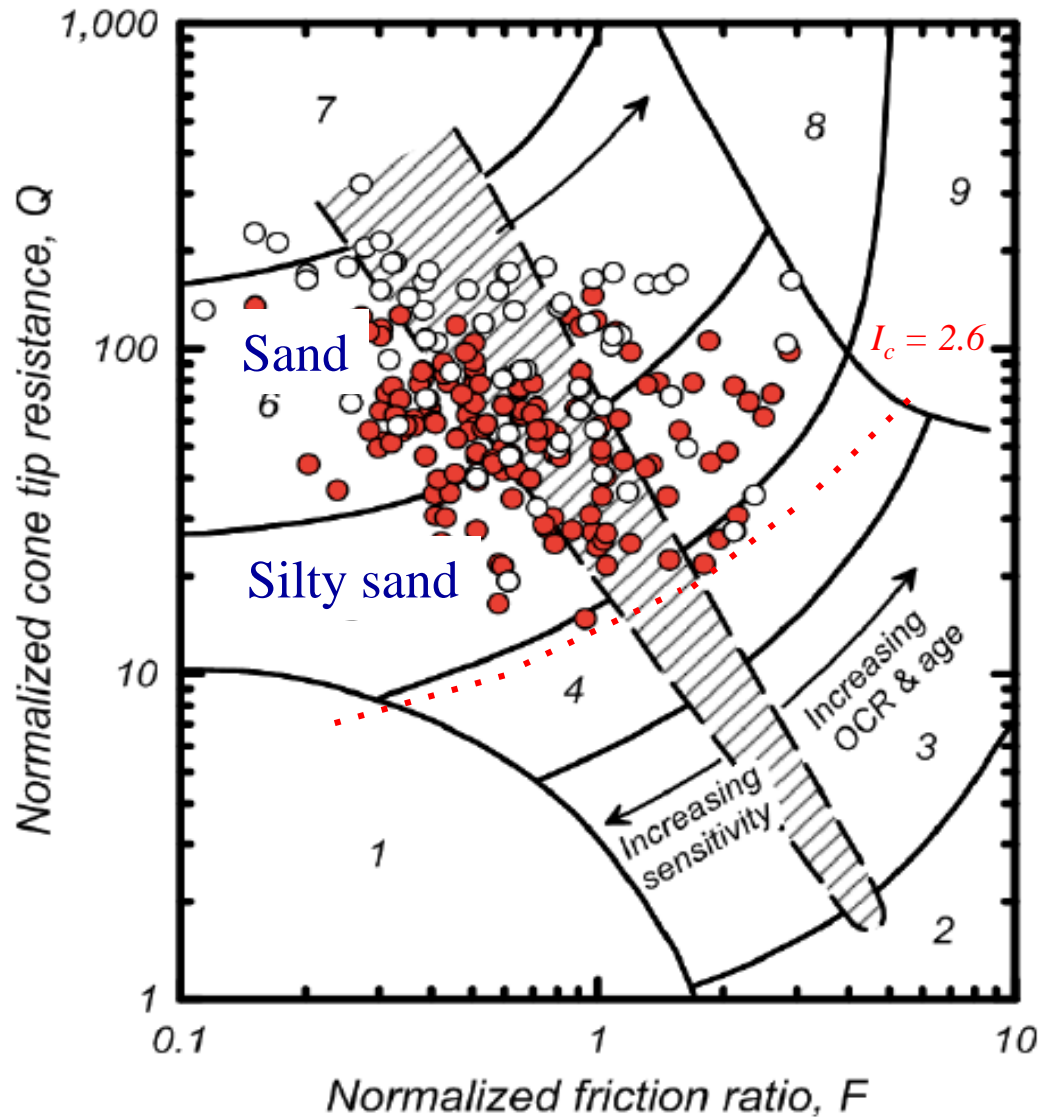
Young (Holocene-age)  
Non- plastic or low-plastic  
Uncemented  
Silica-based sandy soil  
Little or no stress history ( $K_o \sim 0.5$ )

