



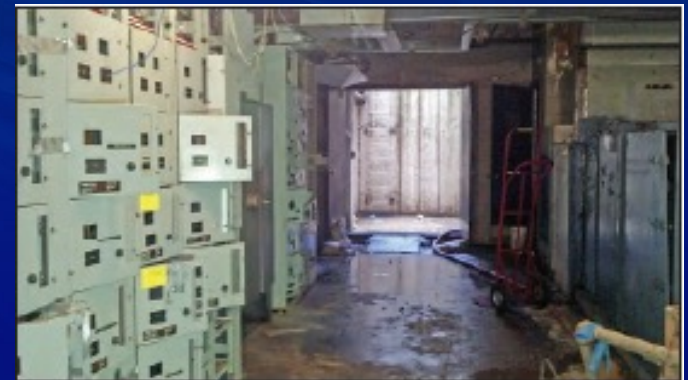
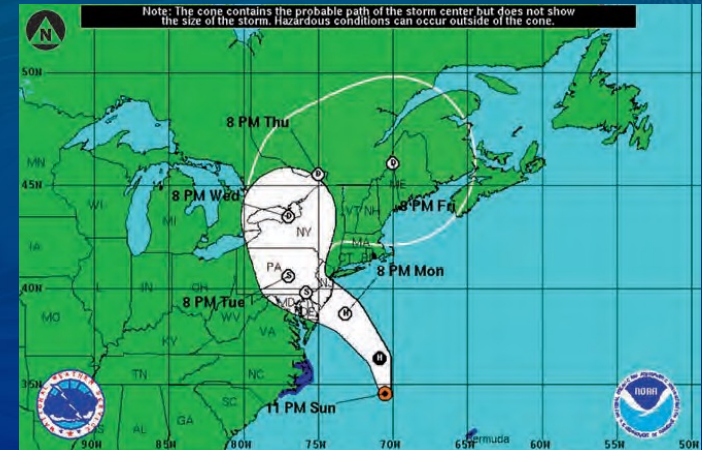
PEER Annual Meeting
January 28, 2016

NIST Community Resilience Program

Stephen A. Cauffman
Manager, Community Resilience Program
Materials and Structural Systems Division
Engineering Laboratory

Why Community Resilience?

- All communities face potential disruption from natural, technological, and human-caused hazards.
- Disasters take a high toll in lives, livelihoods, and quality of life that can be reduced by better managing disaster risks.
- Communities are socio-technical systems. Buildings and infrastructure enable social and economic function. Therefore, social and economic needs and functions should drive the goals for performance of buildings and physical infrastructure.
- Planning and implementing *prioritized* measures can strengthen resilience and improve a community's ability to continue or restore vital services in a more timely way – and to build back *better*.
- New tools and guidance are needed to measure resilience and plan and implement measures to enhance resilience.



What is Resilience?

- *“the ability to adapt to changing conditions and withstand and rapidly recover from disruption due to emergencies”.* (Presidential Policy Directive (PPD) 8)
- *“the ability to prepare for and adapt to changing conditions and to withstand and recover rapidly from disruptions. Resilience includes the ability to withstand and recover from deliberate attacks, accidents, or naturally occurring threats or incidents.”* (PPD 21)
- Resilience addresses all activities through recovery:
 - Prevention, Protection, Mitigation, Response, and Recovery
 - Risk assessments address the potential consequences of hazard's impact on existing construction and identify vulnerabilities
 - Emergency management addresses immediate response, with a focus on life safety



NIST Community Resilience Program



*Stakeholder Engagement component is called out in the President's Climate Action Plan



