

# Design practice for pile foundations in laterally spreading ground

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# Acknowledgments

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  - Caltrans
  - California Energy Commission
  - Pacific Gas & Electric
  
- ◆ Thanks to Reviewers
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- ◆ Special Thanks to Tom Shantz/Caltrans
  - Report used as basis for new Caltrans guidelines
  - Being developed for ODOT and WashDOT

# Research developments

- ◆ Last 20 years have seen considerable progress in understanding, analyzing, and designing for lateral spreading effects.



*S. Iai*



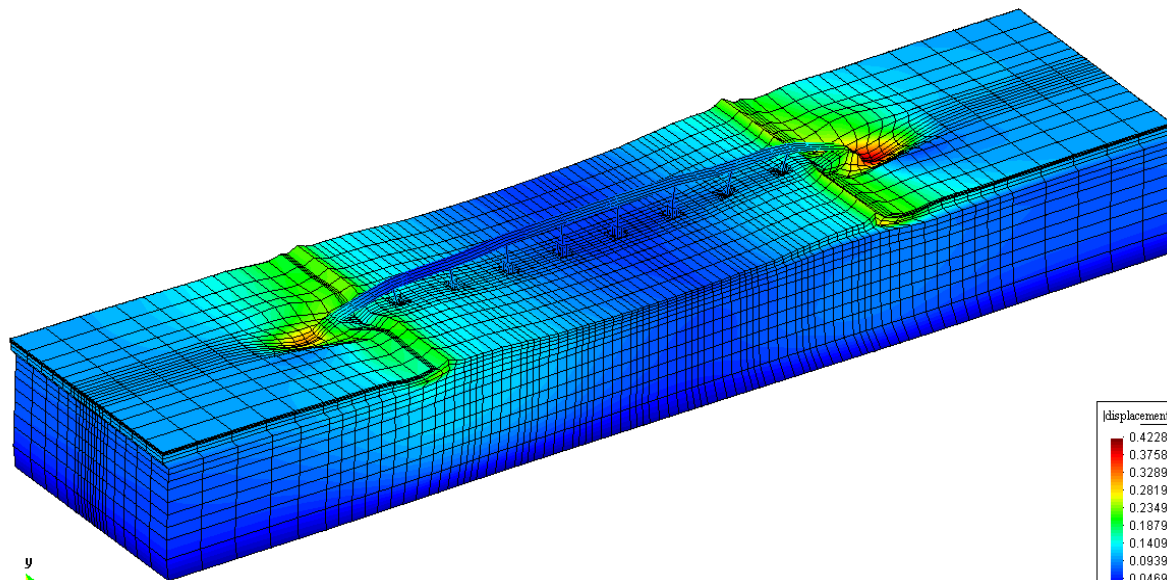
*J. Egan*



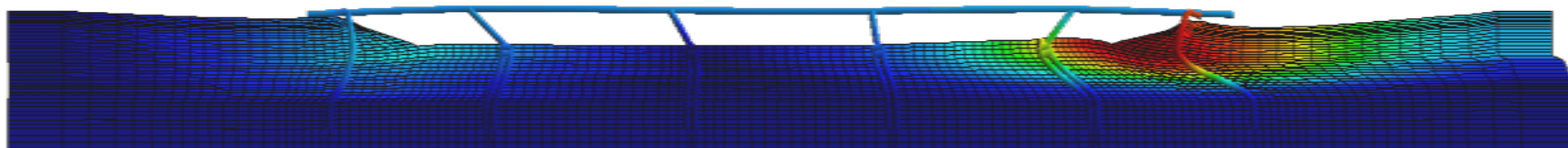
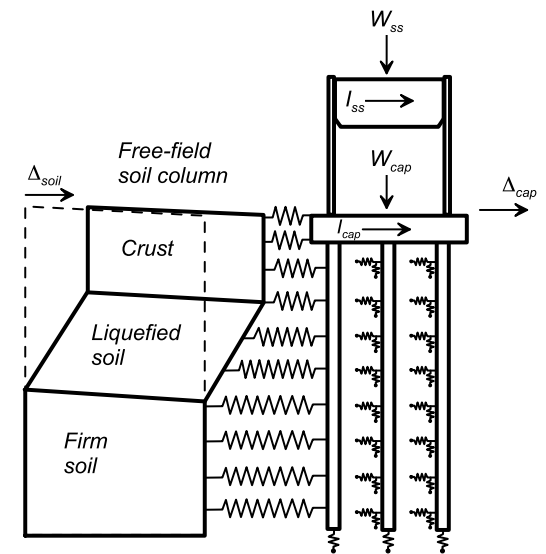
*NISEE*

# Simulation

- ◆ Hierarchy of 2-D and 3-D modeling capabilities developed; proprietary, commercial, and open source.



*Elgamal et al.*

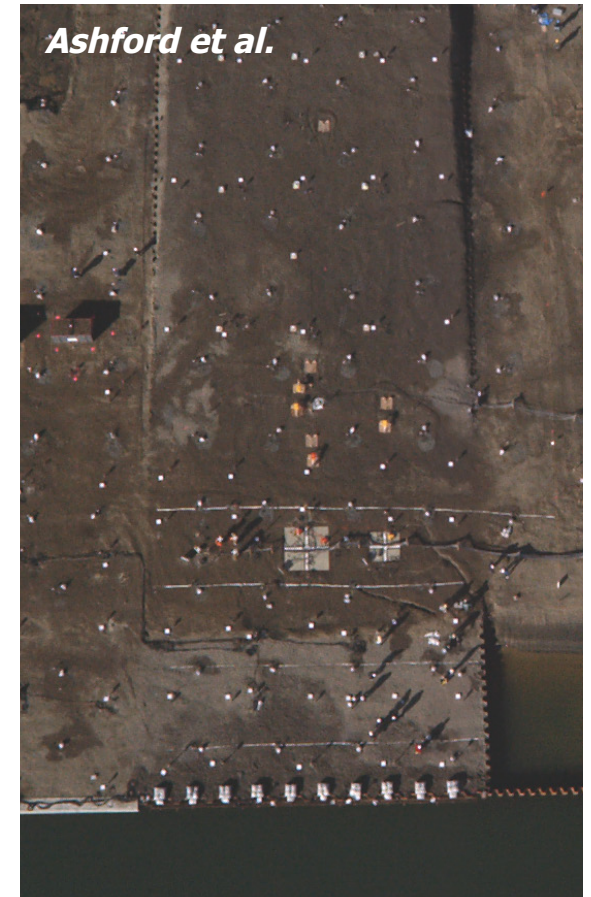
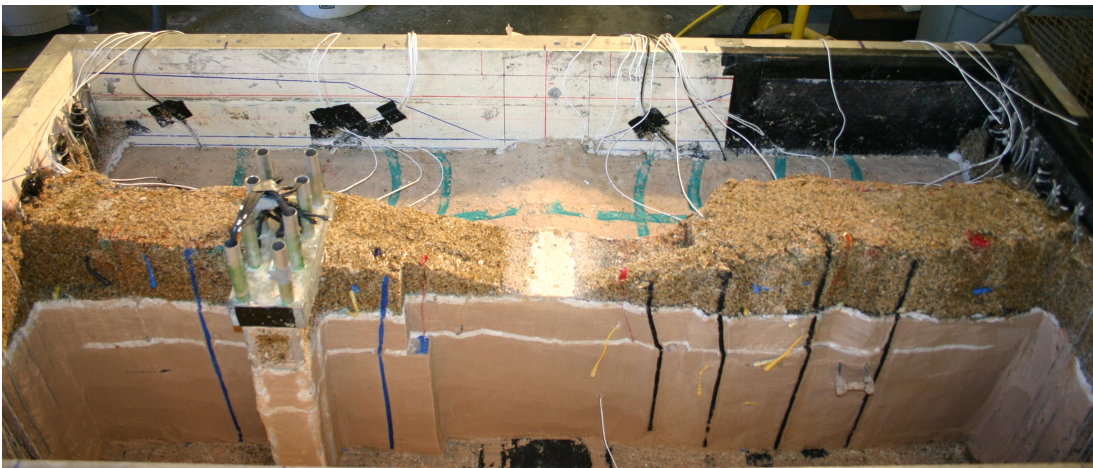
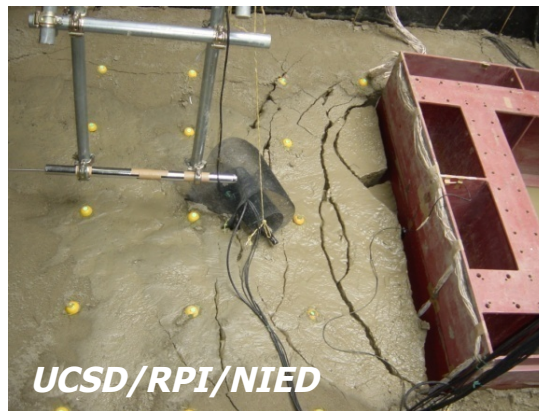