## *Database*

Mostly  $6.0 < M < 7.6$   
Bias 'liq' sites ( $>70\%$ )  
Shallow depth  $z < 12\text{m}$   
Mostly  $\sim$ level ground



# Case histories – cyclic liquefaction

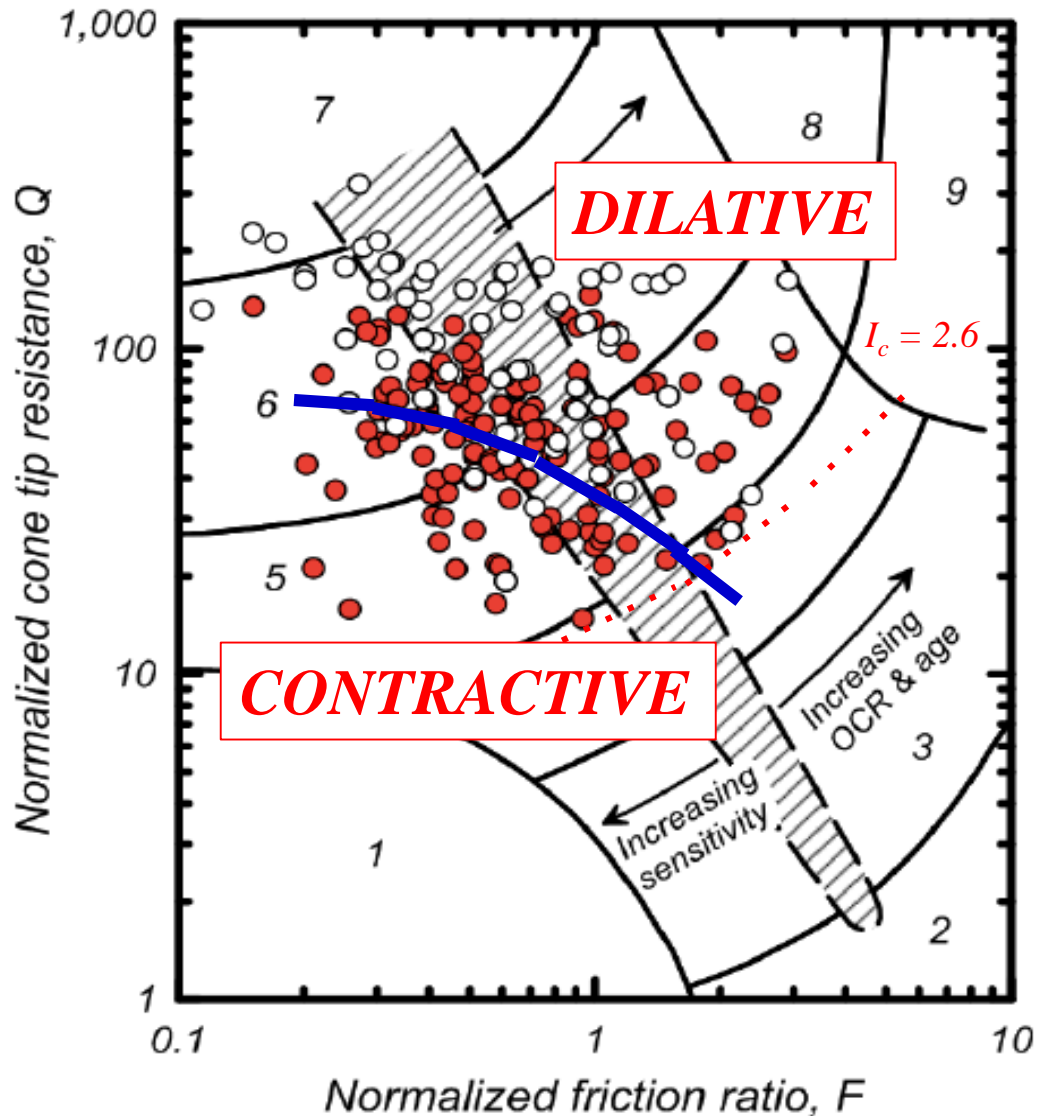


Significant growth in  
CPT database  
(>250 cases)  
Trigger curves well  
established