Planning Guide Outline

Volume 1 - Methodology

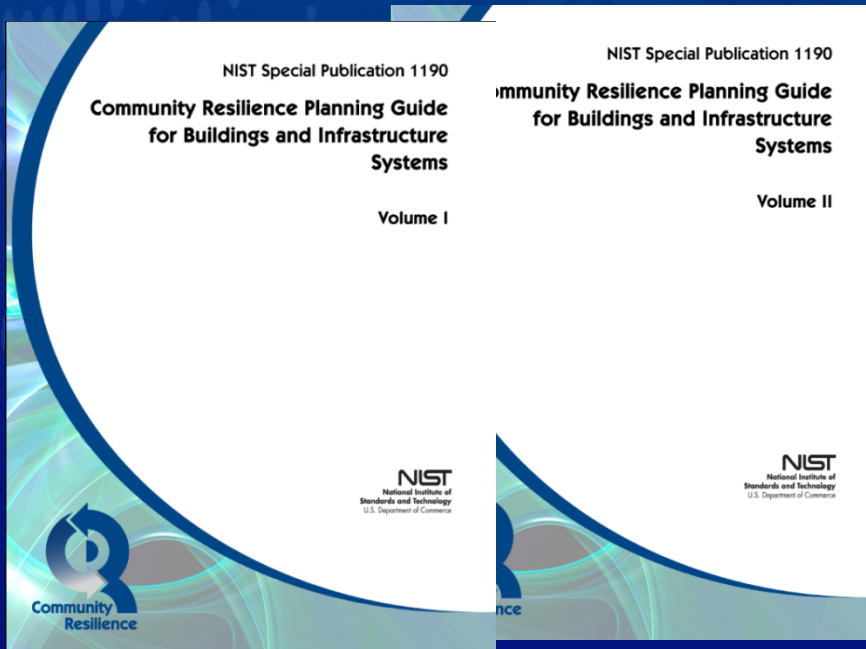
Executive Summary

- Introduction
- 6 Step Methodology
- Planning Example – Riverbend
- Glossary and Acronyms

Volume 2 - Reference

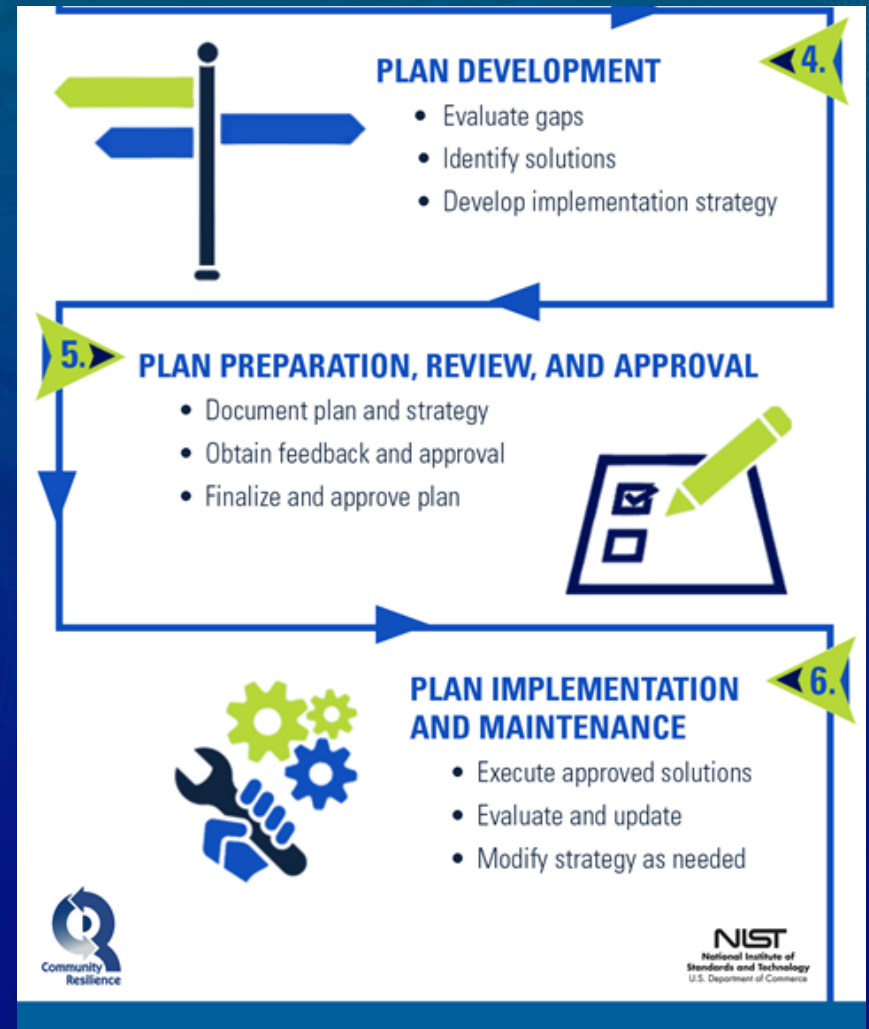
Executive Summary

- **Social** Community
- Dependencies and Cascading Effects
- **Buildings**
- **Transportation** Systems
- **Energy** Systems
- **Communications** Systems
- **Water & Wastewater** Systems
- Community Resilience Metrics