*Arduino et al.*



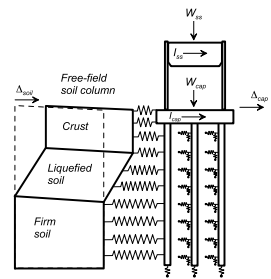
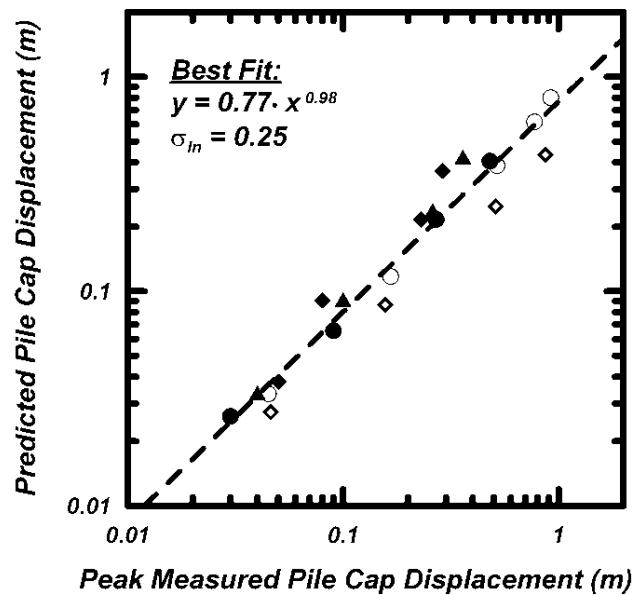
# Validation

- ◆ Dynamic centrifuge, shake table, and field tests addressed knowledge gaps for deep foundations.

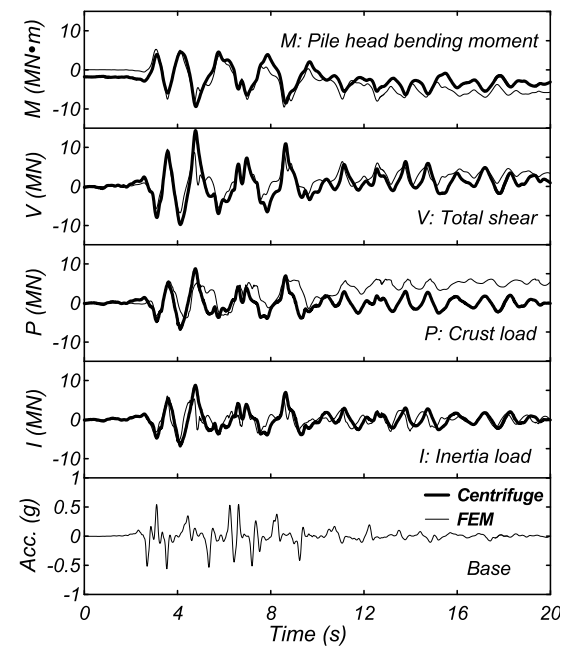


# Development of design guidance

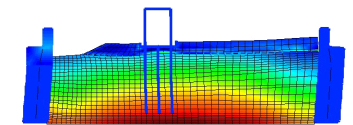
- ◆ Parametric studies to evaluate ESA methods against case histories, physical modeling data, and nonlinear dynamic analyses.



Brandenberg et al.



DDC01 in Large Kobe Motion



Chang et al.



# Exchanges

- ◆ ASCE Geotechnical Special Publication No. 145, 2006.