*Data base shows that  
when  $I_c > 2.6$   
predominately 'clay-  
like' soil*



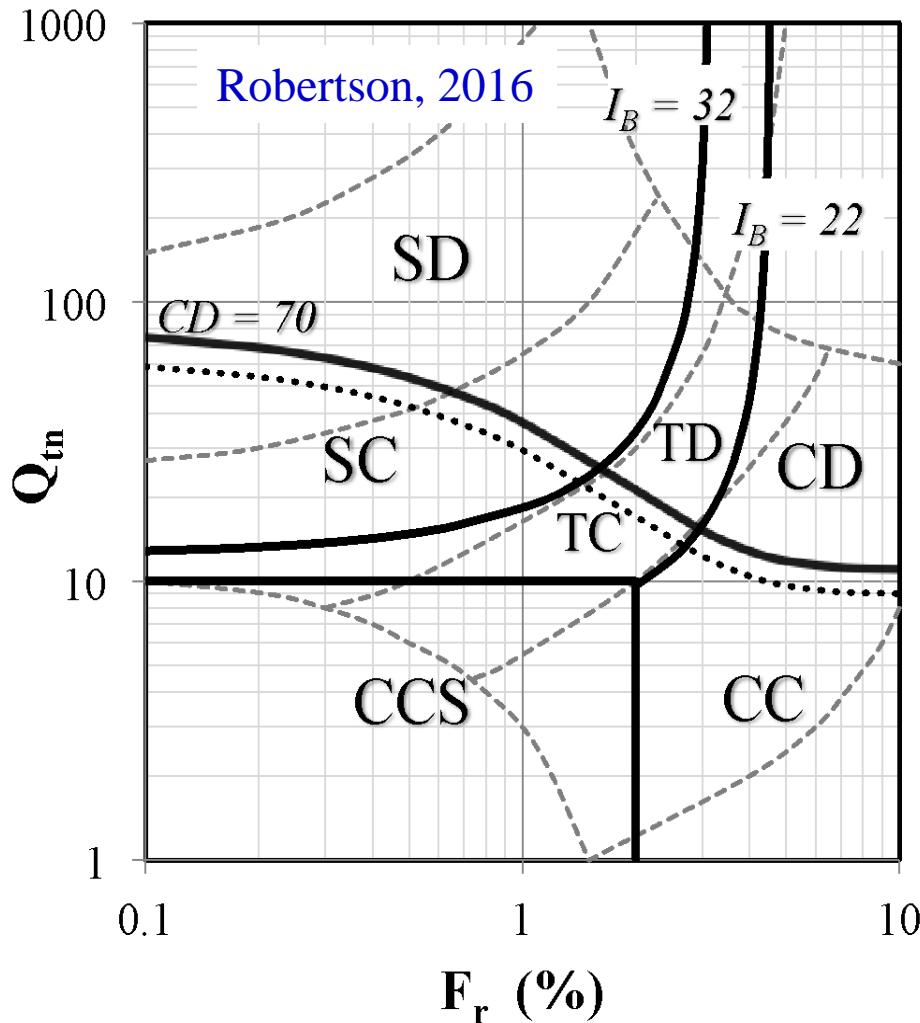
# Cyclic Liq. Case Histories



Many cyclic liquefaction case histories are in the ‘dilative’ SBT region.