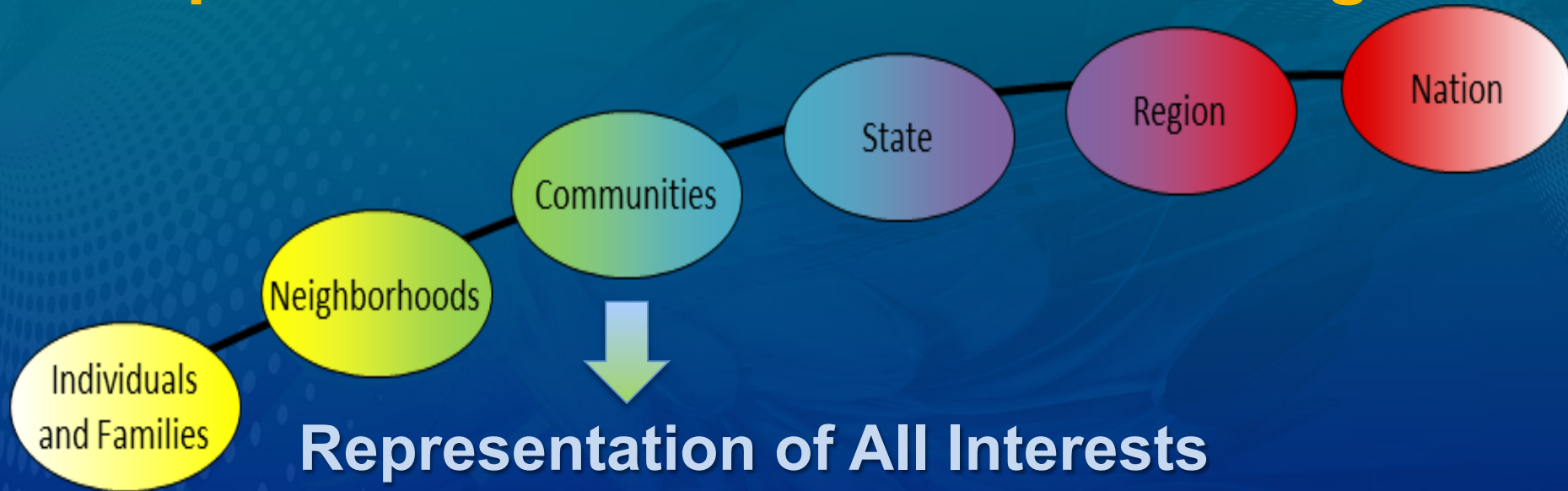


Planning Steps for Community Resilience

SIX-STEP GUIDE TO PLANNING FOR COMMUNITY RESILIENCE



Step 1. Form a Collaborative Planning Team



Public

- Elected Officials
- Local Government
- Community Members

Private

- Business and Services
 - Banking, Health care
 - Utilities
 - Media
- Organizations
 - NGOs (VOAD, Relief)



Step 2. Understand the Situation

Characterize the Social Dimensions

- Community members
 - Present and future needs
 - Demographics and economic indicators
 - Social Capital/Social Vulnerabilities
- Social institutions
 - Social functions
 - Gaps in capacity
 - Dependencies on other institutions
- Community metrics



Characterize the Built Environment

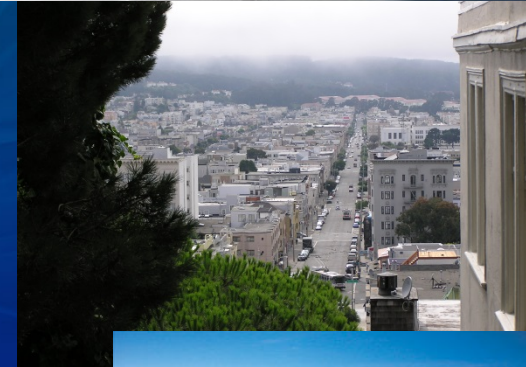
Buildings

Individual structures, including equipment and contents that house people and support social institutions



Building Clusters

A set of buildings that serve a common function such as housing, healthcare, retail, etc.



Infrastructure Systems

Physical networks and structures that support social institutions, including transportation, energy, communications, water and waste water systems.



Dependencies

Internal and External, Time, Space, Source

Characterize

Location, number, construction, demands and use, etc.



Link Social Dimensions and Built Environment

Some rely more on the built environment



Emergency Rooms



Industrial Plants

Some functions change

Schools → Shelters



Identify how services are supported

- Services provided to meet needs
- Dependency on other services and systems
- Dependency on built environment
- Consequences of loss



Step 3. Determine Goals and Objectives

Establish Long Term Community Goals

- Long term goals to improve the community can guide the prioritization and implementation process.
 - Improve reliability of infrastructure systems
 - Enhance community functions
 - Reduce travel time impacts to residents and businesses
 - Revitalize an existing blighted area
- Community resilience is achieved over time
 - Resilience can be achieved with resources for current maintenance and capital improvements



Establish Desired Performance Goals for the Built Environment

- Performance goals are independent of hazard events.
 - Community functions are needed during recovery, such as acute health care, 911 call centers, emergency response
 - Consider role of a facility or system that impacts others outside the community.
- Define goals in terms of '*time needed to restore functionality*'.
- Use goals to help prioritize repair and reconstruction efforts.
- Goals may suggest criteria for new construction and retrofit of existing construction.