# Recommended Design Practice



PACIFIC EARTHQUAKE ENGINEERING  
RESEARCH CENTER

## Recommended Design Practice for Pile Foundations in Laterally Spreading Ground

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JUNE 2011

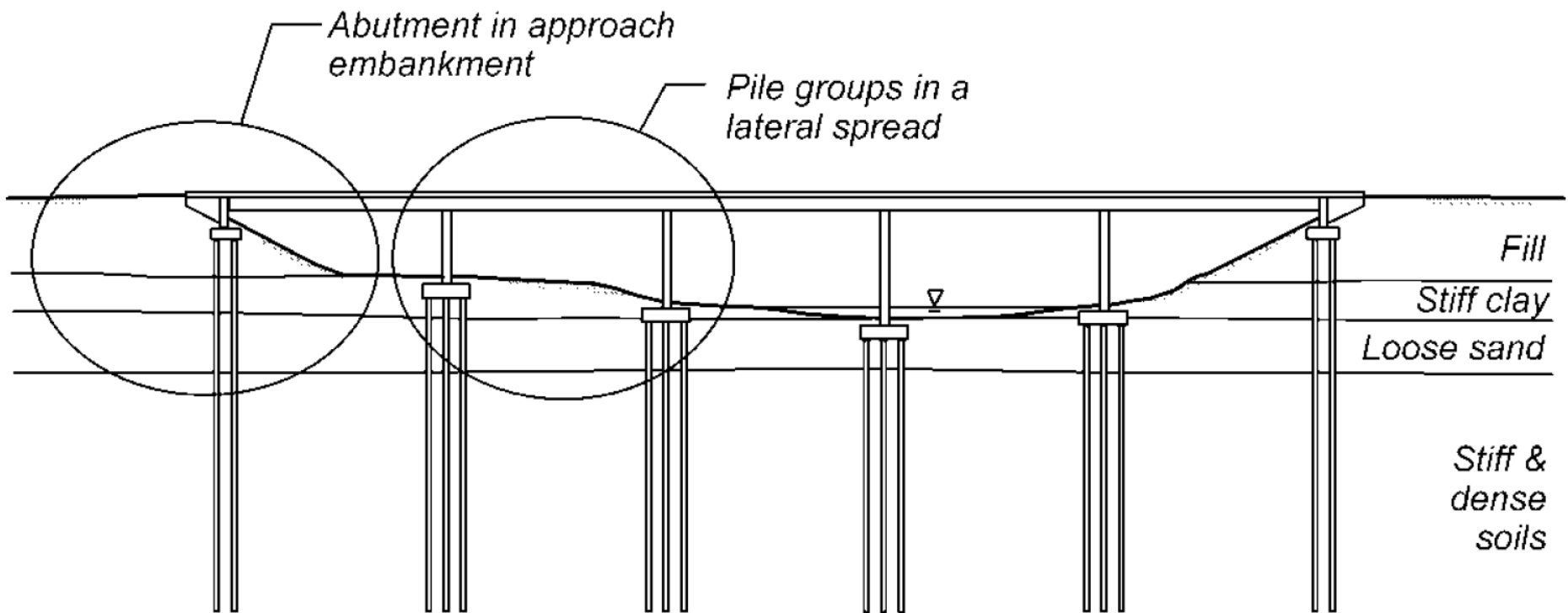


# Design practice for lateral spreading

- ◆ Steps for design or performance evaluation of a bridge include:
  - Design/evaluate for inertia loading that would occur in the absence of liquefaction.
  - Evaluate the potential for liquefaction and associated ground displacements.
  - Design/evaluate for the lateral spreading and inertia demands that would occur if liquefaction is triggered.

# Local and global analyses

- ◆ Bridge pinning effects on ground displacements can be modeled using different approaches for different local or global analysis methods.



# Estimating lateral spreading displacements

- ◆ Three key evaluation steps
  - Site characterization
  - Liquefaction triggering
  - Ground deformations
  
- ◆ Site characterization and evaluation of liquefaction susceptibility
  - Use appropriate mix of SPT and CPT
  - Detailed cross-section showing *in situ* data
  - Key factor: spatial extent and continuity

# Liquefaction Triggering

- ◆ Potential for liquefaction triggering in susceptible soils
  - Comparison of CSR (Seed & Idriss 1971) and CRR
  - For CRR, use Youd et al (2001) for now
    - ◆ Until consensus is reached in profession
    - ◆ Cetin et al ('04), Idriss & Boulanger ('06), Moss et al ('06)
  - Fines consideration
    - ◆ Boulanger and Idriss (2006)
      - Silts and clays with  $PI \geq 7$ , treat as cohesive soil
      - For  $PI < 7$ , evaluate using SPT/CPT procedures
        - Or do lab testing
    - ◆ Bray and Sancio (2006)
      - For  $7 \leq PI \leq 20$ , do lab testing

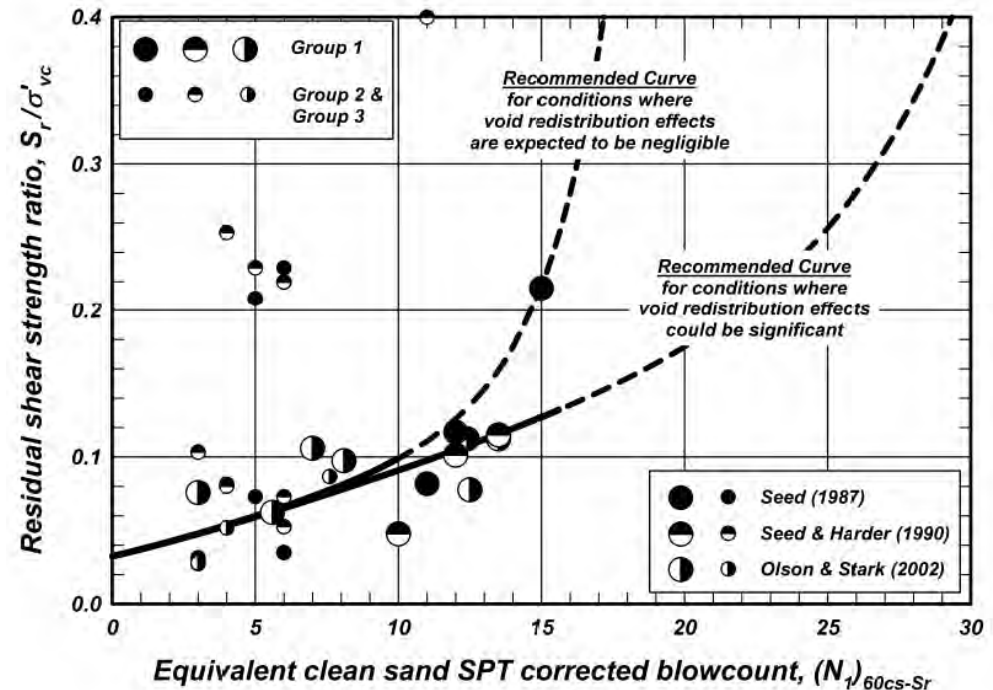
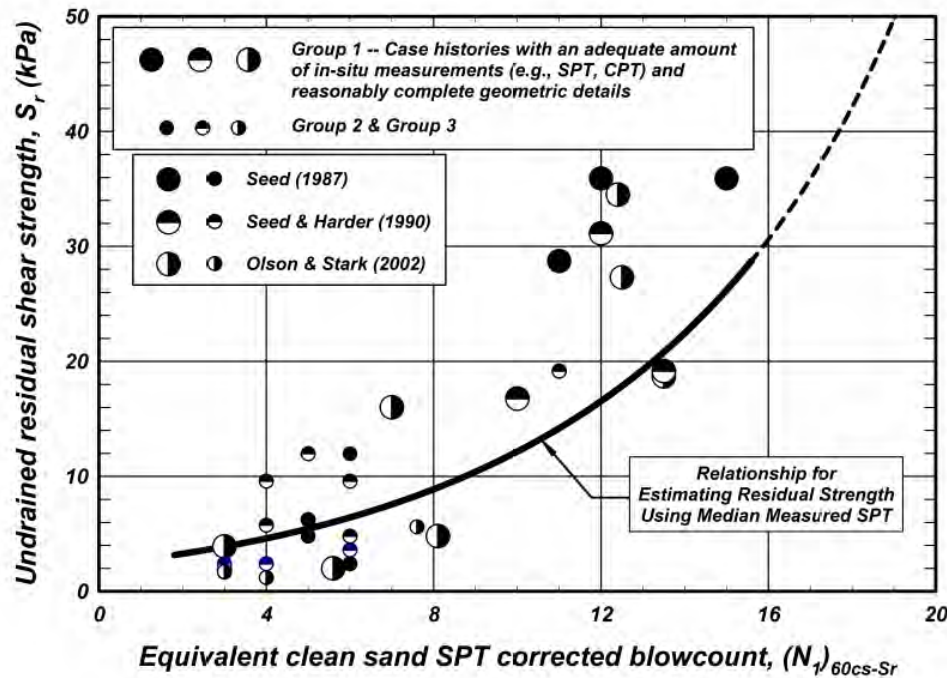


# Consequences of Liquefaction

- ◆ Residual strength
  - Instability of a slope or embankment due to loss of shear strength in liquefied zones.
  