*Soils that are ‘dilative’ at large strain can develop positive pore pressures at smaller strains and experience cyclic liquefaction (softening), but deformations tend to be smaller*

# Updated SBTn Charts



## Behavior Descriptions

### Soil Behaviour Type

- 1: CCS Clay-like - Contractive - Sensitive
- 2: CC Clay-like - Contractive
- 3: CD Clay-like - Dilative
- 4: TC Transitional - Contractive
- 5: TD Transitional - Dilative
- 6: SC Sand-like - Contractive
- 7: SD Sand-like - Dilative

$$CD = (Q_{tn} - 11)(1 + 0.06F_r)^{17}$$

$$I_B = 100(Q_{tn} + 10)/(70 + Q_{tn}F_r)$$

Applies primarily to  
young, uncemented,  
silica-based soils

# *Liquefaction Case Histories*

- Dominated by (*'ideal'*) soils that are: very young, uncemented silica-based sandy soils (& essentially normally consolidated)
- In many parts of the world soils are either older and/or lightly cemented or have different mineralogy (e.g. high mica or carbonate content): i.e. soils with *'microstructure'* – current methods tend to be too conservative
  - *Macrostructure* (layering, fissuring, etc.)
  - *Microstructure* (particle scale – aging, bonding, etc.)

# *Challenges*

- How to identify and quantify the existence of soil microstructure?
- How to incorporate microstructure into current liquefaction evaluation methods?
  - Increased resistance to triggering
  - Influence on effects of liquefaction

# *Recent developments*

- *Shear wave velocity -  $V_s$  (small strain measure)*
  - controlled mainly by: state (relative density & OCR), effective stresses, age and cementation
- *CPT tip resistance -  $q_t$  (large strain measure)*
  - controlled mainly by: state (relative density & OCR), effective stresses, and to lesser degree by age and cementation

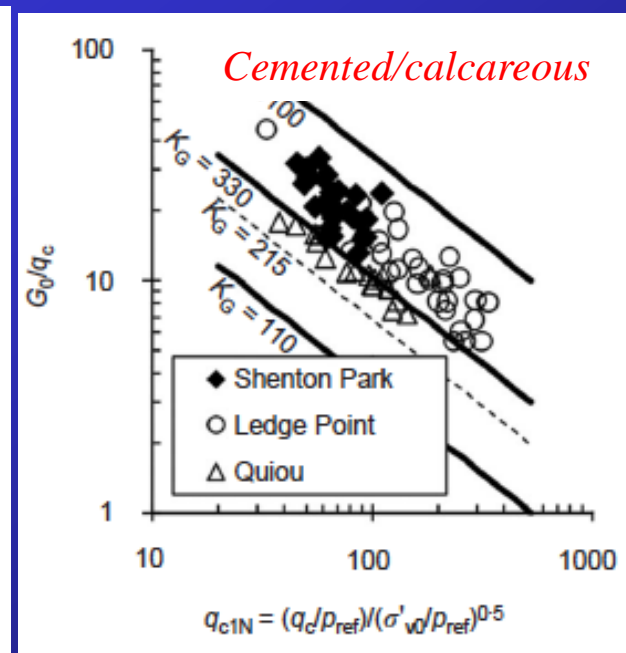
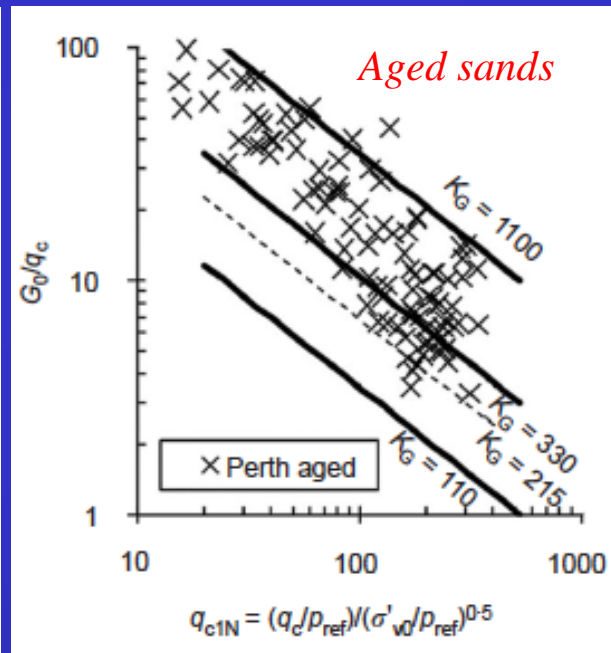
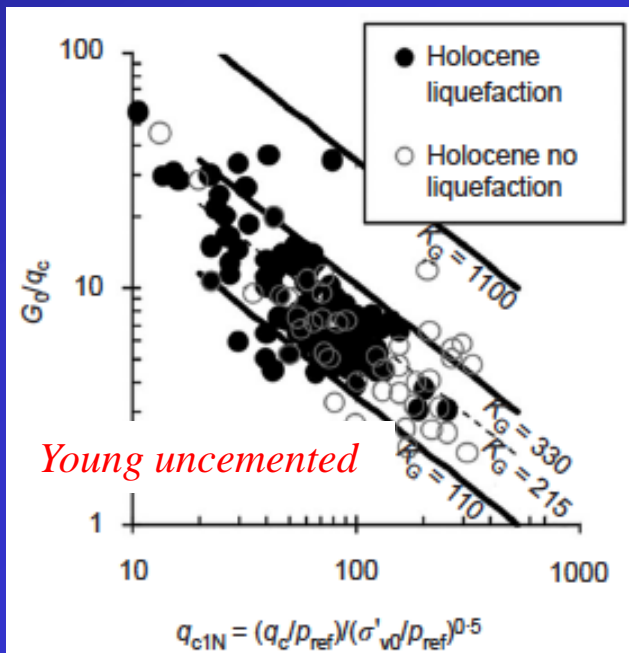
Strong relationship between  $q_t$  and  $V_s$ , but depends mainly on *microstructure*  
(*i.e. age and cementation*)