Determine and Characterize Hazards

- **Identify prevalent hazards**
 - Wind, Earthquake, Inundation
 - Fire, Snow, Rain
 - Human-caused or Technological
- **Evaluate hazards for 3 levels**
 - Routine Level expected to occur frequently
 - *Should have minimal disruption*
 - Design Level used to design buildings
 - *Anchor for community planning*
 - Extreme Maximum considered possible
 - *Plan for critical services*



Anticipated Performance of Existing Built Environment

- Anticipated performance (restoration of function) during recovery depends
 - Damage level - Condition and capacity of structural and nonstructural systems
 - Recovery time - Materials, equipment, and labor needed for restoration
 - Dependencies on other systems that may be damaged



Hurricane Irene



Hurricane Katrina



Example Summary Resilience Matrix

Infrastructure	Recovery Time								
	Days 0	Days 1	Days 1-3	Wks 1-4	Wks 4-8	Wks 8-12	Mos 4	Mos 4-24	Mos 24+
Critical Facilities									
Buildings	90%							X	
Transportation		90%	X						
Energy		90%	X						
Water			90%		X				
Wastewater				90%				X	
Communication		90%		X					
Emergency Housing									
Buildings				90%					
Transportation				X					
Energy				X					
Water					X				
Waste Water				90%					
Communication				90%	X				
Housing/Neighborhoods									
Buildings						90%			X
Transportation			90%	X					
Energy			90%	X					
Water				90%				X	
Waste Water					90%			X	
Communication				90%			X		
Community Recovery									
Buildings								90%	X
Transportation				90%	X				
Energy			90%	X					
Water				90%				X	
Waste Water							90%	X	
Communication				90%			X		

Desired
Performance

Anticipated
Performance



Superstorm Sandy



Step 4. Plan Development

Evaluate Gaps and Identify Solutions

- **Prioritize gaps**
 - Long-term community goals
 - Social needs during recovery
 - **Identify alternative solutions**
 - Multiple stages
 - Temporary and permanent
 - Administrative
 - Construction
- **Flood plain management**
 - Reduce threat: relocate, elevate
 - **Wind and seismic preparedness**
 - Strengthen: retrofit, redundancy
 - **Recovery Plans**
 - Mutual aid agreements
 - Improvement plans

Infrastructure	Recovery Time								
	Days 0	Days 1	Days 1-3	Wks 1-4	Wks 4-8	Wks 8-12	Mos 4	Mos 4-24	Mos 24+
Critical Facilities									
Buildings									
Transportation		90%							
Energy		90%							
Water			90%						
Wastewater									
Communication		90%							



Prioritize Solutions and Develop Implementation Strategy

- Select solutions for prioritized performance gaps
 - Determine how alternative solutions can be combined to meet community goals.
 - Consider collaborative projects.
- Develop implementation strategies
 - Quantify benefits of impact on public safety and social needs.
 - Evaluate economic impacts on community - costs and savings.
 - Consider short- and long-term benefits versus costs.
- Determine preferred implementation strategy



2013 Mandatory Soft Story Retrofit program for all older, wood-framed, multi-family buildings ensures the safety and resilience of San Francisco.



North Texas 2050 plan integrates land use, natural resources, transportation, housing, water and wastewater infrastructure, parks and open spaces.



Step 5. Plan Preparation, Review, and Approval

Plan Approval

- Document proposed implementation strategy and supporting assessments and solutions.
- Share with all stakeholders and community members
 - Public Meetings, review and comment period
- Finalize and approve community plan.



APPROVED

**Final
Community
Plan:
Implementation
Strategy**



Step 6. Plan Implementation and Maintenance

Implementation

- Formally adopt community plan to guide local government and agencies
- Identify and obtain resources to implement solutions
- Track and *communicate progress* to stakeholders

Plan Maintenance

- Review strategy and solutions on a regular basis
- Modify or update as needed



Next Steps ...

Community Resilience Panel

- Engage and connect community and cross-discipline stakeholders.
- Identify policy and standards-related gaps and impediments to community resilience.
- Develop and maintain a Resilience Knowledge Base.

Panel's Federal Co-Sponsors



Use of the Guide

- Encourage use of the Guide for community resilience plans.
- Develop training tools and user forum to support implementation
- Collect data on implementation of resilience planning to inform future versions of the Guide and other products.



NIST Contact

Website:

<http://www.nist.gov/el/resilience/>

Guide:

<http://www.nist.gov/el/resilience/guide.cfm>

Or google “NIST Resilience Planning Guide”

General E-mail: resilience@nist.gov



Questions?