- ◆ Strains
  - Lateral spreading of level or sloping ground
  
- ◆ Displacements
  - Settlement due to one-dimensional reconsolidation of liquefiable soil

# Residual strength estimation

- ◆ Estimation of residual shear strength of a liquefied soil for slope/embankment instability investigation



(Idriss and Boulanger 2007)

# Liquefaction-induced ground displacements

- ◆ Free-field lateral spreading displacement can be estimated using different approaches
  - Empirical relationships
    - ◆ Specific to the cases that relationships were developed
    - ◆ Youd et al. 2002, Bardet et al. 2002, Rauch & Martin 2000
  - Integration of shear strain profiles with depth
    - ◆ Estimated in conjunction with SPT- and CPT- based liquefaction analysis (Zhang et al. 2004)
  - Newmark sliding block analyses
    - ◆ Can depend heavily on the residual strength
  - Nonlinear dynamic analyses

# Pile analysis for lateral spreading case

## ◆ ESA with imposed soil displacements

### ■ Soil displacement

- ◆ Magnitude
- ◆ Shape

### ■ Soil springs

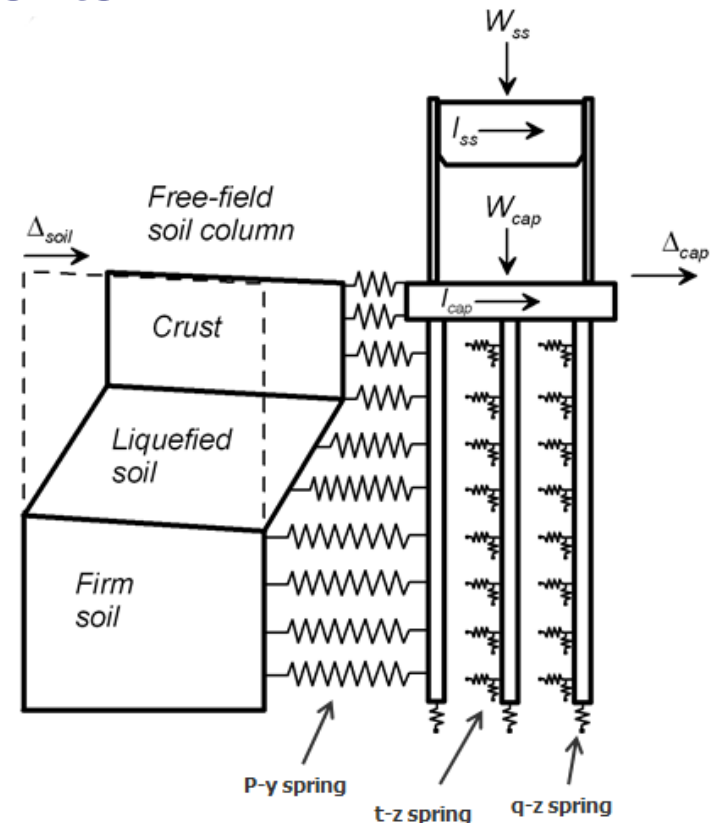
- ◆ Nonliquefied layers
- ◆ Liquefied layers

### ■ Inertia loads

### ■ Kinematic loading

- ◆ Loads from a nonliquefied crust

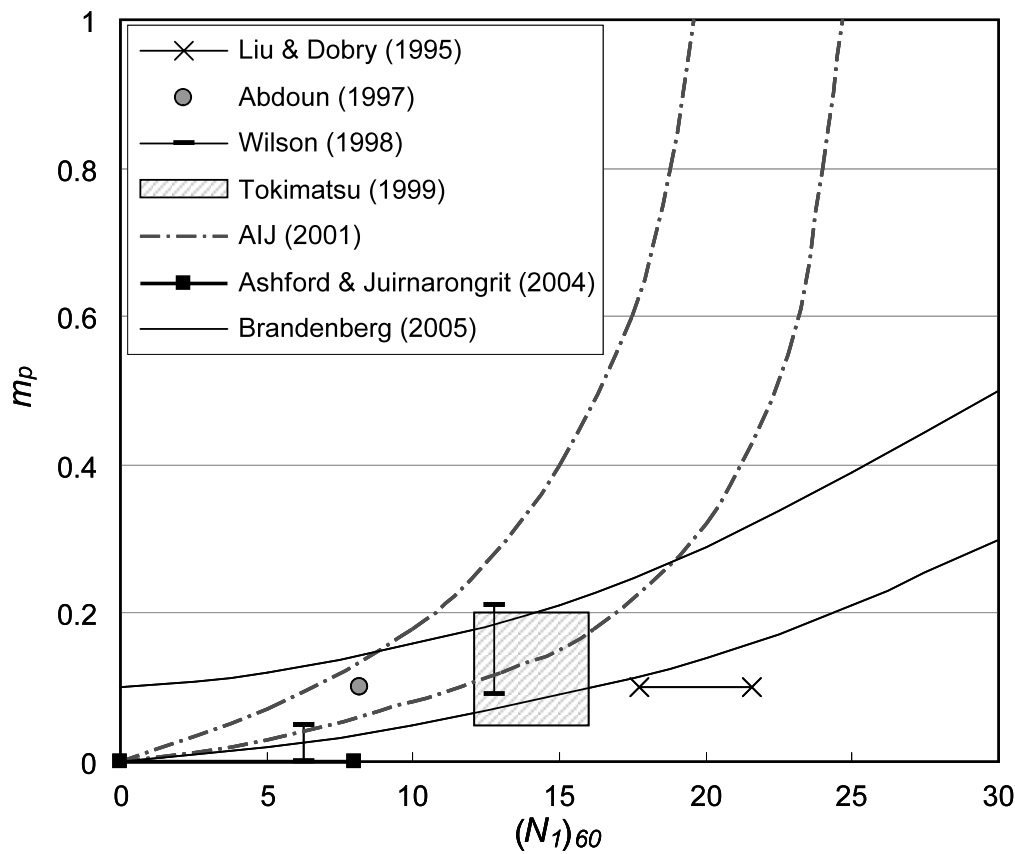
### ■ Inertial and lateral spreading loading combinations





# Pile analysis for lateral spreading case

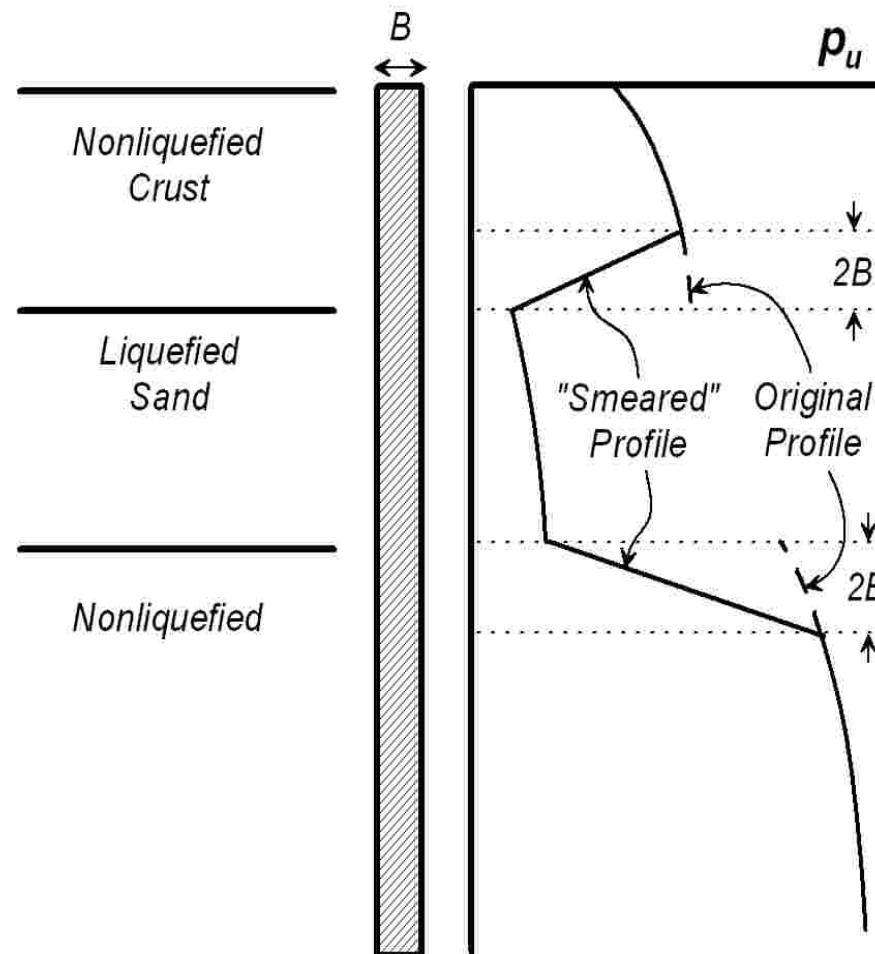
- ◆ p-y behavior in liquefied sand
- ◆ ESA requires crude approximation of complex behavior