# Normalized Rigidity Index $K_G$

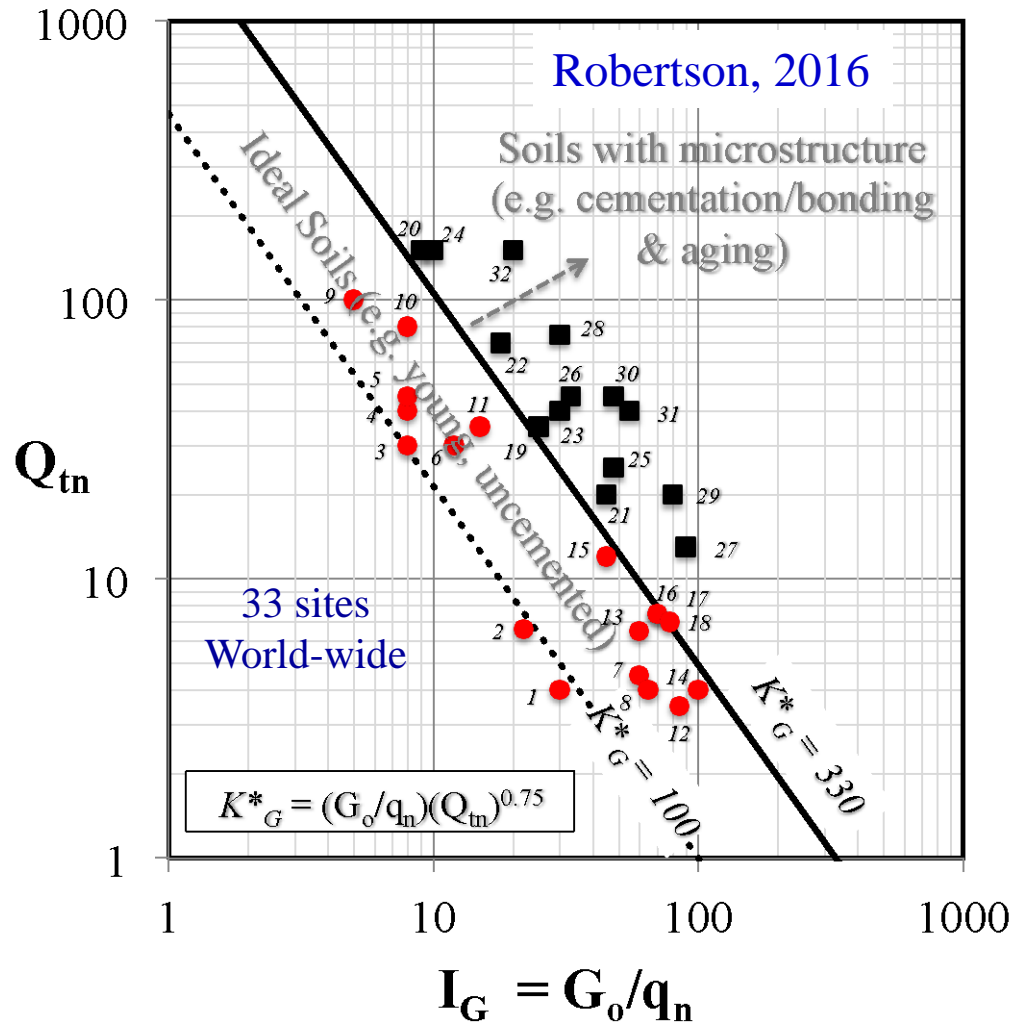
Normalized Rigidity Index,  $K_G$  (Schneider & Moss, 2011)