$(N_1)_{60-CS}$	$m_p$
<8	0.0 to 0.1
8-16	0.05 to 0.2
16-24	0.1 to 0.3
>24	0.2 to 0.5

# Pile analysis for lateral spreading case

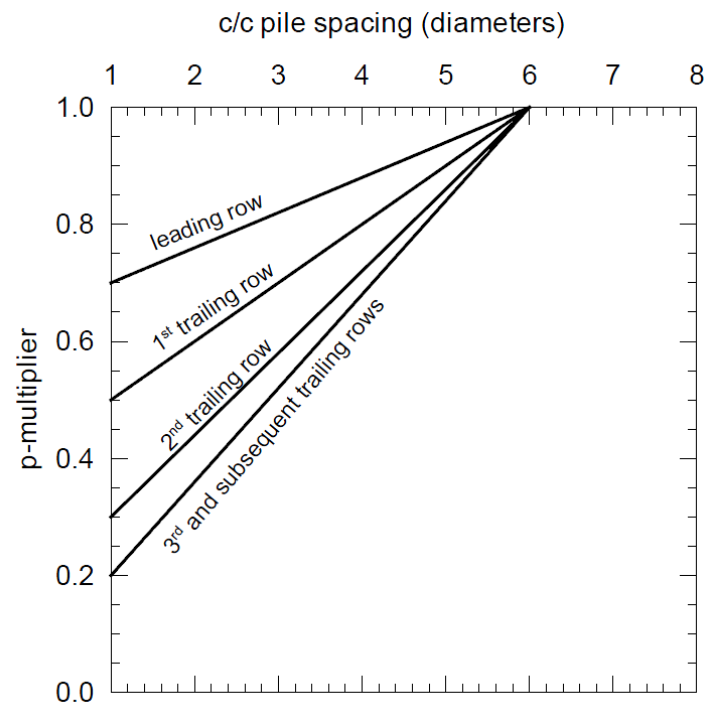
- ◆ Reduction of ultimate lateral loads in the overlying or underlying nonliquefied layers



# Pile analysis for lateral spreading case

## ◆ Pile group interaction effects

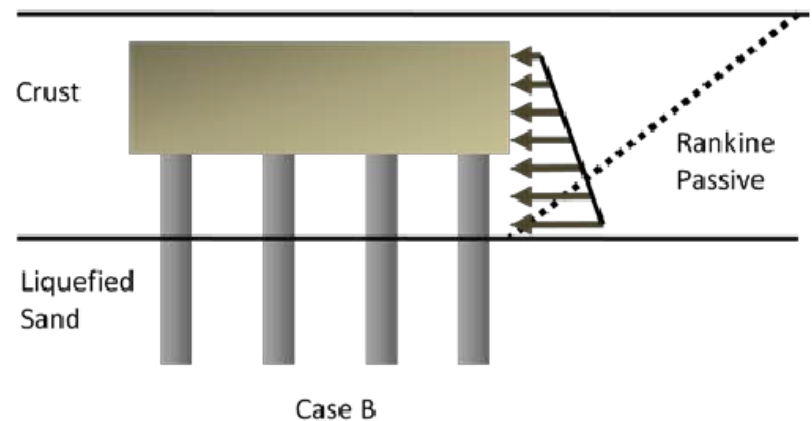
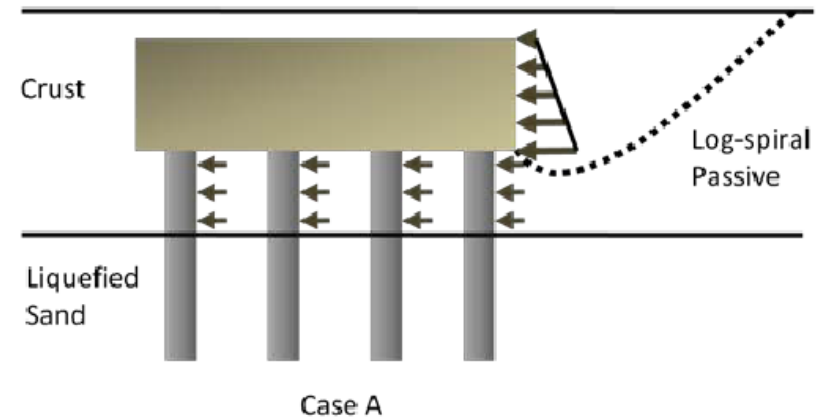
- Apply group p-multipliers underlying nonliquefied layer
- No p-multiplier for liquefied soil
- No group effects for nonliquefied crusts



(Mokwa, 2000)

# Pile analysis for lateral spreading case

- ◆ Loads from nonliquefied crusts
  - Passive earth pressure
  - Practical problems, case B will result smaller foundation loads.
  - Controlling mechanisms depends on size and number of piles, thickness of crust

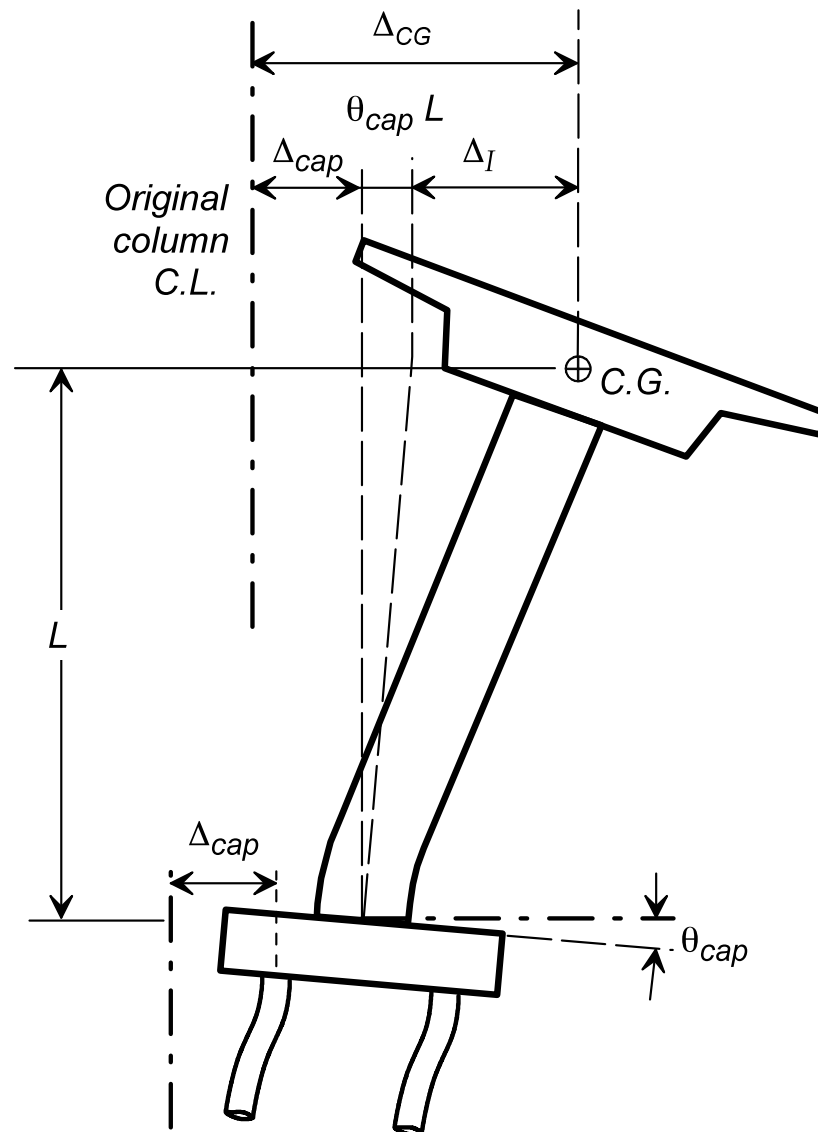


Ref. Design examples by Tom Shantz



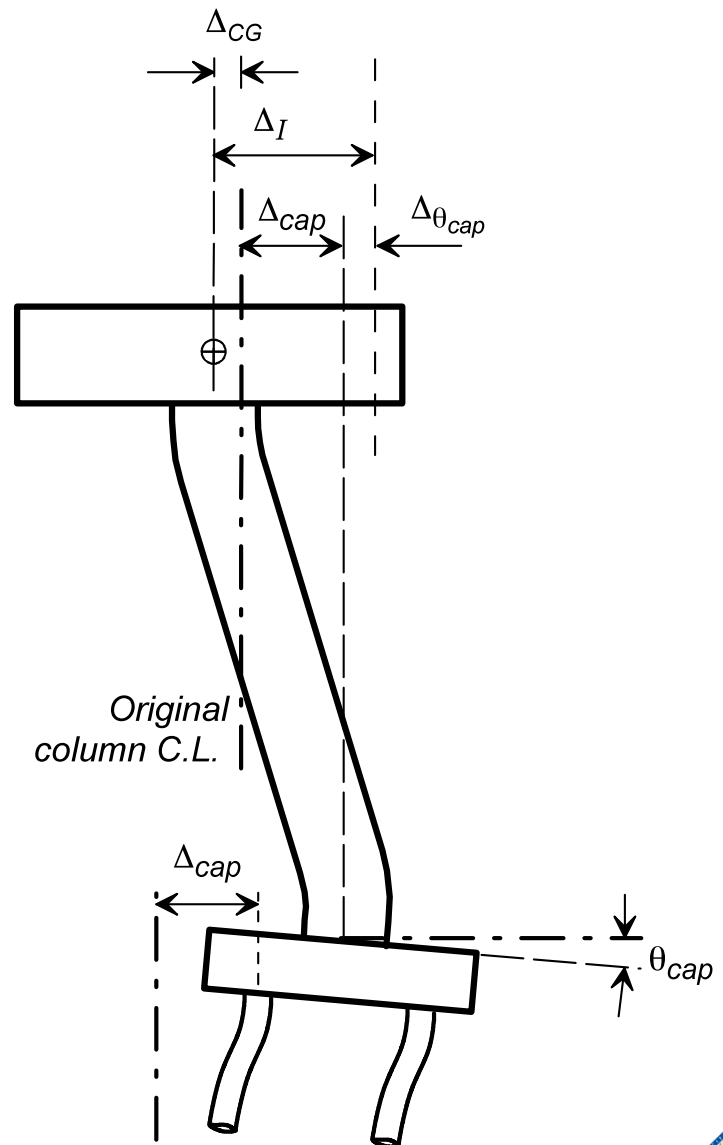
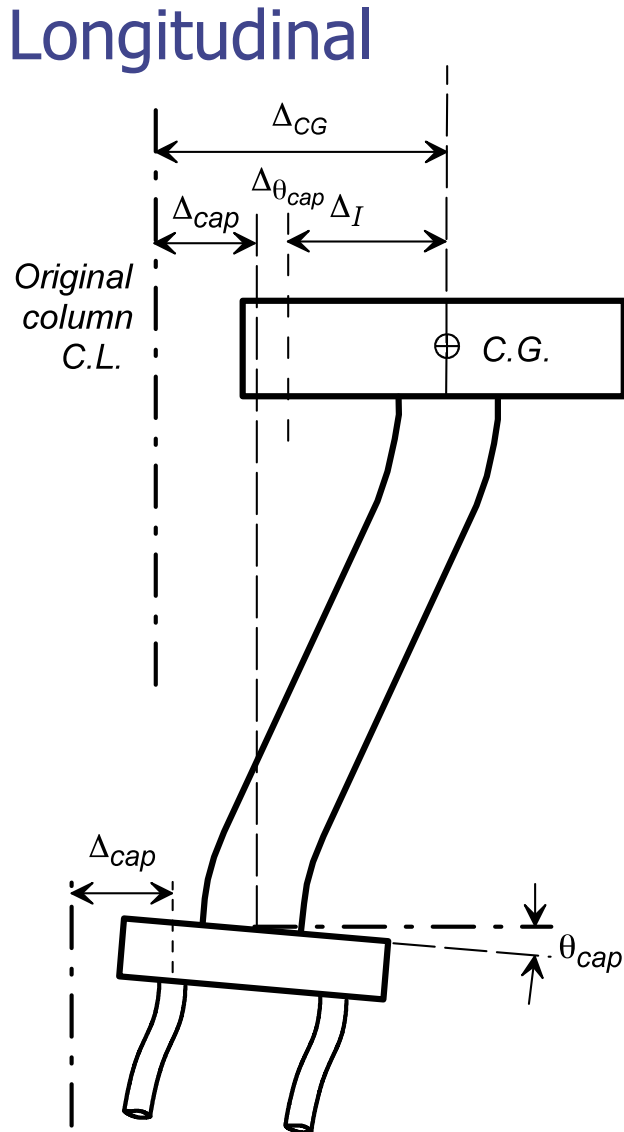
# Displacement demands