$$K_G = (G_o/q_t)(Q_{tn})^{0.7}$$

- If  $K_G > 330$  aged and/or cemented
- If  $K_G < 330$  young & uncemented ( $K_G \sim 200$  for liq cases)



# New $G_o/q_n$ Chart



Average normalized rigidity index for young, uncemented silica-based soils:

$$K^*_G = 200$$

# *CRR for AGED soils*

*Andrus et al, 2009 + Hayati & Andrus, 2009*

Based on MEVR (*Measure to Estimated  $V_s$  Ratio*)

$$\text{MEVR} = V_{s(\text{measured})} / V_{s(\text{estimated from CPT})}$$

–If MEVR > 1.0 aged (older)

–If MEVR = 1.0 very young (~23 yrs)

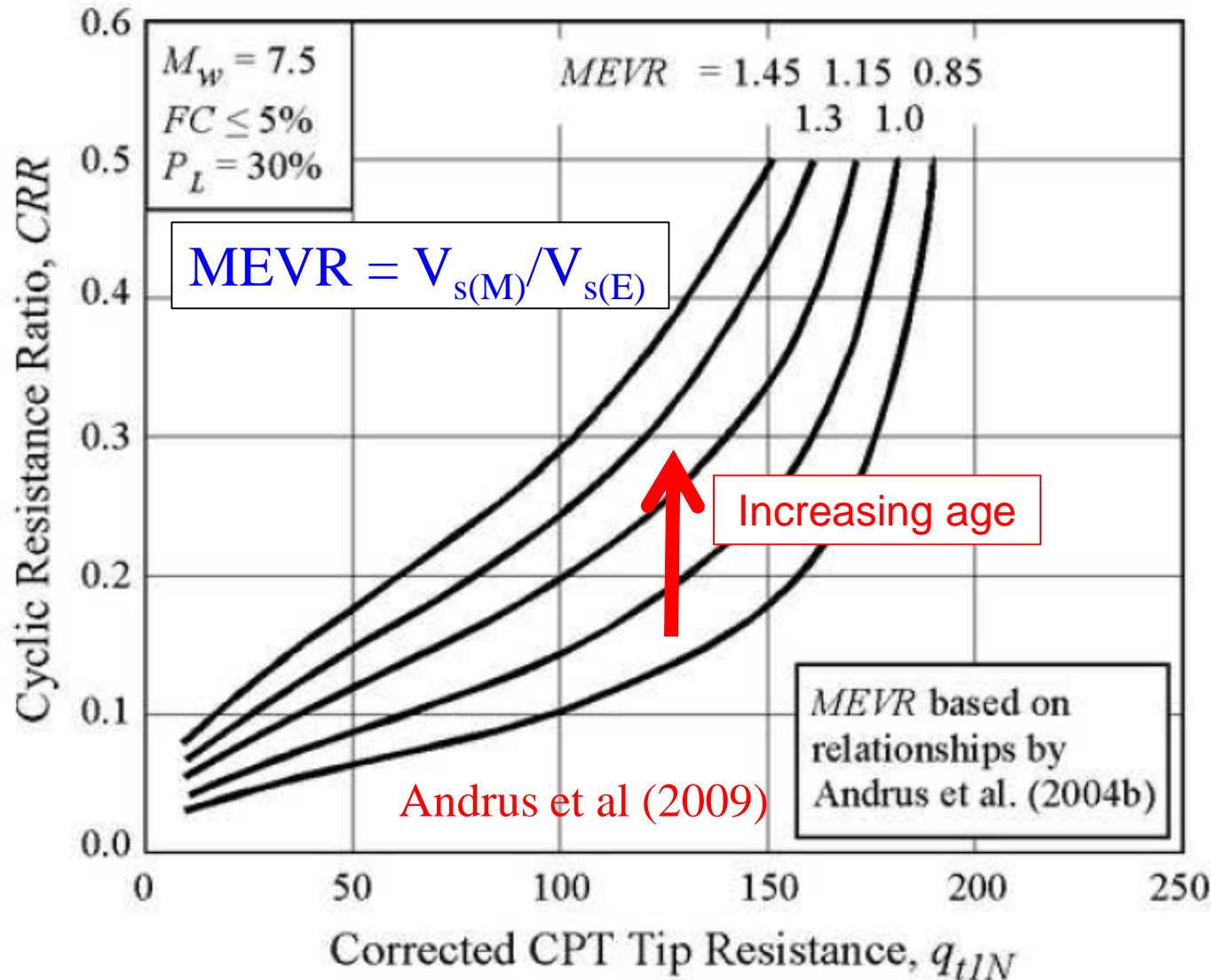
$$\text{CRR}_{\text{Deposit}} = \text{CRR} K_{DR}$$

$$K_{DR} = 1.08 \text{ MEVR} - 0.08$$

Difference between ‘*geologic-age*’ and ‘*behavior-age*’  
e.g. past soil liquefaction events can re-set age clock?