- ◆ Combined lateral spreading & inertia loading:  
Transverse



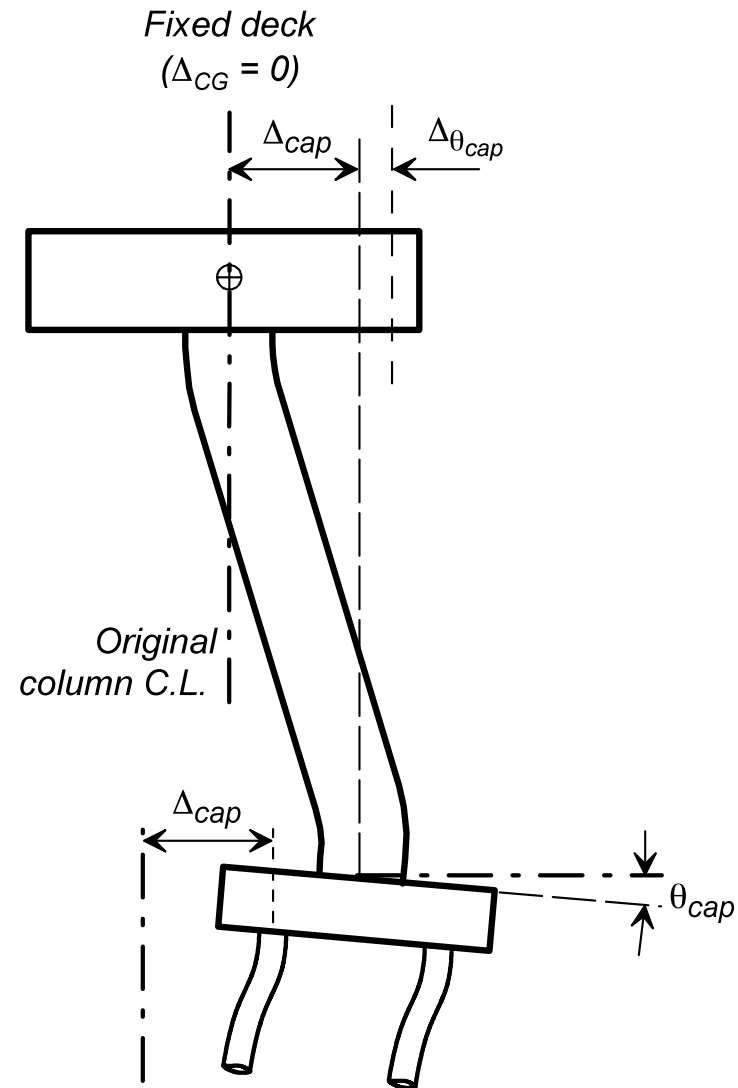
# Displacement demands

- ◆ Combined lateral spreading & inertia loading:  
Longitudinal



# Displacement demands

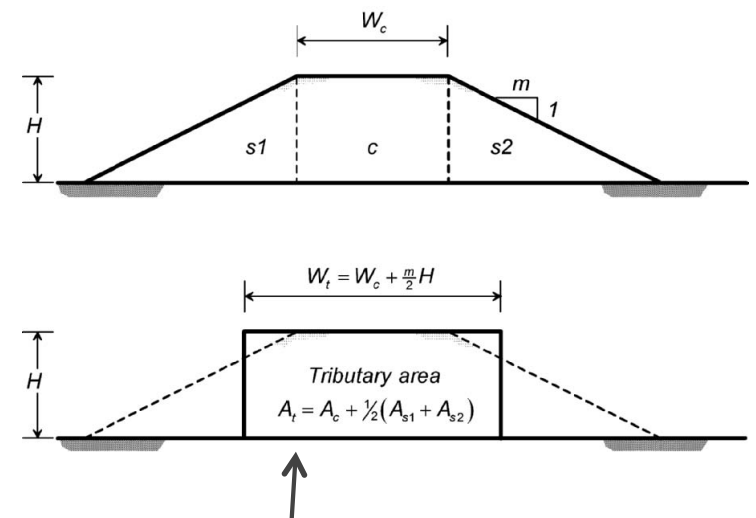
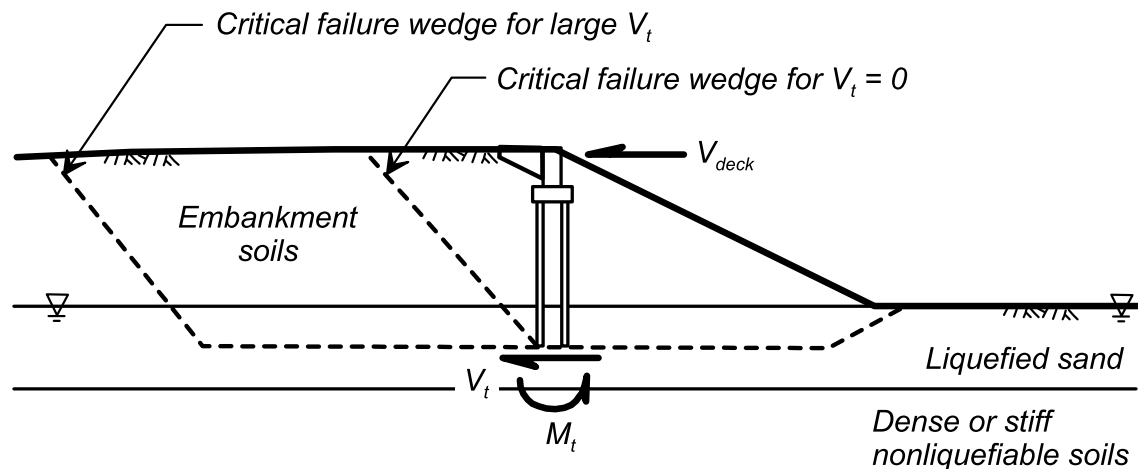
## ◆ Lateral spreading with deck restrained



# Design of piles in Approach Embankments

## ◆ Pile pinning analyses

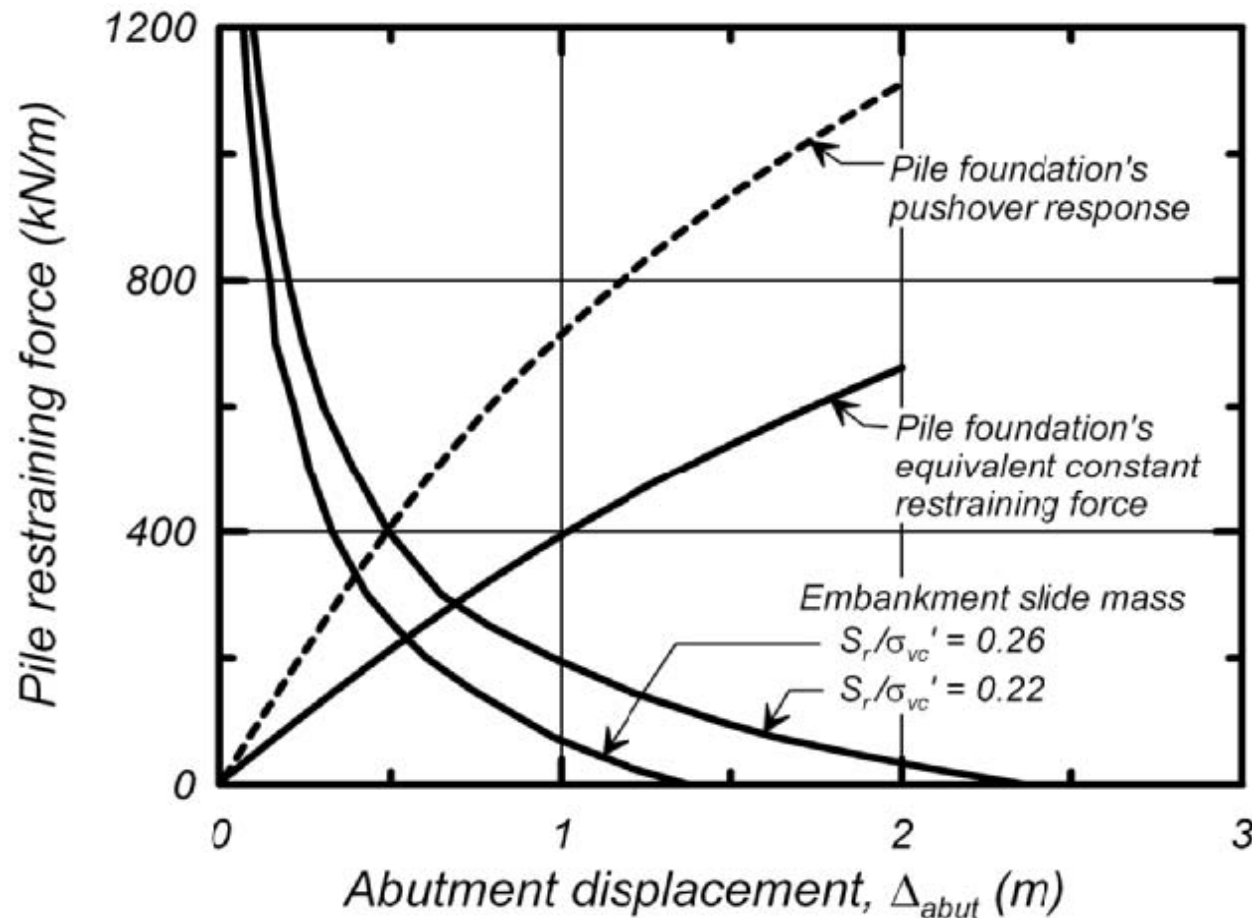
- Slope stability analyses of the embankment for a range of restraining forces
- Consider range of failure surfaces