# Correction based on $V_s$ (MEVR)

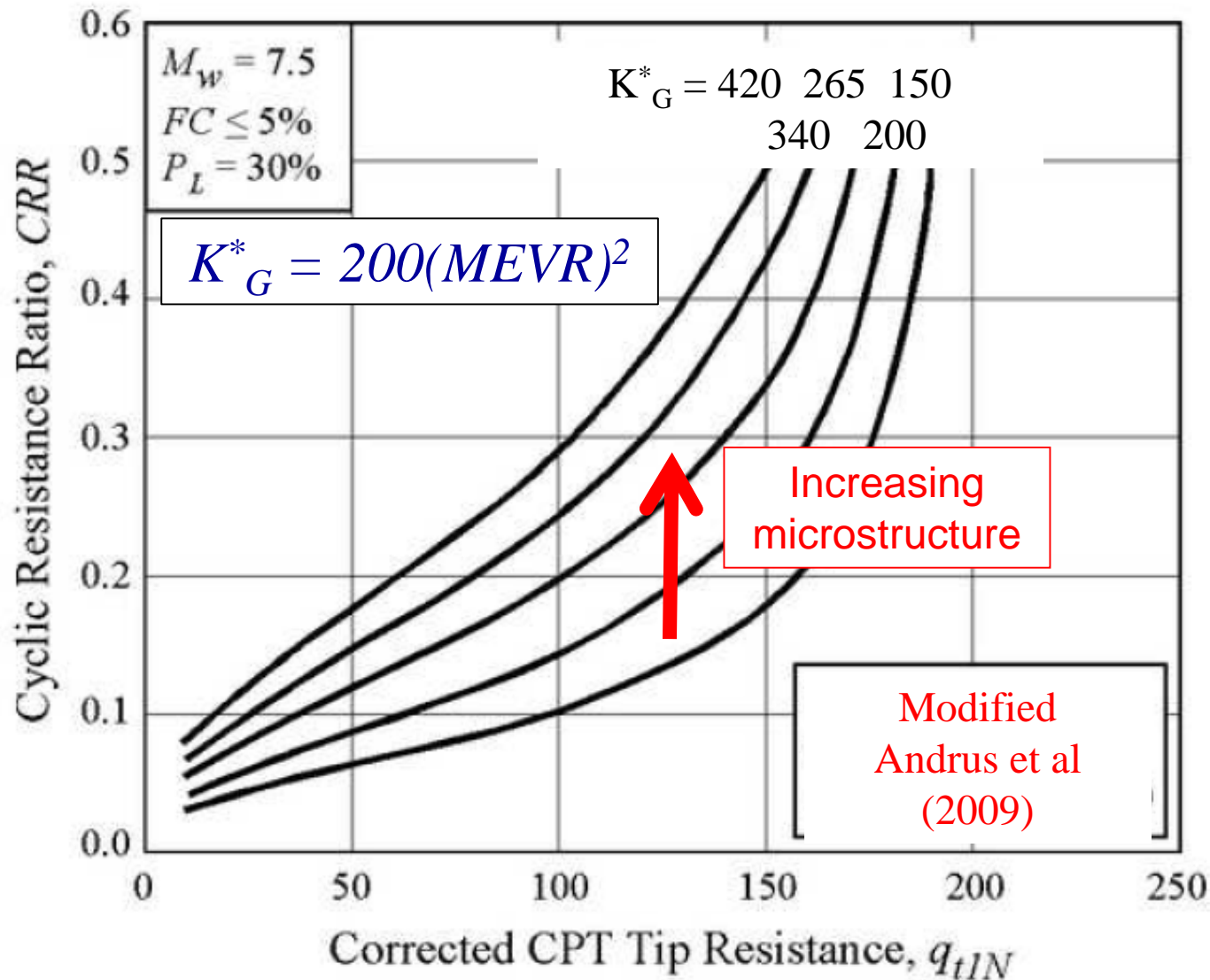


Age correction based on measured  $V_s$

Estimate  $V_{s(E)}$  from CPT

SCPT gives both CPT and  $V_s$

# Correction based on $K_G^*$



Microstructure  
correction  
based on  
measured  $K_G^*$

*If CPT and  $V_{s1}$  give different interpretation – which one is correct?*

Main factors can be either aging or cementation

- $V_{s1}$  generally correct for *aging* (Andrus et al 2009)
- If earthquake loading (CSR) exceeds threshold strain (estimated from  $G_o$ ) – *cementation* may be destroyed and large strain response ( $Q_{tn}$ ) may control (Schneider & Moss, 2011)

**More research needed**

# *Challenges*

- Many soils around the world have some microstructure that influences their response to liquefaction
  - How do we identify these soils?
  - How do we adjust current liquefaction evaluation methods to account for microstructure?
- Need more case history data from sites where liquefaction did not occur or where deformations were small due to microstructure

# *Other challenges*

- CPT data is near continuous
  - How to account for high level of detail?
    - Removal of transition zones
    - Fine tune ' $I_c$ ' cut-off for clay-like soils
- Depth effects on performance
  - How to reduce effect of liquefaction at depth relative to surface structures?
  - Weighting of strains with depth?