Tributary(transverse) width for the embankment mass which interact with restraining forces from the pile foundation and bridge superstructures

# Design of piles in Approach Embankments

- ◆ Compatibility of embankment and pile displacement



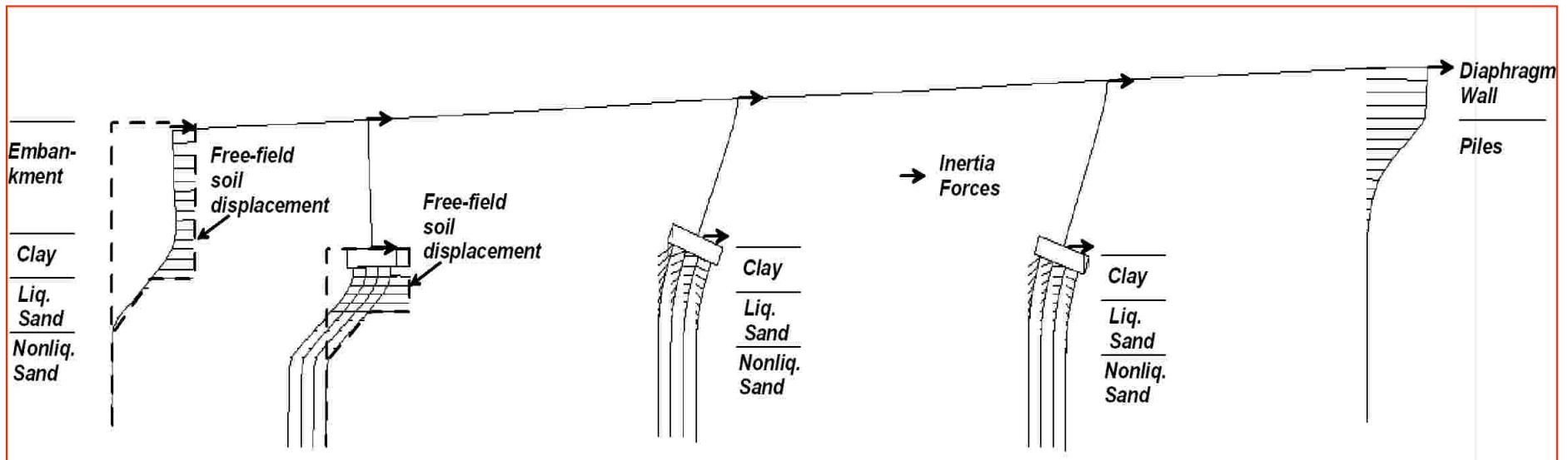
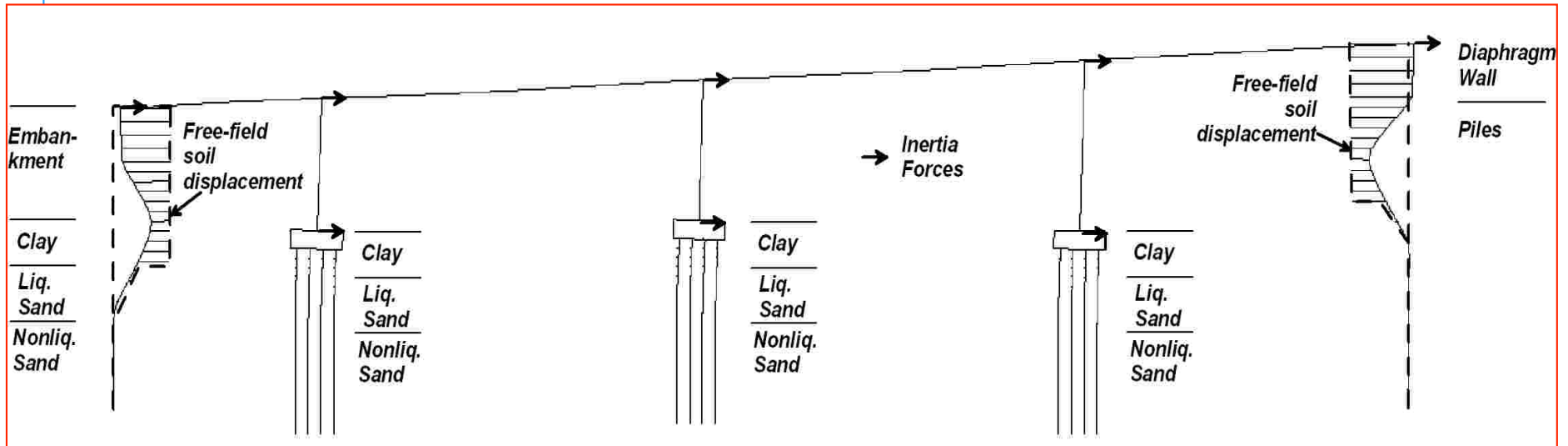
# Global bridge response

- ◆ Provide more realistic evaluation of the distribution of force and displacement demands than from local analyses of individual bent or frames
- ◆ Ordinary bridge without liquefaction effect
  - Linear elastic- ESA
  - Linear elastic dynamic analysis for complicated case
- ◆ Global analyses for the effect of liquefaction are warranted when the subsurface conditions and expected liquefaction-induced ground displacement vary substantially along the bridge alignment



# Global bridge response

◆ For example





**2010 Chile Earthquake**



# Darfield Earthquake



**2010-11 New Zealand Earthquakes**

**S 43° 31.489' E 172° 43.450'**





**2011 Japan Earthquake  
(LA Times Photo)**



Thank You...

